Part - A2

Positive Ignition (PI) Engines

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Part – A2

Applicable for Positive Ignition (PI) Engines

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1. SCOPE

This **A2** document lays down the applicability and requirements, system & procedure for compliance to the rules vide notification no. GSR 804(E.) dated 3-Nov-2022 on emission limits for new internal combustion engine up to 800 kW gross power used for power generating set (Genset) application, issued by Ministry of Environment, Forests & Climate change, Government of India.
Emission of gaseous CO, HC and NOx from following type of positive ignition internal combustion engines operating with either constant speed duty cycle,

- Dedicated alternate fuels*
- Bi-fuel run either on Gasoline or on any one of the alternate fuels*

*Alternate fuels - Natural Gas/Bio methane, Bio-gas, LPG, Ethanol blended gasoline (E10, E12, E15, E20, E85) Ethanol E100, Methanol blended gasoline (M15, M85), Methanol M100, Hydrogen, Hydrogen blended with CNG (18% hydrogen), Bio-diesel (B7/B8 to B20, B100) (Fuel Specification of these fuels shall be as notified under CMVR as amended from time to time)

Natural Gas can be used in the form of Compressed Natural Gas or Liquefied Natural Gas or Piped Natural Gas.
Following parts of specified standards shall be referred wherever stated.

Sr No.	Standard	Title
1	ISO 8178-1: 2017 ISO 8178-1: 2020	Reciprocating internal combustion engines — Exhaust emission measurement — Part 1: Test-bed measurement systems of gaseous and particulate emission
2	ISO 8178-3: 2019	Exhaust emission measurement — Part 3: Test procedure for measurement of exhaust gas smoke emissions from compression ignition engines using a filter type smoke meter
3	ISO 8178-4: 2017 ISO 8178-4: 2020	Reciprocating internal combustion engines — Exhaust emission measurement — Part 4: Steady-state and transient test cycles for different engine application
4	ISO 8178-7: 2015	Reciprocating internal combustion engine-Exhaust emission measurement-Part-7: Engine family determination
5	ISO 8178-9: 2019	Reciprocating internal combustion engines — Exhaust emission measurement — Part 9: Test cycles and test procedures for measurement of exhaust gas smoke emissions from compression ignition engines using an opacimeter
6	40 CFR Part 1039	US EPA Regulation: 40 CFR Part 1039 - Control of emissions from new and in-use nonroad compression-ignition engines
7	40 CFR Part 1065	US EPA Regulation: 40 CFR Part 1065 – Engine testing procedures
8	AIS 024 (Version 5)	Safety and Procedural requirements for type approval of CNG/Bio-CNG/LNG operated vehicles (dedicated, bi-fuel & dual fuel)
9	AIS 028 (Version 5)	Code of practice for use of CNG/Bio-CNG/LNG fuel in internal combustion engine vehicles

2. DEFINITION & ABBREVIATIONS

2.1 DEFINITIONS

1. **"Approval of an engine (engine family)"** means the approval of an engine type with regards to the level of the emission of gaseous pollutants;

2. "**Auxiliary emission control strategy"** means any element of design that senses temperature, engine RPM, or any other parameter for the purpose of activating, modulating, delaying, or deactivating the operation of any part of the emission control system;

3. "**Adjustment factors"** mean additive (upward adjustment factor and downward adjustment factor) or multiplicative factors to be considered during the periodic (infrequent) regeneration;

4. **"Ageing cycle**" means the machine or engine operation (speed, load, power) to be executed during the service accumulation period

5. **"Applicable emission limit**" means an emission limit to which an engine is subject to for approval;

6. **"Approval of an engine**" means the approval of an engine type or family with regard to the level of emission of gaseous pollutants by the engine;

7. **"Base emission control strategy"** means design strategy that is active throughout the speed and load operating range of engine unless Auxiliary emission control is activated;

8. **"Compressed natural gas (CNG / BIO CNG)"** means a compressed gaseous fuel composed predominantly of Methane (CH4) shall be used as specified by the Government of India from time to time.

9. **"Confirmed and active DTC"** means a DTC that is stored during the time the NCD system concludes that a malfunction exists;

10. **"Constant speed engine**" means an engine whose type approval or certification is limited to constant-speed operation. Engines whose constant-speed governor function is removed or disabled are no longer constant-speed engines;

11. **"Constant speed operation**" means engine operation with a governor that automatically controls the operator's demand to maintain engine speed, even under changing load. Governors do not always maintain exactly constant speed, but shall be maintained with allowed tolerances as mentioned in the document"

12. "**Critical emission related components**" means the components which are designed primarily for emission control, that is, any exhaust after-treatment system, the electronic engine control unit and its associated sensors and actuators, fuel injection equipment, turbocharger and it's integral control actuators and the EGR system including all related filters, coolers, control valves and tubing;

13. **"Critical emission related maintenance**" means the maintenance to be performed on critical emission-related components;

14. **"De-NOx system**" means an exhaust after-treatment system designed to reduce emissions of oxides of nitrogen (NO_x) (e.g. passive and active lean NO_x catalysts, NO_x absorbers and selective catalytic reduction (SCR) systems);

15. **"Diagnostic trouble code – DTC"** means a numeric or alphanumeric identifier which identifies or labels related to emission

16. "**Discrete mode"** means relating to a discrete mode type of steady-state test, as described in ISO 8178-4.

17. "**Emissions-related defect"** means a deviation from normal production tolerances in design, materials, system or assembly that affects any parameter, specification or component belonging to the emission control system. A missing component may be considered to be an emission-related defect;

18. **"Emission control system**" means the exhaust after treatment system including, the electronic management controllers of the engine system (if utilized) and any emission related component of the engine system in the exhaust which supplies an input to or receives an output from these controllers and when applicable the communication interface between the engine system electronic control unit (ECU) and any other power train with respect to emissions management;

19. **"Engine system**" means the engine, the emission control system and the communication interface (hardware and messages) between the engine system electronic control unit (ECU) and any other power train;

20. "**Engine family"** means a manufacturer's grouping of engine systems which, through their design have similar exhaust emission characteristics, all members of the family must comply with the applicable emission limit values described in Clause 3.1. Also refer ISO 8178-7;

21. **"Engine type**" means a category of engines, which do not differ in such essential respects as engine characteristics as defined in ISO 8178-7

22. "**Exhaust after treatment system"** means 'a catalyst (oxidation or 3-way), a particulate filter, a de-NOx system, a combined de-NOx particulate filter' or any other emission-reducing device that is installed downstream of the engine. This definition excludes exhaust gas recirculation, which, where fitted is considered an integral part of the engine system;

23. "**Engine governed speed"** means the engine operating speed when it is controlled by the installed governor;
24. **"Electronically controlled engines"** means the engines using electronic control to determine both the quantity and timing of injecting fuel.

25. "**Electronic control unit (ECU**)" means an engine's electronic device that uses data from engine sensors to control engine parameters as primary function. ECU may contain more functionality than stated above of interest;

26. "**Emission control strategy"** means a combination of an emission control system with one base emission control strategy and with one set of auxiliary emission control strategies, incorporated into the overall design of an engine or Genset into which the engine is installed;

27. "**Emission durability period**" mean the number of hours indicated in Appendix 6 of Annexure 7 used to determine the deterioration factors

28. "**Emission related maintenance**" means maintenance which substantially affects emissions, or which is likely to affect emissions performance deterioration of the vehicle or the engine and exhaust after treatment system during normal in-use operation;

29. **"Engine-after treatment system family**" means a manufacturer's grouping of engines that complies with the definition of engine family, but which are further grouped into a family of engine families utilising a similar exhaust after-treatment system;

30. **"Exhaust gas recirculation**" means a technology that reduces emissions by routing exhaust gases that have been exhausted from the combustion chamber(s) back into the engine to be mixed with incoming air before or during combustion. The use of valve timing to increase the amount of residual exhaust gas in the combustion chamber(s) that is mixed with incoming air before or during combustion

(also called 'internal EGR') is not considered exhaust-gas recirculation for the purposes of this Regulation;

31. **For Domestic products**, Date of Manufacture means the date on which the engine or genset is invoiced;

32. **For Imported products**, Date of Import means the date of payment of custom duties applicable to the engine or Genset;

33. **"Genset Engine"** means engines used primarily to operate an electrical generator / alternator to produce and supply electric power for other applications in lieu of supply of power from electric grid;

34. **"Gaseous pollutant**" means carbon monoxide (CO), hydrocarbons (HC – assuming a ratio of $C_1H_{1.85}$) and oxides of nitrogen (NOx), the last named being expressed in nitrogen dioxide (NO_2) equivalent;

35. "**High idle speed**" means 'the speed achieved by the engine under the specified test conditions at full throttle with no external load applied on the engine flywheel

36. **"Hydrocarbon (HC)"** means Total Hydrocarbon (THC), non-methane hydrocarbon (NMHC) as applicable. Hydrocarbon generally means the hydrocarbon group on which the emission standards are based for each type of fuel and engine

37. **Internal combustion engine (ICE)"** means an engine, which works on the either compression-ignition principle or positive ignition principle utilising liquid, gaseous or combination of both fuels;

38. **"Idle speed**" means the lowest engine speed with minimum load (greater than or equal to zero load), where an engine governor function controls engine speed. For engines without a governor function that controls idle speed, idle speed means the manufacturer-declared value for lowest engine speed possible with minimum load. Note that warm idle speed is the idle speed of a warmed-up engine.

39. "**Liquefied Natural Gas (LNG)"** means a Natural gas that has been cooled to -259 degrees Fahrenheit (-161 degrees Celsius) and at which point it is condensed into a liquid which is colourless, odourless, non-corrosive and non-toxic. Characterized as a cryogenic liquid. Fuel quality for GENSET use shall meet the requirements of BIS specification as notified from time to time by MoPNG, Govt. of India.

40. "**Manufacturer**" means engine or Genset manufacturer, importer or, assembler (as noted in notification);

41. **"NCD engine family**" means a manufacturer's grouping of engine systems having common methods of monitoring/diagnosing NCMs;

42. **"NOx control diagnostic system (NCD**)" means system on-board the engine which has the capability" of: Detecting a NOx control malfunction; Identifying the likely cause of Nox control malfunctions by means of information stored in computer memory and/or communicating that information off-board;

43. **"NOx control malfunction (NCM)"** means an attempt to tamper with the Nox control system of an engine and/or exhaust after treatment system or a malfunction affecting that system that might be due to tampering, that is considered by this Regulation as requiring the activation of a warning or an inducement system once detected;

44. "**Non-emission related maintenance**" means maintenance which does not substantially affect emissions, and which does not have a lasting effect on the emissions performance deterioration of the machine or the engine during normal in-use operation once the maintenance is performed;

45. **"Non-methane hydrocarbons (NMHC**)" means the sum of all hydrocarbon species except methane;

46. **"Non-Methane Organic Gas (NMOG)"** means the sum of non-oxygenated and oxygenated hydrocarbons contained in a gas sample

47. **"Oxides of Nitrogen (NOx**)" means compounds containing only nitrogen and oxygen as measured by the procedures specified in this Regulation. Oxides of nitrogen are expressed quantitatively as if the NO is in the form of NO_2, such that an effective molar mass is used for all oxides of nitrogen equivalent to that of NO_2.

48. **"Open crankcase emissions**" means any flow from an engine's crankcase that is emitted directly into the environment;

49. **"Operator demand**" means an engine operator's input to control engine output. The "operator" may be a person (i.e. manual), or a governor (i.e., automatic) that mechanically or electronically signals an input that demands engine output. Input may be from an accelerator pedal or signal, a throttle-control lever or signal, a fuel lever or signal, a speed lever or signal, or a governor set-point or signal

50. **"Percent load**" means the fraction of declared rated torque at an engine speed;

51. **"Placing on the market"** means the action of making available a product covered by this Regulation on the market of a country applying this Regulation, for payment or free of charge, with a view to distribution and/or use in the country

52. **"Parent engine**" means an engine selected from an engine family in such a way that its emissions characteristics will be representative for that engine family and that it complies with the requirements set out in ISO 8178-7

53. "**Power generating set or Genset"** means any equipment which is used for electric power generation in absence or failure of grid power utilizing engine system defined in this document as prime mover;

54. **"Rated power"** means nominal power in kW declared by manufacturer at declared rated Speed

55. **"Rated speed**" is the engine speed at which the declared rated power occurs with allowed tolerances as mentioned in the document"

56. **"Ramped modal steady-state test cycle"** means a test cycle with a sequence of steady state engine test modes with defined speed and torque criteria at each mode and defined speed and torque ramps between these modes

57. "**Scan tool**" means an external test equipment used for off-board communication with NCD system;

58. "**Service accumulation schedule**" means the aging cycle and the service accumulation period for determining the deterioration factors for the engine-after treatment system family;

59. **"Total hydrocarbon (THC**)" means the combined mass of organic compounds measured by the specified procedure for measuring total hydrocarbon, expressed as a hydrocarbon with a hydrogen-to-carbon mass ratio of 1.85:1;

60. "**Test Cycle"** means a sequence of test points each with a defined speed and torque to be followed by the engine during standardised emission assessment test. Also refer ISO 8178-4;

61. "**Type approval"** means the approval of an engine type with regard to its emissions measured in accordance with the procedures specified in this Regulation;

2.2 Abbreviations

BSFC	Brake-specific fuel consumption
BIS	Bureau of Indian Standards
CPCB	Central Pollution Control Board
C_1	Carbon 1 equivalent hydrocarbon
CC	Cubic Centimetre
CH_4	Methane
C_2H_6	Ethane
C_3H_8	Propane
CNG	Compressed Natural Gas
CO	Carbon monoxide
CO_2	Carbon dioxide
COP	Conformity of Production
COP-Year	Period starting 1st July of year till 30th June of subsequent year
CI	Compression-ignition (Engine)
De-NO_x	NO_x after-treatment system
DF	Deterioration factor
ECM	Electronic control module
EGR	Exhaust gas recirculation
FBC	Fuel borne catalyst (for soot regeneration)
FID	Flame Ionization Detector
GOEM	Genset Original Equipment Manufacturer
HC	Hydrocarbon
H_2O	Water
ISO	International Organization for Standardization
LPG	Liquefied Petroleum Gas
LNG	Liquefied Natural Gas
NMHC	Non-methane hydrocarbon
NMOG	Non-Methane Organic Gas
NO_x	Oxides of nitrogen
NO	Nitric oxide
NO_2	Nitrogen di oxide
O_2	Oxygen
PI	Positive Ignition (Engine)
PPM	Part per million
RHC	Reactive Hydrocarbon
RMS	Root-mean square
S	Sulphur
THC	Total Hydrocarbon

3 Exhaust Emission Limits, Applicability and Requirement

3.1. Exhaust Emission Limits

The emissions of the carbon monoxide, the emissions of non-methane hydrocarbons / reactive hydrocarbon / Non-Methane Organic gas, and the emissions of the oxides of nitrogen during emission certification and conformity of production emission test/s conducted in accord with in Annexure 7 of this regulation considering applicable deterioration factor, adjustment factor, shall not exceed the amount shown in Table 1 below:

Table 1
Emission limits for Genset engines up to 800 kW Powered by PI engines

Power Category, kW	Ignition type	NOx	HC	NOx +HC	CO
		g/kWh			
P ≤ 8	PI	-	-	7.5	3.5
8 < P ≤ 19	PI	-	-	4.7	3.5
19 < P ≤ 56	PI	-	-	4.7	3.5
56 < P ≤ 560	PI	0.40	0.19	-	3.5
560 <P ≤ 800	PI	0.67	0.19	-	3.5

Speed operation	Emission Test Cycle
Constand speed engine	D1 3-mode Steady-state discrete mode test cycle specified in ISO-8178-Part 4 OR D1 3-mode Steady-state ramped mode test cycle specified in ISO-8178-Part 4
Manufactures shall declare in TA application his choice of discrete-mode type or RMC type cycle for each family. The chosen cycle type shall also be applicable for all the child rating within family and not allowed to alter or further choice during COP.	

Notes:

a) The above limits are applicable for constant speed

b) Every manufacturer, importer or assembler (hereafter referred as manufacturer) of the engine for genset application manufactured or imported into India shall obtain Type Approval and comply with Conformity of Production (COP) requirements of their product(s) for emission limits which shall be valid for next COP year.

c) The above-mentioned limit shall be applicable to Type approval and Conformity of Production (COP) carried out by authorized test agencies.

d) The term 'COP year' means the period from 1st July of a calendar year to 30th June of the following year

e) HC* - NMHC shall be considered for natural gas or RHC in case of LPG or NMoG in case of alcohol-based fuels

Note:

NMHC = THC – CH_4 for natural gas operation
RHC = 0.5 X THC for LPG operation

3.2. Rounding off

Determine the official emission result for each pollutant to at least one more decimal place than the applicable standard. Apply the deterioration factor to the official emission result, then round the adjusted figure to the same number of decimal places as the emission standard. Compare the rounded emission levels to the emission standard for each emission-data engine. In the case of NOX+NMHC standards, apply the deterioration factor to each pollutant and then add the results before rounding. Test report shall have both, the final rounded certificate emission result and adjusted test result before rounding for better clarity on the decision.

Use the following rounding convention

i. If the first (left-most) digit to be removed is less than five, remove all the appropriate digits without changing the digits that remain. For example, 3.141593 rounded to the second decimal place is 3.14.

ii. If the first digit to be removed is greater than five, remove all the appropriate digits and increase the lowest-value remaining digit by one. For example, 3.141593 rounded to the fourth decimal place is 3.1416.

iii. If the first digit to be removed is five with at least one additional non-zero digit following the five, remove all the appropriate digits and increase the lowest-value remaining digit by one. For example, 3.141593 rounded to the third decimal place is 3.142.

iv. If the first digit to be removed is five with no additional non-zero digits following the five, remove all the appropriate digits, increase the lowest-value remaining digit by one if it is odd and leave it unchanged if it is even. For example, 1.75 and 1.750 rounded to the first decimal place are 1.8; while 1.85 and 1.850 rounded to the first decimal place are also 1.8. Note that this rounding procedure will always result in an even number for the lowest-value digit.

Examples-

	#	1	2	3	4	5	5	5	6
1	Measured value – PM	0.0047	0.0048	0.0142	0.0143 (odd)	0.0238 (even)	0.0239	0.0333	0.0334
2	Emission Limit – PM	0.02	0.02	0.02	0.02	0.02	0.02	0.02	0.02
3	DF applicable	1.05	1.05	1.05	1.05	1.05	1.05	1.05	1.05
4	DF incorporated adjusted emission	0.0049	0.0050	0.0149	0.0150	0.0250	0.0251	0.0350	0.0351
5	Final certificate emission value	0.00	0.01	0.01	0.02	0.02	0.03	0.03	0.04
6	Decision Pass/Fail	Pass	Pass	Pass	Pass	Pass	Fail	Fail	Fail

Note: *Rounding Reference taken form US EPA Regulation 40 CFR -1039 and 40 CFR- 1065*

3.3 In addition, the following requirements shall apply:

a) **Ammonia emission** over the test cycles for engines equipped with SCR shall not exceed a mean value of 25 ppm for engine power category less than or equal to 56 kW and 10 ppm for engine power category above 56 kW as set out details in Appendix 7 of Annexure 7 of this Regulation

b) **Engine Durability Period and Deterioration Factor** shall be applicable to above 19 kW power category only as set out in details in Appendix 6 of Annexure 7 of this Regulation in details.

Engine Durability Period

Category (Power Band)	Emission durability period (hours)
>19 ≤ 56 kW (constant speed Engines)	3000
> 56 kW (All engines)	8000

Note: DF determined during type approval will be carried over.

Category (Power Band)	Deterioration Factors (DF)		
	CO	HC	NOx
>19 kW (Constant Speed Engine)	1.3	1.3	1.15

Assigned multiplicative deterioration factors

Note: When opted for assigned multiplicative DF, no verification is required.

c) **Reserved**

d) **Gross Power & Speed tolerance:** The gross declared power of the engine shall be measured on the test bench at rated speed of engine. The measured power and speed may differ from the power and speed declared by manufactured as specified below.

Case	Gross Power Tolerance	Speed Tolerance
	Constant speed	Constant speed
Type Approval	± 5% at rated for single cylinder engines ± 4% at rated for others engine	± 1% at rated
CoP	± 6% at rated for single cylinder engines ± 5% at rated for others engine	

e) **Crankcase ventilation requirement** shall be applicable to above 56 kW power category engines as set out details in Appendix 5 of Annexure 7 of this Regulation

f) **Control Conditions-Altitude, Temperature:** Electronically controlled engine using auxiliary emission control strategy shall demonstrate the compliance requirement over control conditions at type approval as set out details in Annexure 8 of this Regulation. This clause is applicable to for power band above 56 kW electronically controlled engine using auxiliary emission control strategy to operate in altitude.

Summary of the requirement:

Requirement	Power Category, kW		
	P ≤ 19	19 < P ≤ 56	56 < P ≤ 800
Deterioration Factors (DF)	N/A	Yes	Yes
Crankcase emission	N/A	N/A	Yes
Ammonia emission	Yes[a]	Yes[a]	Yes[a]
Control conditions-Altitude, Temperature	N/A	N/A	Yes[b]

[a] Applicable SCR engine using reagent to reduce NOx emissions
[b] Applicable for electronically controlled engine using auxiliary emission control strategy to operate in altitude. Demonstration is only at time of type approval only once and not applicable during COP.

4 Type Approval Administrative Procedure

4.1 Engine type and Engine family

4.1.1. **Engine type**

The technical features of an engine type shall be those defined in its information document drafted in accordance with the template set out in Annexure 1.

4.1.2. **Operating mode (speed operation)**

An engine type may be type-approved as a constant speed engine, as defined of this Regulation.

4.1.2.1. **Reserved**

4.1.2.2. **Constant speed engines**

The manufacture shall declare in his application the operation of engine is constant speed.

4.1.2.3. **Constant speed engine types equipped with alternative rated speeds**

A constant speed engine shall not be designed to operate with variable speed. In the case that the engine type is equipped with alternative speeds the requirements of this paragraph shall additionally be met.

In the case that the engine type is the parent engine, the engine shall meet the applicable limit values when tested using the applicable constant speed test cycle at each constant speed applicable to the engine type. Separate test reports shall be produced and included in the information package for each emission test.

In the case of all engine types within the engine family, when subject to a conformity of production emissions test the engine shall meet the applicable limit values using the applicable constant speed test cycle at each constant speed applicable to the engine type.

Each constant speed applicable to the engine type that is permitted by the manufacturer shall be listed in information document as per Annexure 1

The engine shall be installed in a manner to ensure that:

(a) the engine is stopped prior to resetting the constant speed governor to an alternative speed; and,
(b) the constant speed governor is only set to the alternative speeds permitted by the engine manufacturer.

The instructions to the GOEM and end-users set out in Appendices A.1 and A.2 to Annexure 9 of this Regulation shall include information on the correct installation and operation of the engine according to the requirements of this Annexure.

4.1.3. Engine family criteria

4.1.3.1. **General**

For the purpose of type approval and conformity of production certification, the manufacturer engine range shall be divided into model families consisting of parent engine and its variant(s).

In case of import of complete genset, all the provision of this document shall be applicable to the engine which form part of the genset.

Engine family shall be the grouping of engines, which through their design are expected to have similar exhaust emission characteristic where member of the family shall comply with the applicable emission values.

Parent engine shall be the engine selected from the engine family, in such a way that it will incorporate those features which will adversely affect the emission level of the relevant exhaust emission.

4.1.3.2. **Engine family formation & choice of the parent engine**

Essential characteristic of the engine family, the parameters defining the engine family, the determination of an engine family and the criteria for choosing the parent engine shall be taken according to the guideline given in ISO 8178-7 Reciprocating internal combustion Engine-Exhaust Emission Measurement-Part-7: Engine family determination. However, the decision of selection of parent engine and family classification by the authorized test agency shall be final.

For the purpose of identification, the manufacturer shall designate the families as F1, F2, F3…. Fn.

The manufacturer shall provide to the type approval authority the appropriate information relating to the emissions levels of the members of the engine family

4.1.3.3. **Reserved**

4.2 Selection of Certifying Agency

4.2.1. Following test agencies are currently approved by the Nodal Agency Central Pollution Control Board (CPCB) for purpose of type approval and subsequently conformity of production compliance process and may be revised from time to time.

(a) Automotive Research Association of India (ARAI, Pune)

(b) International Centre for Automotive Testing (ICAT, Manesar)

(c) Indian Institute of Petroleum (IIP, Dehradun)

4.2.2. One supplier shall submit application for Type Approval to only one certification agency for all its families / models out of those approved.

4.2.3. The same certification agency shall be responsible for carrying out the verification of Conformity of Production (COP) for that manufacture

4.2.4. For a new OEM or importer, selection of technical agencies for type approval certification and subsequently conformity of production shall be applicant's choice among the technical agencies published by central pollution control board (CPCB) in paragraph 4.2.1 and as amended from time to time.

4.2.5. For any reason if any manufacturer wants to change the certification agency, he shall apply to the nodal agency well in advance with justifiable reason. The nodal agency, after consultation with the existing certification agency/ standing committee, may approve the change, if found justified. If approved, the nodal agency shall inform to the parties concerned.

4.2.6. On receipt of information for change in certification agency from the nodal agency, the previous certification agency shall authenticate all the relevant document of the model (type approval as well as COP verification) and forward the same to the new certification agency. The certification agency shall be responsible for carrying out the type approval testing and COP verification for the manufacturer, in future.

4.3 Application of type approval

4.3.1 The application shall be made in the format prescribed in **Appendix -1** and must be complete in all respect. **Appendix -1** shall be submitted to nodal agency and on its written acceptance, engine specification related documents to be submitted to the test agency.

4.3.2 The application for approval of an engine or an engine family with regard to the level of the emission of gaseous pollutants shall be submitted by the engine manufacturer or by a duly accredited representative.

4.3.3 For each engine family, the manufacturer must submit an application to the certification agency,

selected as above.

4.3.4 The application must be signed by the authorized representative of the manufacturer.

4.3.5 It shall be accompanied by the undermentioned documents and the following particulars:

A description of the engine type comprising the particulars referred to in clause 4.1. of this Regulation and if applicable the particulars of the engine family as referred to in paragraph 4.1.3. to this Regulation. For the purpose of identification, the manufacturer shall designate the engines families as F1, F2, F3 …. Fn in consultation with technical agency;

4.3.6 Testing of the parent model, shall, normally, be sufficient for type approval of the family. The Testing agency has the option to carry out the testing of more than one model in the family to satisfy itself, subject to parent engine-concept as per ISO 8178-7

4.3.7 An engine conforming to the engine type characteristics described in clause 4.1. shall be submitted to the Test Agency responsible for conducting the approval tests. If the Test Agency determines that the submitted engine does not fully represent the engine family described in clause 4.1, an alternative and, if necessary, an additional engine shall be submitted for test

4.3.8 During Type Approval test, the manufacturer shall submit the qualified emission test data of all the remaining variant engines, other than the parent engine, which is chosen for emission test by the test agency of the family along with all other documents. Qualified emission data can be an emission test recorded at OEMs facility. This is required only for reference of test agency for future COP testing and it will not be part of Type Approval certification documents.

4.3.9 At later stage if the manufacturer submits the application for type approval of a model, the Testing agency shall ascertain whether the model can be classified as belonging to a family of model(s) already certified. If the model does not belong to a family already certified, the Testing agency shall proceed with the testing of the model for type approval with issuance of new and unique engine emissions family certification upon qualification;

4.3.10 If the model belongs to a family already certified, the Testing agency shall decide whether the specific testing of the model is required. In case the specific testing of the model is not required, the type approval certificate for the family may be extended to include the model. In such case qualified emissions data shall be submitted to agency by manufacturer during application;

4.3.11 The Testing agency shall intimate its decision to the applicant within a fortnight of receipt of the application, indicating need and plan (schedule) of testing for type approval;

4.4 Type Approval

4.4.1. No person or agency shall sale, import or use an engine for Genset application in India without valid Type Approval Certificate and Conformity of production Certificate referred to in clause 4.5. and 5.4 of this Regulation;

4.4.2. No person or agency shall sale, import or use a Genset in India containing an Engine without valid Type Approval Certificate and Conformity of production Certificate referred to in Clause 4.5. and 5.4 of this Regulation;

4.4.3. In order to receive a type approval of an engine type or engine family, the manufacturer shall demonstrate compliance, of the engine type or engine family with the provisions of this Regulation. The manufacturer shall also ensure the use of fuels as specified in Annexure 6.

4.4.4. All the type approval tests shall be conducted in the technical agency laboratory. In case the required test facilities are accredited by the technical agency, the type approval and / or COP tests can be carried out at manufacturer's laboratory also if reasons call for. In case the test is to be carried out at any overseas test facilities, the same shall be informed to the Nodal Agency by

technical agency. The technical agency will submit a copy of accreditation letter highlighting the details of test facilities available in the manufacture's laboratory to the Nodal Agency;

4.4.5. In case of the manufacturer approaches the technical agency for the first time, such manufacturer should complete the COP test(s) within three months from the commencement of commercial production or importation of 100 units.

4.4.6. The manufacturer may use of commercial fuels or reference fuels set out in Annexure 6, provided to be declared in the information document.

4.4.7. Additionally, in order to receive type approval of a Genset with an approved engine with regard to emissions or a type approval of a Genset with regard to emissions the manufacturer shall ensure compliance with the installation requirements set out in Annexure -9

4.4.8. The Type Approval Authority shall put together an information package consisting of the information folder accompanied by the test report and all other documents added by the technical service or by the Type Approval Authority to the information folder in the course of carrying out their functions ('the information package'). The information package shall include an index listing its contents, suitably numbered or otherwise marked so as to clearly identify all the pages and the format of each document, in order to present a record of the successive steps in the management of the type approval, in particular the dates of revisions and updating;

4.4.9. The approval authority shall ensure that the information contained in the information package is available for a period of at least 10 years following the end of the validity of the type approval concerned;

4.5 Certificate of Type Approval and Validity

4.5.1. After verification of engine(s) for the type approval, the technical agency shall issue a type approval report to manufacturer within one month from date of testing completion indicating acceptance or rejection decision and reason thereof. If the engine(s) submitted for approval pursuant to clause 4.3. of this Regulation. Approval of that engine type(s) or engine family(ies) shall be granted by the technical agency through issue of Type Approval Certificate as per format prescribed in Annexure 2 along with report. Copy of the certificate and report shall be forwarded to Nodal Agency by technical agency involved;

4.5.2. For the purposes of clarity and easing access to relevant data, the communication includes an addendum containing the most relevant information related with the type-approved engine type or engine family;

4.5.3. The certificate shall be deemed to be valid for the model(s) included herein, unless explicitly withdrawn by separate written order by the Nodal Agency;

4.5.4. The Type Approval certificate for an engine family issued to the manufacturer shall be valid for the same model(s) manufactured at any other manufacturing plant of the same manufacturer. The Nodal Agency or technical agency concern with type approval may visit the new plant to verify adequacy of the infrastructure;

4.5.5. Validity of Type Approval Certificate issued by type approval authority shall remain valid for 'current' COP-year enabling sales of such type approved engine family for that duration;

4.5.6. Validity of Type Approval Certificate issued by type approval authority shall remain valid further as long as following conditions are met:

j) Till the engine specifications change as mentioned in Annexure 1 and modification related clauses described in clause 4.6 below;

ii) Till the further amendments to the notification;

iii) Till COP is not missed / Till COP procedure compliance per clause 5 is obtained within prescribed timeframe as listed in clause 5.3.10.

4.5.7. In case manufacturer has not sold engines in two subsequent COP-year period and has done appropriate 'Zero volume' declaration seeking COP exemption to nodal agency, and for resuming sale for third year of such engine family, manufacturer to conduct COP test before making any

sell or a fresh new type approval application and subsequent process needs to be carried out. Refer clause 5.5 for COP certification.

4.6 Modification and Extensions of approved type

4.6.1. If an engine type or engine family is type approved and valid, manufacturer may approach type approval certificate issuing technical agency for modifications pertaining to parent or any engine type in the certified engine emissions family. Such modifications shall be ascertained by manufacturer utilizing guidelines described in clause 4.6.5

4.6.2. Manufacturer shall submit technical document in line with type approval procedure prescribed in this Regulation;

4.6.3. Subjected to scrutiny of manufacture's application, technical agency shall approve or seek more data pertaining but not limited to engine architecture, parameters, similarity with existing engine type from emissions and performance perspective along with evidences. Decision of technical agency will remain finally binding for allowing such amendments to existing type approval certificate of an engine family through extension(s) vs asking manufacturer to type approve as new engine family or new engine type;

4.6.4. Technical agency retains all rights to ask manufacture to demonstrate modified engine type for emissions conformity through applicable type approval test procedures. Submission of manufacturer run emissions data with valid test facility and procedure followed is mandatory where changes are directly or indirectly pertaining to emission affecting components, engine and after treatment system control algorithms and engine performance specifications.

4.6.5. Following generic rules may be utilized as example for extensions of type approval certificate:

4.6.5.1. **No emission test requirements necessary:**

(a) change to part numbers declared through type approval documentation that is not emission affecting;

(b) part number change of emission affecting component that is just an administrative change supported with due declarations (proto to production Part number change as an example);

(c) change(s) to emission strategy for engine operation beyond altitude and temperature conditions prescribed by this Regulation however with due declaration with technical agency in this regard;

4.6.5.2. **Emission test compliance required:**

(a) performance or specification or source change of a component declared through type approval documentation that is emissions affecting. This applies to any such component in engine and after treatment system including software;

(b) emissions strategy changes such as but not limited to fuelling timing, fuelling qty, fuelling modes,

(c) addition of alternate source component that is emission affecting with or without part number change;

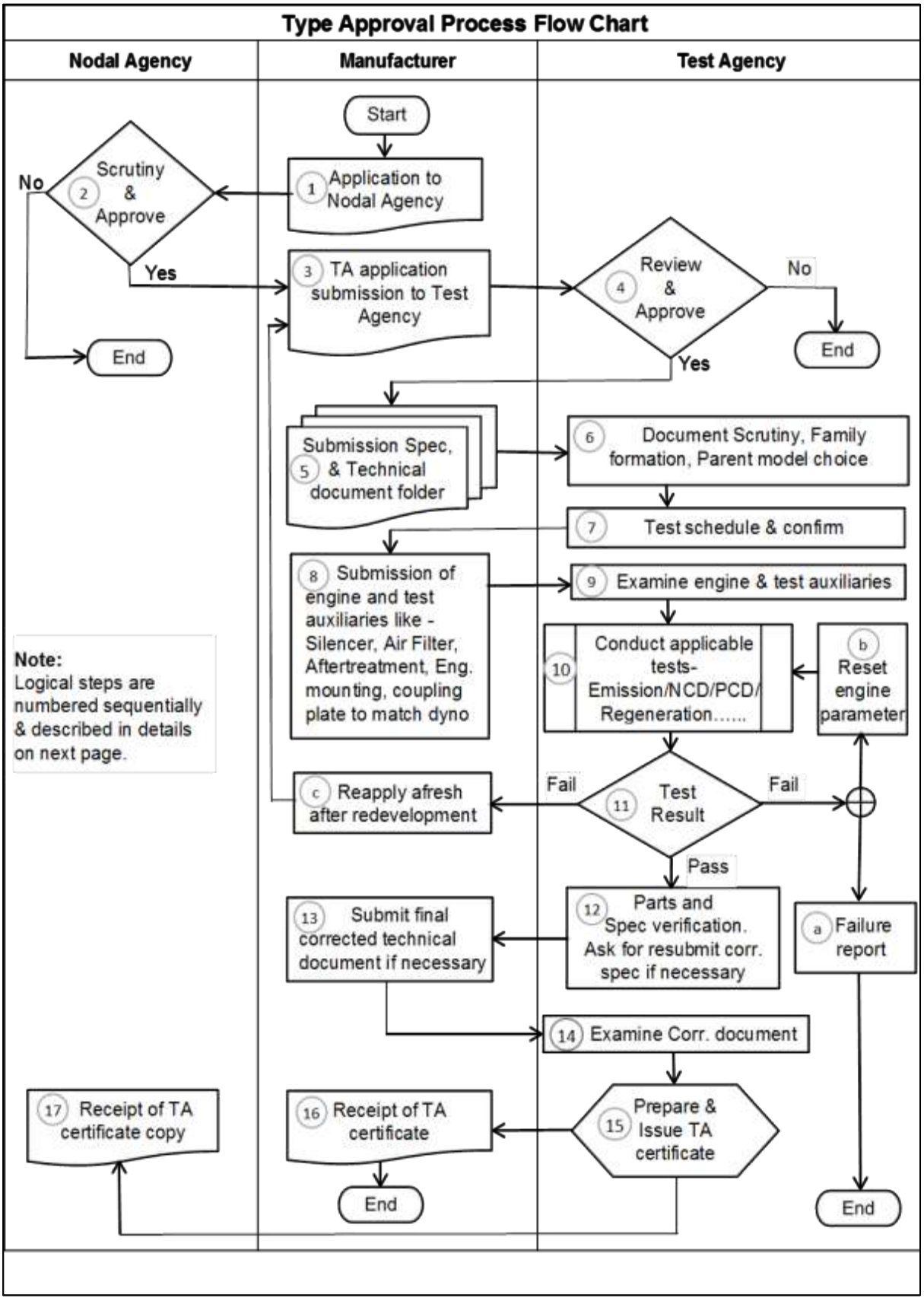
4.6.5.3. Emission and NOx Control Diagnostics compliance required:

(a) in addition to clause 4.6.5.2 at discretion of technical agency if changes to NOx control diagnostics limits or strategy or software is carried out;

(b) NOx control diagnostics demonstration method through failed part has been changed;

4.6.5.4. In the event of an extension the Test Agency shall establish an updated communication denoted by an extension number that shall be incremental in accordance with the number of successive extensions previously granted. That communication shall clearly show the reason for the extension and the date of extension.

4.7 Type Approval Process Flow-chart



4.8 Following are the logical steps for the type approval process flow chart described in brief stepwise -

1. Application to nodal agency (CPCB) in the form of affidavit seeking permission for type approval of Genset engine family through submission provided as draft specimen in Appendix 1.

2. Upon written approval of nodal agency to manufacturer and chosen technical agency; (*This could be decision making node with "Yes" / "No" loop)*

3. Submit type approval certification application to chosen test agency. Based on provisions such application can be written or online through their secured portal;

4. Obtain technical agency approval (*This could be decision making node with "Yes" / "No" loop with NO means, provide additional data*)

5. Upon agencies application scrutiny and negotiations, submit detailed technical documentation as per requirements described in Annexure 1;

6. Obtain documentation approval from technical agency, family formation, choice of parent engine, provide additional data

7. Obtain test plan / schedule from technical agency

8. Upon approval from technical agency plan for providing engine test auxiliaries like -Silencer, air filter, after-treatment systems, engine mounting, coupling plate to match dyno, components for NCD demonstration etc.

9. Upon receipt of engine along with auxiliary technical agency examine the adaptability in test cell, make necessary modification in exhaust line, mounts, etc, if required.

   Certificates of the NG/LPG fuel system components as per Annexure 10 to be validated from test agency. In absence of valid component certificate, the component to be tested and approved from test agency as per applicable standard

   Alternate Fuel Gensets to be Type Approved as per safety code of practice as mentioned in document A4 before applying for noise certification. Competent authority will perform safety audit at Genset manufacturer location based on the part A4 document.

10. Conduct applicable tests-

    10.4. Conduct emissions test to qualify *(This could be decision making node with "Pass" / "Fail" loop. "Fail" means, a) current TA application is closed out with failure report b) adjust. reset engine parameter for retest or c) further manufacturer may re-apply afresh after redevelopment)*

    10.5. Conduct NCD demonstration tests to comply *(This could be decision making node with "Pass" / "Fail" loop. "Fail" means, a) current TA application is closed out with failure report b) adjust. reset engine parameter for retest or c) further manufacturer may re-apply afresh after redevelopment)*

    10.6. Demonstrate results related to infrequent regeneration, control condition if applicable;

11. Review of all test results with manufactures and decision about compliance, in case of failure manufacture have three options to proceed a, b, & c, act accordingly.

12. Technical agency carries out part verification of critical engine components and injection timing verification in compliance with document submission, take final review of technical document and ask manufacture to resubmit if necessary

13. Manufacture submit the corrected technical document if found necessary

14. Examine corrected document submitted in line with the requirement

15. Technical agency prepares technical report based on tests;

16. Technical agency issues type approval certificate to manufacturer;

17. Technical agency forwards a copy of type approval certificate to nodal agency

End

Appendix – 1

Application to Nodal Agency. Specimen for Submission of Affidavit & Profile details of manufacturer

Part - A

NOTARISED AFFIDAVIT ON NON-JUDICIAL STAMP PAPER -

[To be submitted to the Nodal Agency by a supplier approaching for the first time for TA]

I, ……., Chairman / President / Managing Director / Partner / CEO / COO / Proprietor of M/s ……, having Registered Office at …… engaged in manufacturing / import of Genset diesel/dedicated alternate fuel/Bi-fuel /dedicated gasoline / Portable Generator sets with manufacturing facilities / ware house at:

i) …….

ii) …….

am authorized to swear this affidavit for and on behalf of the above named Company. I do hereby solemnly affirm and declare as under:

1. That the deponent is well conversant with the facts and competent to swear this affidavit.
2. That the deponent declares that M/s …… are manufacturer / importer of Genset diesel / dedicated alternate fuel/Bi-fuel /dedicated gasoline / Portable engines in the brand name

   i) …….

   ii) …….

   (Strike out if not applicable)

3. That the deponent declares that M/s ……. are importer of diesel /dedicated alternate fuel/Bi-fuel /dedicated gasoline / Portable generator sets from M/s i) ……. (ii) M/s ……. (Complete address)
   (Strike out if not applicable)

4. That the deponent declares that M/s ……. will obtain Type Approval / Conformity of Production verification only from (Name of the Certification Agency) …… and will not approach any other Certification Agency for Type Approval / Conformity of Production verification for any of their Genset diesel / dedicated alternate fuel/Bi-fuel /dedicated gasoline / Portable engines models, without prior permission from the nodal agency.
5. That the deponent declares that none of the Chairman, Managing Director, Partner, Director, Proprietor, Board Member in M/s …… has been involved with a Company / Firm which has manufactured and sold non-compliant Genset diesel/ dedicated alternate fuel/Bi-fuel /dedicated gasoline / Portable engines.
6. That the deponent declares that M/s ……. will manufacture / import and sell only compliant Genset diesel/ dedicated alternate fuel/Bi-fuel /dedicated gasoline / Portable engines

(Name & signature with Co. stamp)

(DEPONENT)

VERIFICATION

Verified at …….. on this ……of ……20…… that the contents of the above affidavit are true and correct to the best of my knowledge and belief and nothing has been concealed therein.

Place: ……
Date……

(Name & Signature with Co. Seal)

(DEPONENT)

Part - B

Format for Submission of Profile and Details of the Supplier

A. Company details

- Name of the Company
- Type of Company: Proprietor / Partnership / Private Ltd / Public Ltd
- Name of the Proprietor / Partners / Directors (submit relevant documents)
- Importer / manufacturer
- Registered Office Address with phone number
- Contact Address with phone number, fax number, email etc.
- Name and designation of the authorized person for submission of documents and to deal with the certification agency
- Plant addresses and contact details, in case of manufacturer
- Ware house address, in case of importer (This cannot be changed without prior intimation to Nodal Agency and Certification Agency)
- Name of the company from whom to import and its contact details, in case of importer
- Plant details, from where to import
- Authenticated Copies of following documents to be submitted
  - v) Manufacturing License from Directorate of Industries / Department of Industry (in case of Manufacturer), IEC Code (in case of importer)
  - vi) VAT and CST/GST Registration
  - vii) Excise Registration, in case of manufacturer
  - viii) Consent from State Pollution Control Board/ Pollution Committee
- No. of employees
- Engineers (if any)
- Last year Turn-over
- Any other business

B. Details of Genset engine (Proposed) manufactured / assembled / imported

Sr No	Model Names	Nos. produced /imported in current year	Nos. expected to be produced / imported in the next year
a			
b			

c			
d			

C. Details of Infrastructure

D. Land: Owned / Rented
Area (m^2):

E. Covered Area

F. Machinery for manufacture

a)

b)

c)

d)

G. Testing facility equipment's

a) Load bank type and capacity

b) Measuring Instruments

c) Any other

H. Quality Control

1. Quality Control In charge
2. Quality Procedure:

   ISO Certified since when (Enclose a Copy of Operating Procedure)
3. Pre-delivery Inspection Procedure on Gensets (including records maintained)
4. System of serial numbering and marking on Genset and their sub-systems – e.g. all Enclosures, etc.)
5. Any other

Date: .....
Place: .....

SIGNATURE OF THE
(Chairman /President / Managing Director
/ Partner / CEO / COO / Proprietor)

SEAL OF THE COMPANY

---End---

5. Conformity of Production Administrative Procedure

5.1 General

5.1.1. Conformity of Production (COP) compliance is designed to ensure manufacture's compliance and control towards a type approved genset engine family to statistically ensure engines sold under such emission certification does meet required emissions and other requirements including NOx diagnostics provisioned by this Regulation;

5.1.2. COP process compliance also ensures type approval certificate validity and hence right to produce and sale genset engines by a manufacturer owing such type approval certification for the next COP-year. Such provision is administratively provided by issuing Conformity of Production Certificate;

5.1.3. Each manufacture shall subject it's engine model range to the verification of COP, every year. For this, the year shall mean the period from 1st July of the calendar year to 30th June of the succeeding calendar year; - For above 19kW power rating

5.1.4. Each manufacturer shall subject engine model(s) for NOx Control Diagnostics (NCD) compliance test representative of it's NCD family as declared during type approval certification for it's entire genset engine model range. Depending on engine types certified, a manufacturer may need to submit apt and separate representation of engine types representing NCD families. Such demonstration and compliance shall be required once per COP-year per NCD family.

5.1.5. For this, the year shall mean the period from 1st July of the calendar year to 30th June of the succeeding calendar year;

5.2. Verification of Conformity of Production (COP)

5.2.1. **COP of domestically manufactured engine families' up to 19 kW:**

5.2.1.1. In case engines up to 19 kW power rating, the verification of COP shall be done once in a COP-year as per plan described in following 'Table 1'

Table 1

Number of families to be tested for verification of COP for domestic manufactures (for engines up to 19 kW)

Total number of families of the domestic manufacturer	Total number of families to be tested per year
1– 3	1
4 – 7	2
8 – 11	3
12 – 15	4
>15	5

5.2.2. **COP of domestically manufactured engine families more than 19 kW rated output and imported engines of all rating:**

Domestically manufactured engines of more than 19 kW rated output and imported engines of all ratings shall be subjected to the verification of COP once for every 1000 units per family or once a year, whichever is earlier;

5.2.3. Domestically manufactured engine models(s) and imported engines of all ratings shall be subjected to the verification of NCD once every COP-year by NCD family(ies) representative

engine(s). Such demonstration is NCD family dependant and irrespective of combined volumes produced and sold under such family(ies).

5.3. Sample size, Decision and Other Criteria for verification of COP

5.3.1. In case of engines defined in clauses 5.2.1.and 5.2.2. above, testing shall be done on sample(s) randomly selected by certification agency from the production line / import warehouse, of one model for each family selected for COP.

5.3.2. In case of engines defined in clauses 5.2.3. above, testing shall be done on sample(s) randomly selected by certification agency from the production line / import warehouse for NCD compliance;

5.3.3. In case engines up to 19 kW power rating, a minimum quantity of 10 numbers or one day's average production volume of the engine model selected by technical agency, whichever is more shall be made available for random selection. Same criteria shall apply to random selection of after treatment system that is not integrated with engine system;

5.3.4. In case of domestically manufactured engines more than 19 kW power rating, a minimum one day's average production volume of the engine model selected by technical agency, shall be made available for random selection subject to minimum quantity of 3. This limit shall not be applicable in case of import of all ratings. Same criteria shall apply to random selection of after treatment system that is not integrated with engine system;

5.3.5. If the manufacturer is not of Indian origin, the manufacturer should establish a base office in India, which is to be declared in the initial application submitted to Nodal Agency as per Appendix 1 This base office will be responsible for Type Approval and COP compliance;

5.3.6. The manufacturer shall request the certification agency when they would like to make random selection of engine(s) and to seek their schedule / availability for completing the COP test;

5.3.7. COP verification shall be carried out for each plant of the domestic manufacturer. For imported engines, the COP testing shall be carried out on the engine manufactured for each country of origin irrespective of engine family being same;

5.3.8. The certification agency shall intimate to the manufacturer the schedule (month) of sampling / testing. The manufacturer shall inform the production/ import plan for the month in which the technical agency wants to carry out the COP, to the technical agency. If the manufacturer has a problem due to particular reasons such as the particular model is not likely to be scheduled for production / import at that time, or enough number of engines may not be available for random selection; the time schedule may be modified based on mutual convenience of the manufacturer and the technical agency;

5.3.9. The manufacturer shall complete all COP activities (such as random selection, initial running-in, emission testing, NOx Diagnostics testing and documentation) at least one month before the end of COP-year. The COP certificate shall not be issued in case of non-adherence to above schedule unless such schedule attainment is due to unavoidable reasons such as but not limited to test facility downtime, extended COP process encountered.

5.3.10. Following 'Table 2' gives the deadlines for the respective COP-year for the COP activities. However, manufacturer can take early action on each activity to ensure compliance.

5.3.11. Manufacturer must take early action on each activity for the families (more than 19 kW rated output) exceeding 1000 units of production to ensure the compliance of clause 5.2.2.

Table 2

Sr No	Activity Description	Last Date
1	Submission of production / import plan / actual details to test agency	1st March
2	Random Selection	1st April
3	Submission of engines and subsequent testing (Including extended COP if any)	31st May
4	Completion of documentation and COP Certificate issuance	30th June

5.3.12. The manufacturer shall inform the test agency regarding the stoppage of production of a specific model, in case this has not been anticipated at the start of the COP period. This shall be intimated well in advance so that COP selection of the engine can be completed by the technical agency before stoppage of production. Manufacture is held accountable for ensuring COP is conducted and samples are made available per requirements of this Regulation;

5.3.13. The manufacturer shall provide all the assistance required by the technical agency for completing the tests;

5.3.14. The latest updated technical specifications, procedure of pre-delivery inspection (PDI), running-in and servicing of the engine, shall also be submitted before the engine selection, if there have been revisions after the previous COP / type approval;

5.3.15. Pre-delivery inspection as per owner's instruction manual / service manual will be carried out by the manufacture as per procedure declared at the time of type approval and as amended and intimated to concern technical agency from time to time for the selected engine(s), under the control of technical agency;

5.3.16. The running-in of the engine(s) shall be carried out as per the manufacture's recommendation submitted during the type approval and as amended and intimated to the concern technical agency from time to time, for engine(s) under control of the technical agency. Running-in may also be carried out at engine manufacture's place under the control of technical agency provided adequate facilities are available. After such running-in procedure, manufacture will be permitted to carry out all the adjustments recommended in their owner's / service manual and as amended and intimated the concern technical agency from time to time published with technical agency, under the control of the technical agency;

5.3.17. In case of failure of any major component during the running-in or testing, the technical agency may permit to replace component only once; which has failed and which does not affect the performance and emission of the engine. Such decision is entirely with technical agency. In case of failure of emission affecting component, random selection of engine at manufacture's plant or warehouse shall be done afresh. If for such randomly selected engine (second time) or a replaced component fails again, it shall be reported to the nodal agency by the concern technical agency and agency shall await instructions from the nodal agency for further action;

5.3.18. The manufacture shall submit the randomly selected engine(s) to technical agency within four weeks (8 weeks in case of import subjected to last date as mentioned in clause 5.2.10. – Table 2) of completion of running-in for emission compliance test and NOx diagnostics test where applicable. Manufacturer also shall supply appropriate 'failed' parts for NCD and/or PCD demonstration in line with agreement with technical agency during type approval certification and documentation;

5.3.19. The technical agency should endeavour to complete the required testing of selected engine(s) within four weeks after submission of engine(s);

5.3.20. The testing shall be done as per applicable procedures and specifications described in Annexure 7 with test facility compliant to requirements specified Annexure 4 while utilizing data evaluation and result calculation procedures described in Annexure 5 of this Regulation;

5.3.21. **Sampling plan for COP**

5.3.22. Sampling Plan-I is applicable to domestically manufactured engine upto 19 kW rated output whereas Sampling Plan-II is applicable to domestically manufactured engine above 19kW as well as for imported engines of all ratings (Imported as an engine or as a genset)

5.3.23. **Sampling Plan-I: Upto 19kW domestic**

5.3.23.1. The number of samples to be tested shall be minimum as necessary, as given in Table 3 to arrive at a decision on whether the produciton unit complies with applicable emission limits;

5.3.23.2. A sample said to have failed for particualr criteria pollutant if the test result of the sample for the criteria pollutant exceeds required DF and IRAF (if applicable) corrected emission limit;

5.3.23.3. The production units of all models in the family shall be deemed to comply with the emission limits if the number of failed samples as defined in clause 5.3.23.2. above for each criteria pollutant is less than or equal to the pass decision number appropriate to the cumulative number of smaples tested for that criteria pollutant as given in Table 3;

5.3.23.4. The production units of all models in the family shall be deemed to be non- complying with the emission limits if the number of failed samples as defined in clause 5.3.23.2. above for each criteria pollutant is more than or equal to the fail decision number appropriate to the cumulative number of smaples tested as given in Table 3;

Table 3

Sampling plan I and decision criteria for the verification of COP of the engines

Cumulative Samples	Pass decision	Fail decision		Cumulative Samples	Pass decision	Fail decision
	No. of failure				No. of failure	
1	([a])	([b])		16	6	11
2	([a])	([b])		17	7	12
3	([a])	([b])		18	7	12
4	0	([b])		19	8	13
5	0	([b])		20	8	13
6	1	6		21	9	14
7	1	7		22	10	14
8	2	7		23	10	15
9	2	8		24	11	15
10	3	8		25	11	16
11	3	8		26	12	16
12	4	9		27	12	17
13	5	10		28	13	17
14	5	10		29	14	17
15	6	11		30	16	17

([a]) : Series not able to pass at this stage

([b]) : Series not able to fail at this stage

Note:

v) COP compliance or non-compliance decision is on the basis of number of failures within the respective cumulative samples tested.

vi) During successive testing, the decision which reached first is the final.

vii) Minimum 4 samples are required to test to reach pass decision

viii) Minimum 6 samples are required to test to reach fail decision

5.3.23.5. Once a complaince or non-compliance decision is made for a particular criteria pollutant, the result of testing of subsequent samples for that criteria pollutant shall not influnece the decision;

5.3.24. **Sampling Plan-II: Above 19kW & Imported all rating**

5.3.24.1. One engine sample selected randomly shall be tested as per procedure described in Annexure 7 of this Regulation;

5.3.24.2. If the engine sample as tested fails to comply with the emisison limits, the manufacturer may ask for measures to be performed on a sample of engines taken from the production series and including the engine orignally tested. The manufacturer shall specify the size 'n' of the sample subject to 'n' being minimum of **3** and maximum of 10 includive of sample orignally tested;

5.3.24.3. The production or import units of all models in the family shall be deemed to compy with emission limits if following condition is met for each criteria pollutant except for Smoke limits:

$$\overline{x} + k.\ S < L$$

Where,

$\overline{x}$ = arithmetic mean of the results of the tests conducted on 'n' number of samples, for a particular specie

S = Standard deviation of the results of the tests conducted on 'n' number of samples, for the specie = $[\Sigma\ (x - \overline{x})^2 / (n - 1)]^{1/2}$

x = results of the tests conducted on 'n' number of samples, for the specie.

L = the emission limit for the specie

k = a statistical factor dependent on 'n' number of samples and as given in below table.

Table 4

Values of Statistical Factor 'k' with respect to parameter 'n'

n	2	3	4	5	6	7	8	9	10
k	0.973	0.613	0.489	0.421	0.376	0.342	0.317	0.296	0.279

5.3.25. **Miscellaneous failure during COP**

5.3.25.1. Reserved

5.3.25.2. **Gross Power failure :** For verifing the conformity of production, if the selected engine does not meet the gross power and rated speed limit as applicable, the another two engines in case above 19 kW power rating or four engines in case below 19 kW power rating, will be taken from the series at random and be tested as per this part. All these selected engines shall meet the limit values specified. One engine shall be subjected to the emission test for the conformance of production.

5.3.25.3. **Reserved**

5.3.25.4. **NCD / demostration failure** : For verifing the conformity of production, if the selected engine does not meet the compliance requirement with respect to NCD demostration as applicable, the another two engines in case above 19KW power rating or four engines in case below 19KW power rating, will be taken from the series at random and be tested as per this part. This selected all engines should meet the limit values specified. One engine shall be subjected to the conformance of production.

5.3.25.5. If further same failure noted in any of the additionally selected samples of caluse 5.3.25.1, 5.3.25.2, 5.3.25.3 & 5.3.25.4, the production shall be considered as non-compliance and the provision of clause 5.8, shall be put into effect.

5.4. Certificate of Conformity of Prouction (COP) and It's Validity

5.4.1. After verification for COP, the certification agency shall issue a COP verification report to the manufactuer within one month of the date of testing completion , indicating complinace or non-compliance. In case of compliance, the technical agency shall issue a COP certificate to the manufactuer as per format prescibed in Annexure 2 along with report. At the end of CoP year, detalis of CoP & the copies of certificate as well as report shall also be forwarded to the Nodal Agency;

5.4.2. Certificate of conformity of production is required to commence the production and sale of domestic genset engines in immediately next COP-year. Validity of current COP certificate shall be till successful compliance of first COP test in next COP-year

5.4.3. In given COP-year for engine type(s) described in clause 5.2.2., successful compliance of COP for every 1000 engines invoiced by the manufacturer shall enable further production of 1000 engine(s) and thereafter, in case engine(s) volumes for a particualr engine family exceed 1000 units annually;

5.4.4. The COP certificate will be issued at the end once all the numbers of engine samples demanded as per the production plan submitted become tested successfully. Intermediate COP certificate i.e at each sample tested shall not be issued, However the test data report will be issued at every test sample.

5.5. COP Discontinuity

5.5.1. If there is no production or import of model(s) of a particular engine family for two consecutive years immediately after obtaining type approval or immediately after obtaining COP certificate, the type approval certificate for that particular engine family becomes invalid. In case the manufacturer wants to maufacture or import engine model(s) of such particular engine family, then the manufacture shall approach Nodal Agency and technical agency to obtain a new Type Approval certificate afresh following stated procedure;

5.5.2. If there is no production or import of model(s) of a particular engine family for two consecutive years immediately after obtaining type approval and if the COP test is requested for the third year, then the supplier shall approach Nodal Agency to obtain approval for the extention of validity of Type Approval. This is applicable only for a new manufacturer and in case no engine(s) sale has happended post type approval;

5.6. Exemption from COP

In the following cases, engine family(ies) shall be exempted form COP process.

5.6.1. If Type Approval obtained in the last quarter of COP year. This clause is not applicable to the manufactueres as mentioned in clause 4.4.5.

5.6.2. In case of no production or import, the manufacturer shall submit a declaration to technical agency and Nodal Agency for 'No production or import' of a particular family models for every COP-year;

5.6.3. The COP test shall be conducted by technical agency for the next COP-year, upon receipt of declaration by the manaufacturer that there was no productionor import during current COP-year;

5.6.4. Declaration in this regard should be submitted before end of third quarter of the current COP-year; (i.e 31st March), if produces after 31st March, COP is applicable.

5.6.5. Any genset engine manufactured for purpose of export outside India. COP exemption shall apply for such volumes and COP test exemption shall apply if all such engine(s) produced are exported;

5.6.6. Any domestically manufactured genset engine intended for the purpose of sample (Maximum number of 4 units per engine family) only and not for sale in India;

5.6.7. Any genset engine imported for the purpose of sample testing, bench making and not intended for any commercial sale (Maximum number of 4 units per year);

5.6.8. Any genset engine imported for the round robin or laboratory correlation tests. Such engines shall be exported back within 12 months from the date of import; or it should be scraped and a scrap certificte shall be provided.

5.6.9. For obtaining exemptions regarding clauses 5.6.6, 5.6.7 and 5.6.8, the manufacturer shall obtain written approval from Nodal Agency.

5.8.10. For obtaining exemptions regarding 'No production or import' as per clauses 5.6.2, the manufacturer shall obtain written approval from Nodal Agency. Nodal agency should endeavor to respond within 4 week after the receipt of application.

5.6.10. COP exemption shall be maximun up to three consecutive COP year, to resume sell from fourth year a fresh type approval shall be conducted

5.6.11. After obtaining exemption as per 5.6.2, once production resume, manufacturer shall first conduct COP before making any sell.

5.7. Production Definitely Discontinued

5.7.1. If manufacturer decides to discontinue production / withdraw type approval certificate of a specific engine family or multiple families from a specific plant or all plants, the manufacturer shall so inform to Test Agency involved in type approvals and COP compliance at least three month before the stopage of production;

5.7.2. In such case, the manufacturer shall ensure COP compliance for the engine family(ies) from every manufacturing plant before the production of the engine family(ies) is discontinued following COP compliance process described in clauses 5.1, 5.2, 5,3 and 5.4 of this Regulation;

5.7.3. Upon receiving the relevant communication, the Test Agency shall inform the manufacturer the acceptance of the communication and provide an acceptance letter.

5.8. Consequances of non compliance

5.8.1. If the COP vertification report of the technical agency for a model family indicates non-compliance, the manufacturer must stop manufacturing with immediate effect;

5.8.2. Further, the manufactuer must analyze the reasons for non-compliance, plan to take corrective actions in design, production line and units already produced and submit a report to the nodal agency with a copy to concern technical agency withing four weeks of the receipt of the COP verification report;

5.8.3. If the manufacturer is unable to diagnose the reasons for non-compliance within stipulated time, this shall be clearly stated in the report;

5.8.4. Based on the diagnostics and corrective action plan submitted by the manufactuere, the Nodal Agency in consultation with technical agency and if both decide to, in consultation with standing committee, may take any of the following actions:

5.8.4.1. Allow continuation of production or import of all models in the family if it is satisfied with the corrective actions planned or taken by the manufacturer and ability to meet emission norms;

5.8.4.2. Allow continuation of production or import of some or all other models of the engine family if it determines that the reasons for non-conformance of the tested model are not relevant to these models; with or without additional verification of COP in due course;

5.8.4.3. Stop production or import of some or all other models of the engine family till compliance is demonstrated by the supplier, through a re-verification of COP. In case of imported model(s), the non-compliant genset should be sent back to the original destination;

5.8.5. The manufacturer shall be given an opportunity to explain it's views before taking final decision;

5.8.6. It is responsibility of the manufacturer to ensure at his cost that the modifications are carried out such as field retro fitment campaigns within period specified by the Nodal Agency on all products produced as well as sold / dispatched in the period between the dates from which the COP

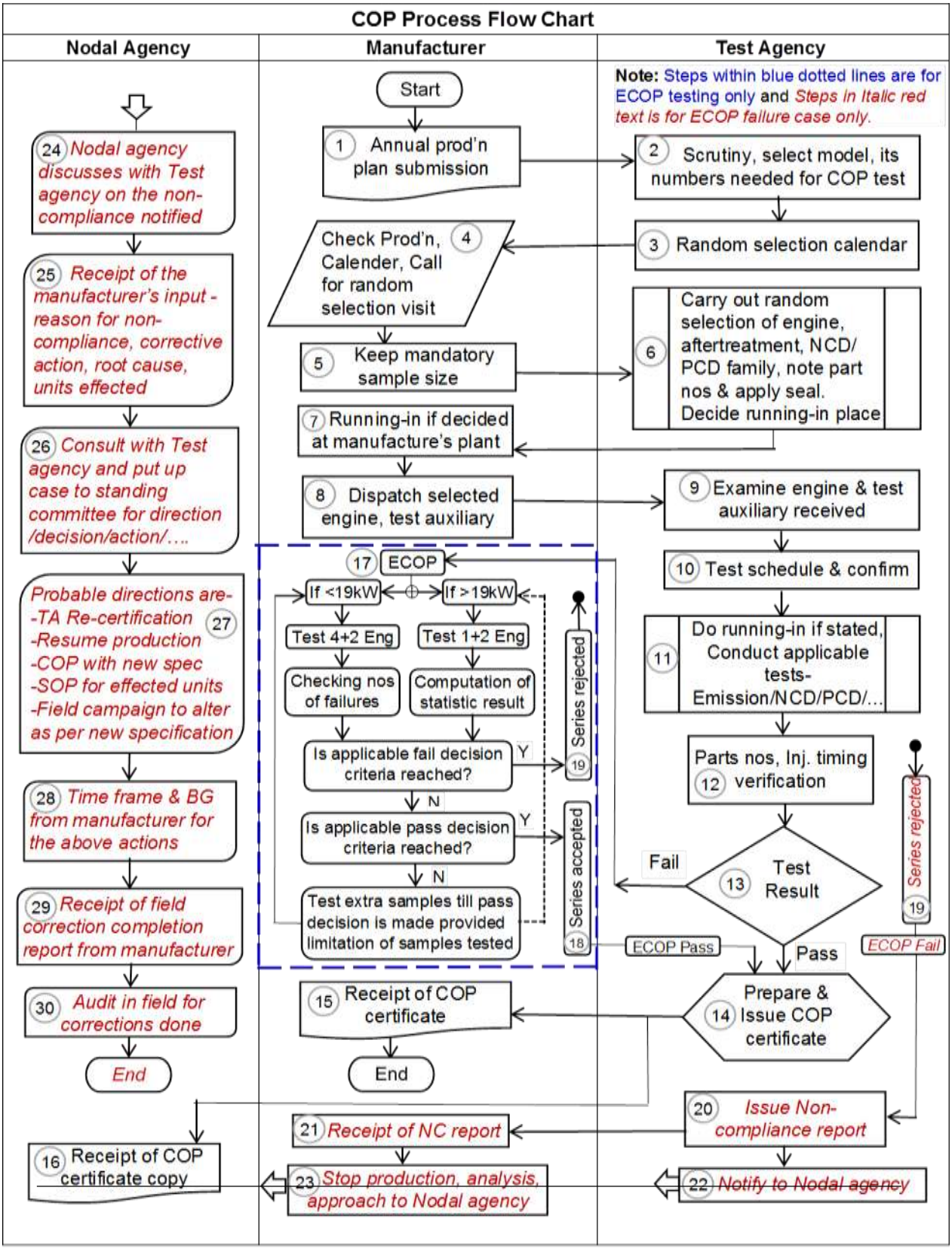
became due and re-verification of COP or as decided by the Nodal Agency, in consultation with standing committee;

5.8.7. Nodal Agency with help from Technical agency shall audits compliance in field on random basis for corrections done and issues closure letter to manufacturer

5.8.8. Further, to avoid such non-compliances again in future, following precautionary measures shall be initiated to strengthen the process which will be followed for next two COP cycle after re-certification & resuming the production with new modified spec;

a) COP compliance shall be established once for every 500 units of production
b) First COP compliance to be established before crossing 50 units of production once production resume with modified spec.
c) COP activities to be completed in all by 31st March every COP year for the next three years. After 3-year, COP shall be as per normal practice/timeline.

5.9 COP Process Flow Chart



Following are the logical steps for the COP process flow chart described in brief stepwise-

COP cycle shall end with either -

I. COP compliance with regular sample testing (Steps 1-16)
II. COP compliance with extended samples testing (…. Steps 17-18)
III. COP non-compliance after extended testing (…. Steps 19-30)

CASE I:

1. Manufacturer with valid Type Approval and COP certification submits annual estimated production/ import volume data to technical agency involved in the format provided within two months of start of new COP-year.
2. Technical agency, based on the production/import volumes, communicates to manufacturer, the engine type chosen and the number of samples needed for COP compliance test for all engine families of that manufacturer within one month of submission of above production volume data;
3. Technical agency also communicates to the manufacturer plan for COP engine random selection based on manufacture's input above;
4. Manufacturer coordinates adhering to technical agency provided or negotiated plan and coordinates for engine random selection at manufacturing plants/warehouses/sales points for imported engines as the case may be.
5. At the point of selection as mentioned in Sr 4, manufacturer provides with minimum required sample size for random selection;
6. Technical agency representative carries out random selection and does note emission critical component part and serial numbers. Provides with appropriate seal for avoiding tampering of assembly parameters further;

   Technical agency representative randomly selects after treatment system if applicable;

   Technical agency representative can also carry out random selection of an engine if from chosen representative engine family for NCD compliance utilizing the same pool of engines offered;
7. Decision, if running–in is carried out at manufacturer's location or technical agency location;

   If running-in is agreed to be carried out at manufacture's facility, running-in shall be started in presence of technical agency representative.
8. Engine along with arranged after treatment shall be transported to technical agency test location;
9. Upon receipt of engine along with auxiliaries, technical agency examines the adaptability in test cell, make necessary modification in exhaust line, mounts, etc, if required.
10. Technical agency communicates the test schedule to manufacture for his witness
11. Technical agency carries out engine qualification and emissions test per applicable procedure and shares results with manufacturer;
12. Technical agency carries out critical component type/part numbers, injection timing verification and match with type approved document, in case any change noted, manufacturer to provide the declaration in this regard, till time COP certificate will be on hold.
13. Review of test result & decision on compliance;
14. If COP compliance is obtained, Technical agency prepared the compliance certificate within 4 weeks from the date of testing. Engine & after treatment, test auxiliary is returned to the manufacturer;
15. Technical agency issue compliance certificate to manufacturer
16. Technical agency forwards a copy of compliance certificate to Nodal agency

CASE II:

17. **ECOP:** If non-compliance observed after testing (at Stage No 13), process begins from extended random selection of engine(s) as per preferred options prescribed in clause 5.3.20.

    - **ECOP > 19KW (Statistics is on the basis of failed emission values)**

    17.1 Initially test 2 more new engines
    17.2 Computation of the test statistic result of 3 engines (1-originally tested + 2 new)
    a) According to the appropriate clause does the test statistic result agree with the criteria for failing the series
    b) According to the appropriate clause does the test statistic result agree with the criteria for passing the series
    17.3 If, answers of a & b are NO, then; continue the testing on additional samples till pass decision is made provided limitation of samples tested as applicable (10 numbers maximum)

    - **ECOP < 19KW (Statistics is on the basis of numbers of failed engine)**

    17.4 For establishing the compliance minimum 4 samples are mandatory to be tested and all 4 samples should pass.
    17.5 If some samples failed, the additional new more samples, are required to be tested, the numbers depend on the number of samples failed earlier,
    17.6 Initially test 2 more new engines
    17.7 In the cumulative samples (4-originally tested + 2 new), note the numbers of failed samples
    c) According to the appropriate clause does the number of failed samples agree with the criteria for failing the series
    d) According to the appropriate clause does the number of failed samples agree with the criteria for passing the series
    17.8 If, answers of a & b are NO, then; continue the testing on additional samples till pass decision is made provided limitation of samples tested as applicable (30 numbers)

18. If during testing if the pass decision criteria is reached, the series is deemed to be compliance and COP certificate is issued (then continue from Stage14-16)

CASE III:

19. If during testing if the fail decision criteria is reached, the series is deemed to be non-compliance;

    Or if Manufacturer opts to declare non-compliance without availing total number of samples allowed to be tested, the series is deemed to be non-compliance

20. Technical agency prepares the COP failure report

21. Technical agency issue COP failure report to manufacturer

22. Technical agency informs nodal agency about COP non-compliance of said engine family

23. Manufacturer stops production or import of the said non-compliant engine family;

    Manufacturer informs nodal agency about non-conformity, stoppage of production and dispatch and their plan for failure investigation within 4 weeks of receipt of COP verification report or COP failure intimation

24. Nodal agency discusses with test agency in details on the non-compliance notified.

25. Nodal agency replies to the manufacturer about their inputs on timelines by which compliance shall be obtained as well as failure root cause and corrective action plan submission. Nodal agency also informs about any financial penalty or assurance required from the manufacturer;

    Manufacturer submits root cause analysis and corrective action plans to nodal agency in consultation with technical agency;

26. Nodal agency in consultation with technical agency reviews manufacture's submissions and decides if such case shall be put forth for standing committee review and guidance if found necessary depending upon the severity of the failure.

    If decided to represent at standing committee, nodal agency brings this issue to standing committee and allows manufacture to represent their submissions. If standing committee agrees / approves manufacturer's submission and plan then;

27. Nodal Agency can also decide on their own & shall take following decision:

    27.1 Gives written permission to technical agency to proceed with re-verification of COP with improvements implemented by manufacturer and also type approval re-certification as the case may be.

    27.2 Manufacturer offers engine sample and technical documentation to technical agency and complies with COP;

    27.3 Technical agency issues compliance certificate or type approval certificate if newly approved as an option;

    27.4 Manufacture begins production after obtaining new Type Approval or COP compliance certificate;

    27.5 Manufacturer completes corrective actions on engines dispatched during period of COP and COP failure date;

28. Manufacturer complies with 'financial assurance related' documents asked by Nodal Agency;

29. Manufacturer submits corrective action / campaign report with adequate evidences to Nodal Agency

30. Nodal Agency with help from Technical agency audits compliance in field for corrections done and issues closure letter to manufacturer;

    End

ANNEXURE – 1
Information Document from Manufacturer
Appendix – A.1
Templates for information folder and information document

1. Information folder

The information folder referred to in clause 4 of this Regulation shall contain the following:

1.1 A list of contents;

1.1.1 Approval copy from Nodal Agency with regard to Appendix 1

1.2 Manufacturer's declaration on adherence to all requirements of this Regulation in accordance with the template set out in this Appendix

1.3 Manufacturer's statement on the compliance of the engine type or engine family with the exhaust emission limits set out in clause 3.1 of this Regulation with regard to specified liquid fuels, fuel mixtures or fuel emulsions other than those set out in Appendix 11 of Annexure 7

1.4 For electronically controlled engines of categories Genset , complying with the emission limits set out in Clause 3.1 to this Regulation and using electronic control to determine both the quantity and timing of injecting fuel or using electronic control to activate, de-activate or modulate the emission control system used to reduce NO_X, a complete overview of the emission control strategy, including the base emission control strategy and the means by which every auxiliary control strategy directly or indirectly controls the output variables;

1.4.1 Additional confidential information as set out in Appendix A.2 shall be made available, only for the technical service performing the tests and not included in the information folder;

1.5 Where applicable, a full description of the functional operational characteristics of the NO_X control measures and inducement system as referred to in Annex 9 to this Regulation;

1.5.1 Where applicable, a copy of the demonstration reports set out in paragraphs A.1.10.5. and A.1.13.4. of Appendix A.1 of Annexure 8;

1.5.2 Where applicable, a description of the connection for, and method to read, the records set out at paragraph A.1.5.2.1.1. (e) of Appendix A.1 of Annexure 8;

1.5.3 Where the engine type or engine family is member of a NCD engine family, a justification of its membership together with the information requested in paragraph 1.5., 1.5.1. and 1.5.2. on the NCD engine family may be supplied alternatively, upon agreement of the Type Approval Authority;

1.6 Reserved

1.6.1 Reserved

1.6.2 Reserved

1.7 Reserved Manufacturer's declaration, and supporting test reports or data, on deterioration factors as referred to in clause 3.2.5 of Appendix 6 of Annexure 7 of this Regulation;

1.7.1 Where the engine type or engine family is a member of an engine after-treatment system family, a justification of its membership together with the information requested in paragraph 1.7 on the after-treatment system family may be supplied alternatively, upon agreement of the Type Approval Authority;

1.8 Where applicable, the manufacturer's declaration, and supporting test reports or data, of the infrequent regeneration adjustment factors referred to in Annex 4 to this Regulation;

1.8.1 Where the engine type or engine family is a member of an engine-after-treatment system family, a justification of its membership together with the information requested in paragraph 1.8 on the

engine-after-treatment system family may be supplied alternatively, upon agreement of the Type Approval Authority;

1.9 Manufacturer's declaration and supporting data demonstrating that the emission control strategies fitted are designed in such a way as to prevent tampering to the extent possible, as referred to in Appendix 3 of Annexure 8 of this Regulation.

1.9.1 For engine types and engine families that use an Electronic Control Unit (ECU) as part of the emission control system the information shall include a description of the provisions taken to prevent tampering with and modification of the ECU including the facility for updating using a manufacturer approved programme or calibration;

1.9.2 For engine types and engine families that use mechanical devices as part of the emission control system the information shall include a description of the provisions taken to prevent tampering with and modification of the adjustable parameters of the emission control system. This shall include the tamper resistant components such as carburettor limiter caps or sealing of carburettor screws or special screws not adjustable by user;

1.9.3 In order to place engines from different engine families into the same tamper prevention engine family the manufacturer shall provide confirmation to the Type Approval Authority that the measures used to prevent tampering are similar.

1.10 A description of overall quality-assurance management systems for conformity of production in accordance to clause 5 of this Regulation;

1.11 A list of scheduled emission-related maintenance requirements and the period at which each should occur including any scheduled exchange of critical emission-related components;

1.12 The completed information document as set out in clause 2 of this Annex;

1.12.1 Where the particulars appearing in the information document for an engine approval have changed, the manufacturer shall submit revised pages to the approval authority showing clearly the nature of the change(s) and the date of re-issue;

1.13. All relevant data, drawings, photographs and other information as required in the information document;

2. Information document

The information document shall have a reference number issued by the applicant.

2.1. All information documents shall contain the following:

2.1.1. The general information set out in Part A of Appendix 3;

2.1.2. The information set out in Part B of Appendix 3, to identify the common design parameters of all engine types within an engine family or applicable to the engine type where not part of an engine family, intended for type approval;

2.1.3. The information set out in Part C of Appendix 3 following the format of the matrix set out in clause 2.1.3.1 to identify the items applicable to the parent engine or engine type and the engine types within the engine family, if applicable:

2.1.3.1. Engine type or engine family matrix with example data

Item Number	Item Description	Parent engine/ engine type	Engine types within the engine family (if applicable)			
			type 2	type 3	type …	type n

3.1	Engine Identification					
3.1.1	Engine type designation	A01	A02	A03	A04	A05
3.2	Performance Parameters					
3.2.1	Declared rated speed(s) (rpm):	2200	2200	2000	1800	1800
3.10	Miscellaneous devices: Yes/No					
3.10.1	Exhaust gas recirculation (EGR)					
3.10.1.1	Characteristics (cooled/uncooled, high pressure/low pressure, etc.):					
…	…	…				

2.1.3.2. Reserved

2.1.3.3. In the case of constant speed engines with multiple rated speeds an additional set column(s) of data for each speed shall be recorded in paragraph 3.2 (Performance Parameters).

2.2. Explanatory notes on creation of information document:

2.2.1. Upon agreement of the approval authority, the information in paragraph 2.1.2 and 2.1.3 may be presented in an alternative format;

2.2.2. Each engine type or the parent engine in the matrix set out in paragraph 2.1.3.1 shall be identified in accordance with the engine family designation and engine type designation set out in paragraph 2.3.

2.2.3. Only those paragraphs of this Annex relevant for the particular engine family, engine types within the engine family or engine type shall be listed; in any case, the list shall adhere to the proposed numbering system,

2.2.4. Where several options separated by forward slash are given for an entry, the unused options shall be struck out, or only the used option(s) shall be shown;

2.2.5. When the same value for or description of a certain engine characteristic applies for several or all members of an engine family the corresponding cells may be merged.

2.2.6. Where a picture, diagram or detailed information is required, a reference to an appendix may be given;

2.2.7. Where a ‘type’ of a component is requested, the information supplied shall uniquely identify the component; this may be a list of characteristics, a manufacturers' name and part or drawing number, a drawing, or a combination of the aforementioned or other methods that achieves the same result.

2.3. Engine type designation and engine family designation

2.3.1. Engine family designation shall be as per ISO 8178-7 guidelines; however, engine type designation is at the choice of manufacturer.

2.3.2. The manufacturer shall not use the same engine family designation to identify more than one engine family

Appendix – A.2
Confidential information on emission control strategy

A 2.0 Confidential Information on emission control technology

A.2.1. This Appendix shall apply to electronically controlled engines, which use electronic control to determine both the quantity and timing of injecting fuel.

A.2.2. Additional information shall be presented to the test agency but not annexed to the application for type approval. This information shall include all the parameters modified by any auxiliary emission control strategy and the boundary conditions under which this strategy operates and in particular:

(a) a description of the control logic, of timing strategies and switch points, during all modes of operation for the fuel and other essential systems, resulting in effective emission control (such as exhaust gas recirculation (EGR) or reagent dosing);

(b) a justification for the use of any auxiliary emission control strategy applied to the engine, accompanied by material and test data, demonstrating the effect on exhaust emissions. This justification may be based on test data, sound engineering analysis, or a combination of both;

(c) a detailed description of algorithms or sensors (where applicable) used for identifying, analysing, or diagnosing incorrect operation of the NO_X control system;

(d) reserved

A.2.3. The additional information required in paragraph A.2.2 shall be treated as strictly confidential. It shall be retained by the manufacturer and made available for inspection by the Type Approval Authority at the time of type approval or upon request at any time during the validity of the type approval. In this case, the Type Approval Authority shall treat this information as confidential and shall not disclose it to other parties.

Appendix – A.3

Template for submission of engine technical specification

PART A

1. GENERAL INFORMATION

1.1. Make (trade name(s) of manufacturer):

1.2. Commercial /Brand Name name(s) (if applicable):

1.3. Company name and address of manufacturer:

1.4. Name and address of manufacturer's authorised representative (if any):

1.5. Name(s) and address(es) of assembly/manufacture plant(s):

1.6. Engine type designation/engine family designation/FT:

1.7. Category Constant speed or variable speed:

1.8. Reserved

1.9. Reserved

1.10. Reserved

1.11. Rated Power

1.12. Test cycle: D1 3-Mode Steady State cycle discrete-mode type / RMC type

1.13. Reserved

1.14. Restrictions on use (if applicable):

PART B

2. COMMON DESIGN PARAMETERS OF ENGINE FAMILY (1)

2.1. Combustion Cycle: four stroke cycle/two stroke cycle/other (specify):

2.2. Ignition Type: Positive ignition:

2.3. Configuration of the cylinders:

2.3.1. Position of the cylinders in the block: Single/V/in-line/opposed/radial/ other(specify):

2.3.2. Bore centre to centre dimension (mm):

2.4. Combustion chamber type/design

2.4.1. Open chamber/divided chamber/other(specify):

2.4.2. Valve and porting configuration:

2.4.3. Number of valves per cylinder:

2.5. Range of swept volume per cylinder (cm^3):

2.6. Main Cooling medium: Air/Water/Oil:

2.7. Method of air aspiration: naturally aspirated/pressure charged/pressure charged with charge cooler:

2.8. Fuel:

2.8.1. Fuel Type: (Natural gas/Biomethane) / LPG / Any other alternate fuel as specified in this document

2.8.1.1. Reserved.

2.8.2. Fuelling arrangement: Dedicated / Bi -Fuel

2.8.3. List of additional fuels, fuel mixtures or emulsions compatible with use by the engine declared by the manufacturer in accordance with Appendix 3 to paragraph 5 of this Regulation (provide reference to recognised standard or specification):

2.8.4. Lubricant added to fuel: Yes/No

2.8.5. Fuel supply type: Pump (high pressure) line and injector/in-line pump or distributor pump/Unit injector/ Common rail./ Any other

2.9. Engine management systems: mechanical/electronic control strategy

2.10. Miscellaneous devices: Yes/No (if yes provide a schematic diagram of the location and order of the devices)

2.10.1. Exhaust gas recirculation (EGR): Yes/No (if yes, complete section 3.10.1 and provide a schematic diagram of the location and order of the devices)

2.10.2. Water injection: Yes/No (if yes, complete section 3.10.2 and provide a schematic diagram of the location and order of the devices)

2.10.3. **Air injection: Yes/No (if yes, complete section 3.10.3 and provide a schematic diagram of the location and order of the devices)**

2.10.4. Others: Yes/No (if yes specify, complete section 3.10.4 and provide a schematic diagram of the location and order of the devices):

2.11. Exhaust after-treatment system: Yes/No (if yes provide a schematic diagram of the location and order of the devices)

2.11.1. Oxidation catalyst: Yes/No

(if yes, complete section 3.11.2)

2.11.2. De NO_X system with selective reduction of NO_X (addition of reducing agent): Yes/No

(if yes, complete section 3.11.3)

2.11.3. Other De NO_X systems: Yes/No

(if yes, complete section 3.11.3)

2.11.4. Reserved

2.11.5. Reserved

2.11.5.1. Reserved

2.11.6. Reserved

2.11.6.1. Reserved

2.11.7. Reserved

2.11.8. Reserved

2.11.9. Reserved

PART C

Item Number	Item Description	Parent engine/ engine type	Engine types within the engine family (if applicable)				Explanatory notes (not included in document)
			type 2	type 3	type …	type n	
3.1.	**Engine Identification**						
3.1.1.	Engine type designation						
3.1.2.	Engine type designation shown on engine marking: yes/no						
3.1.3.	Location of the statutory marking:						
3.1.4.	Method of attachment of the statutory marking:						
3.1.5.	Drawings of the location of the engine identification number (complete example with dimensions):						
3.2.	**Performance Parameters**						
3.2.1.	Declared rated speed(s) (rpm):						
3.2.1.1.	Declared rated gross power (kW):						
3.2.1.2.	Fuel delivery/stroke (mm3) for diesel engine, fuel flow (g/h) for other engines, at rated gross power						
3.2.6.	Idle speed (rpm)						
3.2.7.	No load speed (rpm):						
3.2.8	Overload load speed (rpm): %Overload Power load %: eg.10%						
3.3.	**Run-in procedure**						Optional at choice of manufacturer
3.3.1.	Run-in time:						
3.3.2.	Run-in cycle:						
3.4.	**Engine test**						
3.4.1.	Specific fixture required: Yes/No						For NRSh only
3.4.1.1	Description, including photographs and/or drawings, of the system for mounting the engine on the test bench including the power transmission shaft for connection to the dynamometer:						
3.4.2.	Exhaust mixing chamber permitted by manufacturer: Yes/No						For NRSh only
3.4.2.1.	Exhaust mixing chamber description, photograph and/or drawing:						If applicable
3.4.3.	Pre-conditioning for: Steady-state operation						
3.5.	**Lubrication system**						
3.5.1.	Lubricant temperature						If applicable
3.5.1.1.	Minimum (ºC):						
3.5.1.2.	Maximum (ºC):						
3.6.	**Combustion Cylinder**						
3.6.1.	Bore(mm):						
3.6.2.	Stroke(mm):						
3.6.3.	Number of cylinders:						

Item Number	Item Description	Parent engine/ engine type	Engine types within the engine family (if applicable)				Explanatory notes (not included in document)
			type 2	type 3	type …	type n	
3.6.4.	Engine total swept volume (cm^3):						
3.6.5.	Swept volume per cylinder as % of parent engine:						If engine family
3.6.6.	Volumetric compression ratio:						Specify tolerance
3.6.7.	Combustion system description:						
3.6.8.	Drawings of combustion chamber and piston crown:						
3.6.9.	Minimum cross-sectional area of inlet and outlet ports (mm^2):						
3.6.10.	Valve timing						
3.6.10.1.	Maximum lift and angles of opening and closing in relation to dead centre or equivalent data:						
3.6.10.2.	Reference and/or setting range:						
3.6.10.3.	Variable valve timing system: Yes/No						If applicable and where intake and/or exhaust
3.6.10.3.1.	Type: continuous/(on/off)						
3.6.10.3.2.	Cam phase shift angle:						
3.6.11.	Porting configuration						2-stroke only, if applicable
3.6.11.1.	Positon, size and number:						
3.7.	**Cooling system**						Complete relevant section
3.7.1.	Liquid cooling						
3.7.1.1.	Nature of liquid:						
3.7.1.2.	Circulating pumps: Yes/No						
3.7.1.2.1.	type(s):						
3.7.1.2.2.	Drive ratio(s):						If applicable
3.7.1.3.	Minimum coolant temperature at outlet (ºC):						
3.7.1.4.	Maximum coolant temperature at outlet (ºC):						
3.8.	**Aspiration**						
3.8.1.	Maximum allowable intake depression at rated engine speed and at 100% load (kPa)						
3.8.1.1.	With clean air cleaner:						
3.8.1.2.	With dirty air cleaner:						
3.8.1.3.	Location, of measurement:						
3.8.2.	Pressure charger(s): Yes/No						
3.8.2.1.	Type(s):						
3.8.2.2.	Description and schematic diagram of the system (e.g. maximum charge pressure,-waste gate, VGT, Twin turbo, etc.):						
3.8.3.	Charge air cooler: Yes/No						

Item Number	Item Description	Parent engine/ engine type	Engine types within the engine family (if applicable)				Explanatory notes (not included in document)
			type 2	type 3	type …	type n	
3.8.3.1.	Type: air-air/air-water/other(specify)						
3.8.3.2.	Maximum charge air cooler outlet temperature at rated speed and 100% load (ºC):						
3.8.3.3.	Maximum allowable pressure drop across charge cooler at rated engine speed and at 100% load (kPa):						
3.8.4.	Intake throttle valve: Yes/No						
3.8.5.	Device for recycling crankcase gases: Yes/No						
3.8.5.1.	If yes, description and drawings:						
3.8.5.2.	If no, compliance with paragraph 5.7. of this Regulation: Yes/No						
3.9.	**Exhaust system**						
3.9.1.	Description of the exhaust system (with drawings, photos and/or part numbers as required):						
3.9.2.	Maximum exhaust temperature (ºC):						
3.9.3.	Maximum permissible exhaust backpressure at rated engine speed and at 100% load (kPa):						
	Maximum permissible exhaust backpressure at at each mode of test cycle (kPa): (This data is required for the engines with EGR) 100% Load: 75% Load: 50% Load: 25% Load: 10% Load:						
3.9.3.1.	Location of measurement:						
3.9.4.	Exhaust backpressure at loading level specified by manufacturer for variable restriction after-treatment at start of test (kPa):						
3.9.4.1.	Location and speed/load conditions:						
3.9.5.	Exhaust throttle valve: Yes/No						
3.10.	**Miscellaneous devices: Yes/No**						
3.10.1.	Exhaust gas recirculation (EGR)						
3.10.1.1.	Characteristics: cooled/uncooled, high pressure/low pressure/other (specify):						
3.10.2.	Water injection						
3.10.2.1.	Operation principle:						
3.10.3.	Air injection						
3.10.3.1.	Operation principle						
3.10.4.	Others						
3.10.4.1.	Type(s)						

Item Number	Item Description	Parent engine/ engine type	Engine types within the engine family (if applicable)				Explanatory notes (not included in document)
			type 2	type 3	type …	type n	
3.11.	**Exhaust after-treatment system**						
3.11.1.	Location						
3.11.1.1.	Place(s) and maximum/minimum distance(s) from engine to first after-treatment device:						
3.11.1.2.	Maximum temperature drop from exhaust or turbine outlet to first after-treatment device (ºC) if stated:						
3.11.1.2.1.	Test conditions for measurement:						
3.11.1.3.	Minimum temperature at inlet to first after-treatment device, (ºC) if stated:						
3.11.1.3.1.	Test conditions for measurement:						
3.11.2.	Oxidation catalyst						
3.11.2.1.	Number of catalytic converters and elements:						
3.11.2.2.	Dimensions and volume of the catalytic converter(s):						*Or drawing*
3.11.2.3.	Total charge of precious metals (g):						
3.11.2.4.	Relative concentration of each compound (%):						
3.11.2.5.	Substrate (structure and material):						
3.11.2.6.	Cell density:						
3.11.2.7.	Type of casing for the catalytic converter(s):						
3.11.3.	Catalytic exhaust after-treatment system for NO_X or three-way catalyst						
3.11.3.1.	Type:						
3.11.3.2.	Number of catalytic converters and elements:						
3.11.3.3.	Type of catalytic action :						
3.11.3.4.	Dimensions and volume of the catalytic converter(s):						*Or drawing*
3.11.3.5.	Total charge of precious metals (g):						
3.11.3.6.	Relative concentration of each compound (%):						
3.11.3.7.	Substrate (structure and material):						
3.11.3.8.	Cell density:						
3.11.3.9.	Type of casing for the catalytic converter(s):						
3.11.3.10.	Reserved						
3.11.3.10.1.	Reserved						
3.11.3.11.	Normal operating temperature range (ºC):						
3.11.3.12.	Consumable reagent: Yes/No						
3.11.3.12.1.	Type and concentration of reagent needed for catalytic action:						
3.11.3.12.2.	Lowest concentration of the active ingredient present in the reagent that						

Item Number	Item Description	Parent engine/ engine type	Engine types within the engine family (if applicable)				Explanatory notes (not included in document)
			type 2	type 3	type …	type n	
	does not activate warning system (CD_{min}) (%vol):						
3.11.3.12.3.	Normal operational temperature range of reagent:						
3.11.3.12.4.	International standard:						If applicable
3.11.3.13.	NO_X sensor(s): Yes/No						
3.11.3.13.1.	Type:						
3.11.3.13.2.	Location(s)						
3.11.3.14.	Oxygen sensor(s): Yes/No						
3.11.3.14.1.	Type:						
3.11.3.14.2.	Location(s):						
3.11.4.	Reserved						
3.11.5.	Other after-treatment devices						
3.11.5.1.	Description and operation:						
3.11.6.	Reserved						
3.11.7.	Other devices or features						
3.11.7.1.	Type(s)						
3.12.	**Fuel feed for liquid-fuelled CI or, where applicable,**						
3.12.1.	Feed pump						
3.12.1.1.	Pressure (kPa) or characteristic diagram:						
3.12.2.	Injection system						
3.12.2.1.	**Fuel Pump**						
3.12.2.1.1.	Type(s):						
3.12.2.1.2.	Rated pump speed (rpm):						
3.12.2.1.3.	mm^3 per stroke or cycle at full injection at rated pump speed:						
3.12.2.1.4.	Reserved						
3.12.2.1.5.	Reserved						
3.12.2.1.6.	Characteristic diagram:						As alternative to entries 3.12.2.1.1. to 3.12.2.1.5.
3.12.2.1.7.	Method used: on engine/on pump bench						
3.12.2.2.	Injection timing						For Mechanical engines- static injection timing values BTDC For Electronic engines- calibration/software part number/ID number of the calibration map
3.12.2.2.1.	Injection timing curve:						Specify tolerance, if applicable
3.12.2.2.2.	Static Timing:						Specify tolerance

Item Number	Item Description	Parent engine/ engine type	Engine types within the engine family (if applicable)				Explanatory notes (not included in document)
			type 2	type 3	type …	type n	
3.12.2.3.	Injection piping						
3.12.2.3.1.	Length(s) (mm):						
3.12.2.3.2.	Internal diameter (mm):						
3.12.2.4.	Common rail: Yes/No						
3.12.2.4.1.	Type:						
3.12.3.	**Injector(s)**						
3.12.3.1.	Type(s):						
3.12.3.2.	Opening pressure (kPa):						Specify tolerance
3.12.4.	**ECU: Yes/No**						
3.12.4.1.	Type(s):						
3.12.4.2.	Software calibration number(s):						
3.12.4.3.	Communication standard(s) for access to data stream information: ISO 27145 with ISO 15765-4 (CAN-based)/ISO 27145 with ISO 13400 (TCP/IP-based)/SAE J1939-73						
3.12.5.	**Governor**						
3.12.5.1.	Type(s):						
3.12.5.2.	Speed at which cut-off starts under full load:						Specify range, if applicable
3.12.5.3.	Maximum no-load speed:						Specify range, if applicable
3.12.5.4.	Idle speed:						Specify range, if applicable
3.12.6.	Cold-start system: Yes/No						
3.12.6.1.	Type(s):						
3.12.6.2.	Description:						
3.12.7.	Fuel temperature at the inlet to the fuel injection pump						
3.12.7.1.	Minimum (ºC):						
3.12.7.2.	Maximum (ºC):						
3.13.	**Reserved**						

Part D

Please refer below additional table for alternate fuel system:

Item Number	Item Description	Parent engine/ engine type	Engine types within the engine family (if applicable)				Explanatory notes (not included in document)
			type 1	Type 2	Type 3	Type n	
4.1	**Details of Kit Manufacturer / Supplier / Installer**						
4.1.1	Name of the Manufacturer						
4.1.2	Address						
4.1.3	Telephone No. & Fax No.						
4.2	**Gas Kit Identification**						
4.2.1	Identification No.						
4.2.2	Variants, if any						
4.2.3	Fuel system (Dedicated / Bi-Fuel /)						
4.2.4	Reserved						
4.2.5	Fuel (Gas Fuel (Specify Name of fuel)						
4.3	**Solenoid Valve / Automatic shutoff valve**						
4.3.1	Name of manufacturer						
4.3.2	Model Name / Identification No.						
4.3.3	Type						
4.3.4	Working pressure (kg/cm$^{2)}$						
4.3.5	Max test pressure (kg/cm$^{2)}$						
4.3.6	Approval reference (Test Report / Approval Number)						
4.4	**High Pressure Regulator**						
4.4.1	Name of manufacturer						
4.4.2	Model name / Identification No.						
4.4.3	Type						
4.4.4	Inlet pressure (kg/cm^2)						
4.4.5	Outlet pressure (kg/cm^2)						
4.4.6	No. of stages						
4.4.7	Approval reference (Test Report / TAC compliance with Date)						
4.5	**Low Pressure Regulator**						
4.5.1	Name of manufacturer						
4.5.2	Model name / Identification No.						
4.5.3	Type						
4.5.4	Inlet pressure (kg/cm2)						
4.5.5	Outlet pressure (kg/cm2)						
4.5.6	No. of stages						
4.5.7	Approval reference (Test Report / TAC compliance with Date)						
4.6	**Zero Pressure Regulator**						
4.6.1	Name of manufacturer						
4.6.2	Model name / Identification No.						
4.6.3	Type						
4.6.4	Inlet pressure (kg/cm2)						
4.6.5	Outlet pressure (kg/cm2)						
4.6.6	Approval reference (Test Report / TAC compliance with Date)						

Item Number	Item Description	Parent engine/ engine type	Engine types within the engine family (if applicable)				Explanatory notes (not included in document)
			type 1	Type 2	Type 3	Type n	
4.7	**Vaporizer / Heat exchanger (for LNG)**						
4.7.1	Name of manufacturer						
4.7.2	Model name / Identification No.						
4.7.3	Type						
4.7.4	Inlet pressure (kg/cm2)						
4.7.5	Outlet pressure (kg/cm2)						
4.7.6	Approval reference (Test Report / Approval Number)						
4.8	**Filter**						
4.8.1	Name of manufacturer						
4.8.2	Model name / Identification No.						
4.8.3	Type						
4.8.4	Inlet pressure (kg/cm2)						
4.8.5	Outlet pressure (kg/cm2)						
4.9	**High Pressure Tubing**						
4.9.1	Name of manufacturer						
4.9.2	Model name / Identification No.						
4.9.3	Type (rigid / flexible)						
4.9.4	Working pressure (kg/cm2)						
4.9.5	Max. test pressure (kg/cm2)						
4.9.6	Outer diameter / Inner Diameter (mm)						
4.9.7	Material						
4.9.8	Approval reference (Test Report / Approval Number)						
4.10	**Low Pressure Tubing**						
4.10.1	Name of manufacturer						
4.10.2	Model name / Identification No.						
4.10.3	Type						
4.10.4	Working pressure (kg/cm2)						
4.10.5	Max test pressure (kg/cm2)						
4.10.6	Outer diameter / Inner Diameter (mm)						
4.10.7	Material						
4.10.8	Approval reference (Test Report / Approval Number)						
4.11	**Gas-Air Mixer**						
4.11.1	Name of manufacturer						
4.11.2	Model name / Identification No						
4.11.3	Type & drawing						
4.11.4	Venturi Size (mm)						
4.11.5	Approval reference (Test Report / Approval Number)						
4.12	**Gas Injector**						
4.12.1	Name of manufacturer						
4.12.2	Model name / Identification No						
4.12.3	Type & drawing						
4.12.4	Injector flow specs						

Item Number	Item Description	Parent engine/ engine type	Engine types within the engine family (if applicable)				Explanatory notes (not included in document)
			type 1	Type 2	Type 3	Type n	
4.12.5	Approval reference (Test Report / Approval Number						
4.13	**Wiring Harness**						
4.13.1	Name of manufacturer						
4.13.2	Electrical circuit diagram / Detail layout						
4.13.3	Approval reference (Test Report / Approval Number)						
4.14	**Interfacing Unit (ECU)**						
4.14.1	Name of manufacturer						
4.14.2	Identification No.						
4.14.3	Type						
4.15	**Fuel selector switch**						
4.15.1	Name of manufacturer						
4.15.2	Model No						
4.15.3	Type						
4.16	**Fuel flow actuation mechanism (Mechanical / Electronic)**						
4.16.1	Brief description of system (Attach Annexure)						
4.16.2	Schematic layout (Attach Drawing)						
4.16.3	Identification of critical components of Kit, including ECU, Lambda sensor, Pressure sensor, temperature Sensor etc with Make and Identification number (attach Annexure)						
4.17	**Brief Description of System Including Dimensional Layout for kit components installation ventilation details etc.**						
4.18	**Joints and connections**						
4.18.1	Name of manufacturer						
4.18.2	Type						
4.18.3	Number of Joints and connections represented on Drawing (Attach Drawing)						
4.19	**Current limiting Device (Fuse)**						
4.19.1	Name of manufacturer						
4.19.2	Identification No.						
4.19.3	Voltage / current rating						
4.19.4	Type						
4.19.5	Approval reference (Test Report / Approval Number)						
4.20	**Indicator**						
4.20.1	Pressure Indicator						
4.20.2	Name of manufacturer						
4.20.3	Identification No.						
4.20.4	Type						
4.20.5	Temperature Indicator (for LNG)						
4.20.6	Name of manufacturer						

Item Number	Item Description	Parent engine/ engine type	Engine types within the engine family (if applicable)				Explanatory notes (not included in document)
			type 1	Type 2	Type 3	Type n	
4.20.7	Identification No.						
4.20.8	Type						
4.21	**Service shut off valve**						
4.21.1	Name of manufacturer						
4.21.2	Identification No.						
4.21.3	Type						
4.22	**Compartment/Sub-compartment / Gas tight housing**						
4.22.1	Name of manufacturer						
4.22.2	Identification No						
4.22.3	Type						
4.22.4	Material used						
4.22.5	Approval reference (Test Report / Approval Number)						
4.23	**Conduit**						
4.23.1	Name of manufacturer						
4.23.2	Identification No.						
4.23.3	Inner & outer diameter						
4.23.4	Type						
4.23.5	Approval reference (Test Report / Approval Number)						
4.24	**Battery cut off switch (if applicable)**						
4.24.1	Name of manufacturer						
4.24.2	Identification No.						
4.24.3	Type						
4.25	**Labels - Number and position**						
4.26	**Any other information**						
	* Mention NA wherever not applicable						

Explanatory notes to Appendix 3:

(Footnote markers, footnotes, and explanatory notes not to be stated on the information document)

ANNEXURE – 2

Communication – Type Approval and COP Template to be attached here by Test Agencies

1. General

SECTION I

1.1. Make (trade name(s) of manufacturer):

1.2. Commercial name(s)/ Brand Name (if applicable):

1.3. Company name and address of manufacturer:

1.4. Name and address of manufacturer's authorised representative (if any):

1.5. Name(s) and address(es) of assembly/manufacture plant(s):

1.6. Engine type designation/engine family designation/FT:

1.7. Category and sub-category of the engine type/engine family:

SECTION II

1. Technical service responsible for carrying out the test(s):

2. Date(s) of the test report(s):

3. Number(s) of the test report(s):

SECTION III

The undersigned hereby certifies the accuracy of the manufacturer's description in the attached information document of the engine type/engine family ([2]) described above, for which one or more representative samples, selected by the approval authority, have been submitted as prototypes and that the attached test results apply to the engine type/engine family ([2]).

1. The engine type/engine family (2) meets/does not meet (2) the requirements laid down in GSR 804(E.) dated 3-Nov-2022 series of amendments.

2. The approval is granted/extended/refused/withdrawn ([2])

Place:

Date:

Name and signature:

Attachments:

Information folder

Test report(s)

All other documents added by the technical services or by the Type Approval Authority to the information folder in the course of carrying out their functions.

Approval number:

2. Common design parameters of the engine type/engine family

2.1. Combustion Cycle: four stroke cycle/two stroke cycle/rotary/other:

2.2. Ignition Type: Positive ignition

2.3.1. Position of the cylinders in the block: V/in-line/radial/other(describe) ([2])

2.6 Main Cooling medium: Air/Water/Oil ([2])

2.7. Method of air aspiration: naturally aspirated/pressure charged/pressure charged with charge cooler ([2])

2.8.1. Fuel Type(s): (Natural gas/Biomethane) / Alternate Fuel

2.8.1.1. Reserved

2.8.2. Fuelling arrangement: Dedicated / Bi-Fuel

2.8.3. List of additional fuels compatible with use by the engine declared by the manufacturer in accordance with paragraph A.3.1.2.3. of Annex 3 to paragraph 5 of this Regulation (provide reference to recognised standard or specification):

2.8.4. Lubricant added to fuel: Yes/No ([2])

2.8.5. Fuel supply type: Pump (high pressure) line and injector/in-line pump or distributor pump/Unit injector/ Common rail

2.9. Engine management systems: mechanical/electronic control strategy ([2])

2.10. Miscellaneous devices: Yes/No ([2])

2.10.1. Exhaust gas recirculation (EGR): Yes/No ([2])

2.10.2. Water injection: Yes/No ([2])

2.10.3. Air injection: Yes/No ([2])

2.10.4. Others (specify):

2.11. Exhaust after-treatment system: Yes/No ([2])

2.11.1. Oxidation catalyst: Yes/No ([2])

2.11.2. De NO_X system with selective reduction of NO_X (addition of reducing agent): Yes/No ([2])

2.11.3. Other De NO_X systems: Yes/No ([2])

2.11.4. Three-way catalyst combining oxidation and NOx reduction: Yes/No

2.11.5. Reserved

2.11.6. Reserved

2.11.7. Reserved

2.11.8. Three-way catalyst combining oxidation and NO_X reduction: Yes/No

2.11.9. Other after-treatment devices (specify):

2.11.10. Other devices or features that have a strong influence on emissions (specify):

3. Essential characteristics of the engine type(s)

Item Number	**Item Description**	**Parent Engine / Engine type**	**Engine types within the family (if applicable)**		
3.1.1.	Engine Type Designation:				
3.1.2.	Engine type designation shown on engine mark: Yes/No				

Item Number	Item Description	Parent Engine / Engine type	Engine types within the family (if applicable)		
3.1.3.	Location of the manufacturer's statutory marking:				
3.2.1.	Declared rated speed (rpm):				
3.2.2.2.	Declared Rated Power (kW)				
3.2.3.2.	Declared Rated torque (Nm):				
3.6.3.	Number of Cylinders:				
3.6.4.	Engine total swept volume (cm^3):				
3.8.5.	Device for recycling crankcase gases: Yes/No				
3.11.3.12.	Reserved				
3.11.3.12.1.	Reserved				
3.11.3.13.	NO_X sensor(s): Yes/No				
3.11.3.14.	Oxygen sensor: Yes/No				
3.11.4.7.	Fuel borne catalyst (FBC): Yes/No				
Conditions to be respected in the installation of the engine on Genset					
3.8.1.1.	Maximum allowable intake depression at rated engine speed and at 100% load (kPa) with clean air cleaner:				
3.8.3.2.	Maximum charge air cooler outlet temperature at rated speed and 100% load (deg. C):				
3.8.3.3.	Maximum allowable pressure drop across charge cooler at rated engine speed and at 100% load (kPa) (if applicable):				
3.9.3.	Maximum permissible exhaust gas back-pressure at rated engine speed and at 100% load (kPa):				
	Maximum permissible exhaust backpressure at each mode of test cycle (kPa): (This data is required for the engines with EGR) 100% Load: 75% Load: 50% Load: 25% Load: 10% Load:				
3.9.3.1.	Location of measurement:				

Item Number	Item Description	Parent Engine / Engine type	Engine types within the family (if applicable)		
3.11.1.2	Maximum temperature drop from exhaust system or turbine outlet to first exhaust after-treatment system (deg. C) if stated:				
3.11.1.2.1.	Test conditions for measurement:				

4. Cycle emission results

Emissions	CO (g/kWh)	HC (g/kWh)	NO_X (g/kWh)	HC+ NO_X (g/kWh)	Test Cycle (4)
DF incorporated adjusted emission result before rounding					
Final rounded certificate result with DF.					

CO_2 result:

(*CO2 g/kWh is only for the documentation purpose & does not have any impact on pass or fail criteria the engine)*

Explanatory notes to Annex 2

(Footnote markers, footnotes, and explanatory notes not to be stated on the type-approval certificate)

(1) Distinguishing number of the contracting party which has granted/extended/refused/withdrawn an approval.

(2) Strike out the unused options, or only show the used option(s).

(3) Indicate the applicable option for the category and sub-category in accordance with entry 1.7. of the information document set out in Part A of Appendix 3 to Annex 1.

(4) Indicate the used test cycle as prescribed in Annexure 7 to this Regulation.

Appendix – A.1
Test Report

A.1.1. General requirements

One test report shall be completed for each test required for the type-approval. Each additional (e.g. a second speed on a constant speed engine) or supplementary test (e.g. another fuel is tested) will require an additional or supplementary test report.

A.1.2. Explanatory notes on creation of a test report

A.1.2.1. A test report shall contain at least the information set out in paragraph A.1.3.

A.1.2.2. Notwithstanding paragraph A.1.2.1, only those sections or sub-sections relevant for the particular test and for the particular engine family, engine types within the engine family or engine type tested need to be stated in the test report

A.1.2.3. The test report may contain more information than that requested in paragraph A.1.2.1 but in any case, shall adhere to the proposed numbering system;

A.1.2.4. Where several options separated by forward slash are given for an entry, the unused options shall be struck out, or only the used option(s) shall be shown;

A.1.2.5. Where a 'type' of a component is requested, the information supplied shall uniquely identify the component; this may be a list of characteristics, a manufacturers' name and part or drawing number, a drawing, or a combination of the aforementioned or other methods that achieves the same result.

A.1.2.6. The test report may be delivered on paper on in an electronic format agreed between the manufacturer, technical service and Type Approval Authority.

A.1.3 Template for the test report

1. General Information

1.1. Make(s) (trade name(s) of manufacturer):

1.2. Commercial name(s) /Brand Name (if applicable):

1.3. Company name and address of manufacturer:

1.4. Name of test agency:

1.5. Address of test agency:

1.6. Location of test:

1.7. Date of test:

1.8. Test report number:

1.9. Information document reference number (if available):

1.10. Test report type: Primary test/additional test/supplementary test

Primary test -standard regular test most likely common for approval.
Additional test - additional test is for in case there are more than one options for permeance/emission related components, i.e. 2 different make injector, 2 turbo etc.

1.10.1. Description of the purpose of the test:

2. General engine information (test engine)

2.1. Engine type designation/engine family designation/FT:

2.2. Engine identification number:

2.3. Engine Category and subcategory:

3. Documentation and information Check list (primary test only)

3.1. Engine mapping documentation reference:

3.2. Deterioration factor determination documentation reference:

3.3. Reserved

3.4. NO_X control diagnostic demonstration documentation reference, where applicable:

3.5. Reserved

3.6. For engine types and engine families that use an ECU as part of the emission control system anti-tampering declaration documentation reference:

3.7. For engine types and engine families that use mechanical devices as part of the emission control system anti- tampering and adjustable parameters declaration and demonstration documentation reference:

4. Reference fuel(s) used for test (complete relevant subparagraph(s)

4.2. Fuels for spark ignition engine

4.2.1. Make:

4.2.2. Type:

4.2.3. Octane number:

4.2.4. Fame content (%):

4.2.5. Density at 15 ºC (kg/m3):

4.3. Reserved

4.4. Reserved

4.5. Reserved

4.5.1. Reserved

5. Lubricant

5.1. Make(s):

5.2. Type(s):

5.3. SAE viscosity:

5.4. Lubricant and fuel are mixed: yes/no

5.4.1. Percentage of oil in mixture:

6. Engine Speed

6.1. Declared rated speed:

6.1.1. Overload speed:

6.1.2. Idle speed:

7. **Engine Power**.

8. Conditions at test

8.1. f_a within range 0.93 to 1.07: Yes/No

8.1.1. If f_a is not within specified range state altitude of test facility and dry atmospheric pressure:

If no - If these limits are exceeded, the corrected value obtained shall be given and the test conditions (temperature and pressure) shall be stated precisely in the test report

8.2. Applicable intake air temperature range: 20 to 30 °C (up 560kW/ 20 to 35 °C (greater than 560 kW only)

9. Test result 9.1. 3-mode cycle Emissions results

9.2. Deterioration Factor (DF): calculated/assigned

9.2.1. DF values and the cycle weighted emissions results to be stated in Table 6:

Note: In the event that a discrete mode 3-mode cycle is run where the K_{ru} or K_{rd} factors have been established for individual modes then a table showing each mode and the applied K_{ru} or K_{rd} should replace the shown table.

Table 6
3-mode cycle DF values and weighted emissions results

DF mult/add	CO	HC	NO_X	HC+ NO_X
Emissions	CO (g/kWh)	HC (g/kWh)	NO_X (g/kWh)	HC+ NO_X (g/kWh)
Test result with/without regeneration				
k_{ru}/k_{rd} mult/add				
Final rounded test result with DF				

9.3 Cycle weighted CO_2 (g/kWh):

9.3.1 Cycle average NH_3 (ppm):

9.4. Reserved

Table 7

Reserved

9.5. Sampling systems used for the 3-mode emission test:

9.5.1. Gaseous emissions:

9.5.2. Reserved

9.5.2.1. Reserved

10. Final emissions results

10.1 Cycle emissions results to be stated in Table 8.

Table 8

Final emissions results

Emissions	CO (g/kWh)	HC (g/kWh)	NO_X (g/kWh)	HC+ NO_X (g/kWh)	Test Cycle (1)
3-mode cycle final rounded test result with DF.					

10.2 CO_2 result:

Explanatory notes to the test report template

(Footnote markers, footnotes, and explanatory notes not to be stated on the test report)

(1) For test cycle note the cycle indicated in Appendix 1 of Annexure 7

(2) Copy the results from table 6

(3) Reserved

(4) For an engine tested on a 3-mode cycle indicate the CO_2 emissions values given in that cycle from paragraph 9.3.

ANNEXURE – 3

Labelling Requirements

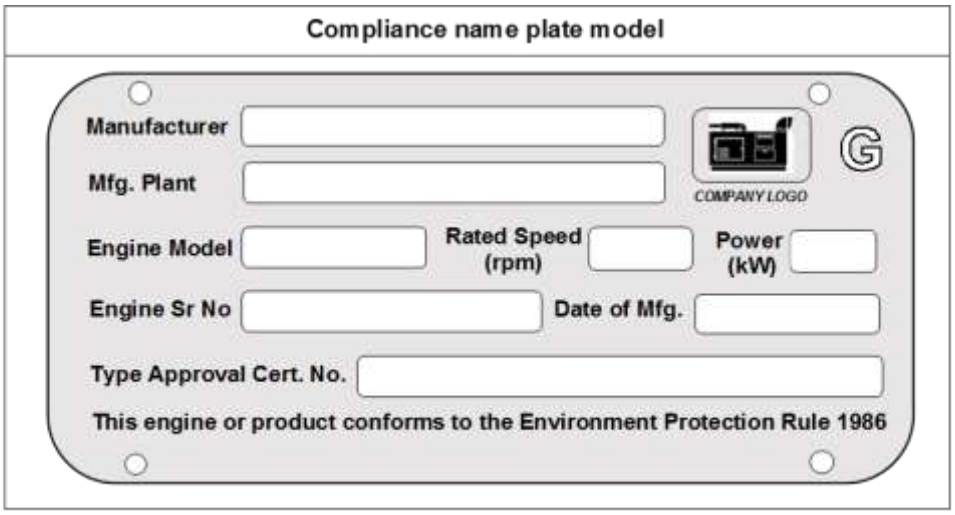
A3.1. The engine or the product must be affixed with a conformance label meeting the following requirements.

A3.1.1. General Requirement

e. The label shall be durable and legible;
f. The label shall be affixed on a part necessary for normal operation of the engine or the product and not normally requiring replacement during the life of the engine or the product;
g. Statement that 'this engine or product conforms to the Environment Protection Rule 1986;
h. All the information mentioned in the conformance label must be in English language;

A3.1.2. Conformance Labelling Content Requirement

i. Name the engine manufacturer or the engine or product importer
j. Manufacturing plant address from where engine is manufacture
k. Engine Model name;
l. Rated speed and corresponding gross power in kW;
m. Engine Unique Identification Number (Serial Number, etc.);
n. Date of manufacturing and in case of import, date of import of engine or Genset product;
o. Type Approval certificate number;
p. Letter 'G' to denote that the engine is belongs to genset application. The Letter 'G' shall be engraved on the conformance label. The letter(s) should have a minimum size of 7 mm.



ANNEXURE – 4

Test equipment, test bed measurement system and test facility

A4.1 Requirement

A4.1.1 **Test facility**: The test facility to be used shall be of the certification agencies or any other facility approved by these certification agencies. The test Lab at Test Agency/ Engine Manufacturer Lab/ Third party Lab shall be accredited as per the requirement of ISO/IEC-17025 (NABL-National Accreditation Board for Testing and Calibration Laboratory) compliance for the related GSR/S&P/ISO-8178 Standards. The tests shall be carried out under the control of the certification agencies.

A4.1.2 **Test bed measurement system and equipment:** Test bed measurement system and equipment's of gaseous and particulate shall be as per the guideline given in the ISO-8178-1: 2017,2020 Reciprocating internal combustion engines — Exhaust emission measurement — Part 1: Test-bed measurement systems of gaseous and particulate emission.

ANNEXURE–5

Data evaluation, test result calculation of gaseous & emission, test execution and measurement procedures

A5.1 Requirement

A5.1.1 **Method of test execution, measurement procedures, data evaluation and calculation of gaseous emission** shall be as per the guideline given in the ISO-8178-4: 2017, 2020 Reciprocating internal combustion engines — Exhaust emission measurement — Part 4: Steady-state and transient test cycles for different engine applications

A5.1.2 Reserved

A5.1.3 **1980 international gravity formula**

The acceleration of Earth's gravity, a_g, varies depending on the location and a_g is: calculated for a respective latitude, as follows

$$a_g = 9.7803267715\left[1+5.2790414\times10^{-3}\sin^2\theta+2.32718\times10^{-5}\sin^4\theta+1.262\times10^{-7}\sin^6\theta+7\times10^{-10}\sin^8\theta\right]$$

Where: θ = Degrees north or south latitude

Note: *Reference taken from AIS-137*

ANNEXURE – 6

Technical characteristics of fuels prescribed for approval tests and to verify conformity of production tests

Appendix – 1 & Table 1

Reserved

Appendix – 2 & Table 2

Reserved

Appendix – 3 & Table 3

Reserved

.

Appendix – 4
Alternate Fuel Specification

2.0 Technical data on gaseous fuels for single fuel engines

2.1 Type: LPG

Table 4

LPG				
Parameter	*Unit*	*Fuel A*	*Fuel B*	*Test method*
Composition:				EN 27941
C_3-content	per cent v/v	30 ± 2	85 ± 2	
C_4-content	per cent v/v	Balance[1]	Balance[1]	
< C_3 , > C_4	per cent v/v	Maximum 2	Maximum 2	
Olefins	per cent v/v	Maximum 12	Maximum 15	
Evaporation residue	mg/kg	Maximum 50	Maximum 50	EN 15470
Water at 0 °C		Free	Free	EN 15469
Total sulphur content including odorant	mg/kg	Maximum 10	Maximum 10	EN 24260, ASTM D 3246, ASTM 6667
Hydrogen sulphide		None	None	EN ISO 8819
Copper strip corrosion (1h at 40 °C)	Rating	Class 1	Class 1	ISO 6251[2]

Odour		Characteristic	Characteristic	
Motor octane number[3]		Minimum 89.0	Minimum 89.0	EN 589 Annex B

Notes:

[1] Balance shall be read as follows: balance = 100 - C_3 - <C_3 - >C_4.

[2] This method may not accurately determine the presence of corrosive materials if the sample contains corrosion inhibitors or other chemicals which diminish the corrosivity of the sample to the copper strip. Therefore, the addition of such compounds for the sole purpose of biasing the test method is prohibited.

[3] At the request of the engine manufacturer, a higher MON could be used to perform the type approval tests.

2.2. Type: Natural Gas/ Bio-methane

2.2.1. Specification for reference fuels supplied with fixed properties (eg from a sealed container)

As an alternative to the reference fuels set out in this paragraph, the equivalent fuels in paragraph 2.1.2. of this Annex may be used

Table 5

Natural Gas/ Bio-methane					
Characteristics	*Units*	*Basis*	*Limits*		*Test method*
			minimum	*maximum*	
Reference fuel G_R					
Composition:					
Methane		87	84	89	
Ethane		13	11	15	
Balance[1]	per cent mole	—	—	1	ISO 6974
Sulphur content	mg/m^3 [2]	—		10	ISO 6326-5
Notes: [1] Inerts + C_{2+} [2] Value to be determined at standard conditions 293.2 K (20 °C) and 101.3 kPa.					
Reference fuel G_{23}					
Composition:					
Methane		92.5	91.5	93.5	
Balance[1]	per cent mole	—	—	1	ISO 6974
N_2	per cent mole	7.5	6.5	8.5	
Sulphur content	mg/m^3 [2]	—	—	10	ISO 6326-5
Notes: [1] Inerts (different from N_2) + C_2+ C_{2+} [2] Value to be determined at 293.2 K (20 °C) and 101.3 kPa.					
Reference fuel G_{25}					
Composition:					

Methane	per cent mole	86	84	88	
Balance[1]	per cent mole	—	—	1	ISO 6974
N_2	per cent mole	14	12	16	
Sulphur content	mg/m³ [2]	—	—	10	ISO 6326-5
Notes: [1] Inerts (different from N_2) + C_2+ C_{2+} [2] Value to be determined at 293.2 K (20 °C) and 101.3 kPa.					
Reference fuel G_{20}					
Composition:					
Methane	per cent mole	100	99	100	ISO 6974
Balance (1)	per cent mole	—	—	1	ISO 6974
N_2	per cent mole				ISO 6974
Sulphur content	mg/m³ (2)	—	—	10	ISO 6326-5
Wobbe Index (net)	MJ/m³ (3)	48.2	47.2	49.2	
(1) Inerts (different from N_2) + C_2 + C_2+. (2) Value to be determined at 293.2 K (20 °C) and 101.3 kPa. (3) Value to be determined at 273.2 K (0 °C) and 101.3 kPa. Note – In absence of availablity of reference fuel for testing, commercial available fuel as notified under CMVR (ammended time to time) shall be used for testing					

2.2.2. Specification for reference fuel supplied from a pipeline with admixture of other gases with gas properties determined by on-site measurement

As an alternative to the reference fuels in this paragraph the equivalent reference fuels in paragraph 2.2.1. of this Annex may be used.

2.2.2.1. The basis of each pipeline reference fuel (GR, G20, …) shall be gas drawn from a utility gas distribution network, blended, where necessary to meet the corresponding lambda-shift (Sλ) specification in Table A.5-1, with an admixture of one or more of the following commercially (the use of calibration gas for this purpose shall not be required) available gases:

(a) Carbon dioxide;

(b) Ethane;

(c) Methane;

(d) Nitrogen;

(e) Propane.

2.2.2.2. The value of Sλ of the resulting blend of pipeline gas and admixture gas shall be within the range specified in Table A.5-1 for the specified reference fuel.

Table A.5-1

Required range of Sλ for each reference fuel

Reference fuel	Minimum S_λ	Maximum S_λ

G_R[2]	0.87	0.95
G_{20}	0.97	1.03
G_{23}	1.05	1.10
G_{25}	1.12	1.20

[1] The engine shall not be required to be tested on a gas blend with a Methane Number (MN) less than 70. In the case that the required range of S_λ for G_R would result in an MN less than 70 the value of S_λ for G_R may be adjusted as necessary until a value of MN no less than 70 is attained.

2.2.2.3. The engine test report for each test run shall include the following:

(a) The admixture gas(es) chosen from the list in paragraph 2.2.2.1. of this Annex;

(b) The value of Sλ for the resulting fuel blend;

(c) The Methane Number (MN) of the resulting fuel blend.

2.3 Fuels and their applicable standards:

Refer the applicable standards amended time to time for the fuels

Sr. No.	Fuel	Applicable Standard
1	Natural Gas	Annexure IV - L - CMVR Refer rule 115 H
2	Bio methane	IS 16087: 2016
3	Bio Gas	IS 16087: 2016
4	LPG	IS 14861 : 2000 (REAFFIRMED 2020)
5	E10	IS 2796: 2017
6	E12	IS 17586: 2021
7	E15	IS 17586: 2021
8	E20	IS 17021: 2018
9	E85	IS 16634: 2017
10	E100	IS 15464: 2004
11	M15	IS 17076: 2019
12	M85	Annexure ZB - CMVR Refer rule 115 H
13	M100	IS 17075 : 2019
14	Hydrogen	IS 16061: 2021
15	Hydrogen blended with CNG (18% hydrogen)	IS 17314 : 2019

ANNEXURE – 7

Requirements, Tests and Test Procedures

7.1. General

Engines shall be designed, constructed and assembled so as to enable them to comply with the provisions of this Regulation.

7.1.1. The technical measures taken by the manufacturer shall be such as to ensure that the gaseous pollutant emissions are effectively limited, as set out in Table 1 of clause 3 of this Regulation throughout the emission durability period of the engine, as set out in Appendix 6 to this Annexure 7, and under normal conditions of use.

7.1.1.1. For this purpose, the engine final emission test result calculated according to the requirements of clause 7.1.2 shall not exceed the exhaust emission limits set out in Table 1 of clause 3, when:

(a) tested in accordance with the test conditions and detailed technical procedures set out in Annexure 5 to this Regulation,

(b) using the fuel(s) specified in paragraph 7.1.3.

(c) using the test cycles specified in Appendix 1 to Annexure 7 of this Regulation.

7.1.2 The final exhaust emission test results for engines subject to this Regulation shall be calculated by applying all of the following to the laboratory test results:

(a) the emissions of crankcase gases as required by Appendix 5 of Annexure 7;

(b) any necessary adjustment factor, where the engine includes an infrequently regenerating exhaust after-treatment system;

(c) in respect of all engines, as a final step in the calculation, deterioration factors appropriate to the emission durability periods specified in Appendix 6 to this Annexure 7 and calculated according to the prescription set out in Annexure 5.

7.1.3 In accordance to Annexure 7 of this Regulation, the testing of an engine type or engine family to determine whether it meets the emission limits set out in this Regulation shall be carried out by using the following reference fuels or fuel combinations, as appropriate:

(a) natural gas/bio methane

(b) ethanol.

(c) methanol

The engine type or engine family shall, in addition, meet the exhaust emission limits set out in this Regulation in respect of any other specified fuels, fuel mixtures or fuel emulsions included by a manufacturer in an application for type- approval and described in the Appendix 11 to paragraph 7 of this Regulation.

7.1.4 As regards the conduct of measurements and tests, the technical requirements shall be met in respect of:

(a) apparatus and procedures for the conduct of tests;

(b) apparatus and procedures for emission measurement and sampling;

(c) methods for data evaluation and calculations;

(d) methods for establishing deterioration factors;

(e) methods for taking account of emissions of crankcase gases;

(f) methods for determining and taking account of continuous or infrequent regeneration of exhaust after-treatment systems;

(g) Reserved

7.1.5. Any adjustment, repair, disassembly, cleaning or replacement of engine components or systems which are scheduled to be performed on a periodic basis to prevent malfunction of the engine, shall only be done to the extent that is technologically necessary to ensure proper functioning of the emission control system.

7.2. Reserved

7.3. The technical requirements relating to emission control strategies as set out in Annexure 8 to this regulation shall apply;

7.4. The use of defeat strategies shall be prohibited;

7.5. Engine types and engine families shall be designed and fitted with emission control strategies in such a way as to prevent tampering to the extent possible;

Appendix – 1

Emission Test Cycles Introduction

1. Test cycles

The type-approval test shall be conducted using test cycle as specified in Table A for constant speed speed application.

1.1 Steady-state test cycles

Steady-state test cycles are specified in below clause 2 of this Appendix as a list of discrete modes (operating points), where each operating point has one value of speed and one value of torque. A steady-state test cycle shall be measured with a warmed up and running engine according to manufacturer's specifications

1.2. Steady-state discrete mode test cycles

The steady-state discrete mode test cycles are hot running cycles where emissions shall be started to be measured after the engine is started, warmed up and running as per specified boundary conditions. Each cycle consists of a number of speed and load modes (with the respective weighing factor for each mode) which cover the typical operating range of the specified engine category.

1.3. Steady-state ramped mode test cycles

Steady-state ramped mode test cycles are hot running cycles where emissions shall be started to be measured after the engine is started, warmed up and running as per specified boundary conditions. Test cycle with a sequence of steady state engine test modes with defined speed and torque criteria at each mode and defined speed and torque ramps between these modes.

2. Characteristics of the steady-state test cycles

2.1. Test cycles applicable to Genset engine categories are set out in Table A

Table A-Test cycles

Test cycles for Genset engine categories			
Category	**Speed operation**	**Purpose**	**Test Cycle**
Genset	Constand speed engine	Constant speed engine upto 800 kW	D1 3-mode Steady-state discrete mode test cycle specified in ISO-8178-Part 4, **OR** D1 3-mode Steady-state ramped mode test cycle specified in ISO-8178-Part 4

2.2. Steady-state constant speed and test cycles:

The detailed description of the test modes and weighting factors for the steady-state discrete-mode genset engine is are set out in Tables B.

2.2.1 Constant Speed test Cycle

Table B- Discrete D1 test modes

Test modes and weighting factors			
Mode number (cycle D1)	1	2	3
Speed (a)	100%		
Torque (b) (%)	100	75	50

Weighting factor	0.3	0.5	0.2
Mode length (Second)	600	600	600
(a) Rated speeds as declared by manufactured (b) per cent torque is relative to the torque corresponding to the rated gross power declared by the manufacturer. Considering the applicable power tolerance, the torque setting for 2 &, 3 mode, shall be based on actual torque measured at 1st mode during test.			

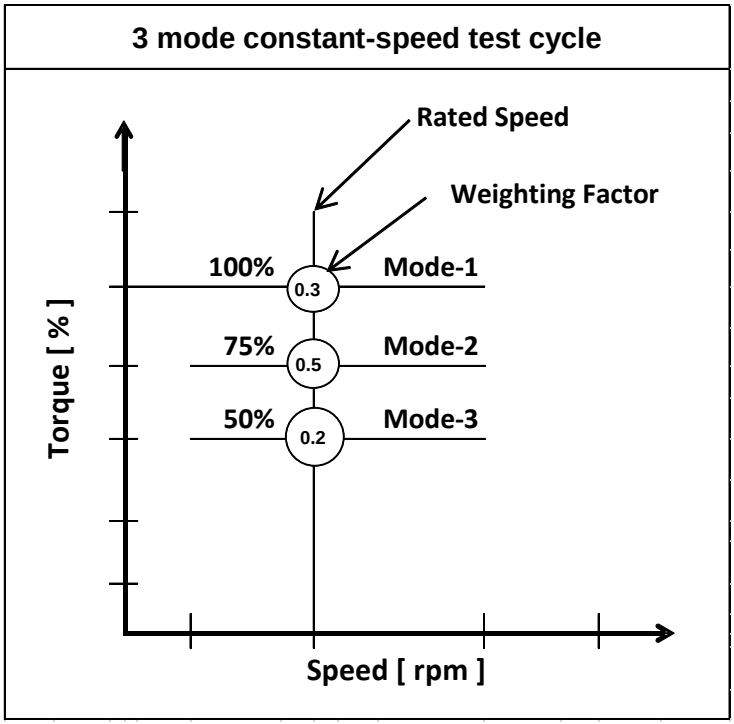


Table C

Reserved

2.2.2 Reserved

Table D, E & F
Reserved

Note: *Reference*
ISO 8178-4:2017,2020;
Reciprocating internal combustion engines — Exhaust emission measurement — Part 4: Steady-state and transient test cycles for different engine applications.

Appendix – 2

Reserved

A2.1, A2.2. A2.3, A2.4 and A2.5 - Reserved

Appendix – 3
Reserved

A3.1, A3.2, A3.3, A3.4, A3.4 - Reserved

Appendix – 4

Gross Power Measurement Procedure

1.0 Declared power (kWm) means rated gross mechanical power declared by manufacturer for type approval at declared rated speed.

2.0 Gross observed power shall be the criteria for adjusting dynamometer load as well as calculating the specific emission values

3.0 The declared rated gross power shall be verified with the observed gross corrected power as mentioned below. Certification Power verification will be at reference atmospheric condition.

4.0 Power observed in the emission test cycle for all test mode points shall be corrected as per mentioned below formula and 1st mode corrected power is the corrected rated gross power.

5.0 Power Corrections Factors:

Definition: The power correction factor is the coefficient by which the measured power must be multiplied to determine the engine power under the reference atmospheric conditions specified as below

$$P_{corr} = \alpha\, P_{obs}$$

Where,

P_{corr} is the corrected power (i.e. power under reference atmospheric conditions)

α is the correction factor

P_{obs} is the measured power (test power)

Reference atmospheric conditions:

- Temperature (T): 298 K
- Dry pressure (Ps): 99 kPa

Note: The dry pressure is based on a total pressure of 100 kPa and a water vapour pressure of 1 kPa.

Test atmospheric conditions:

- The atmospheric conditions during the test shall be the following:
- Temperature (T): Between 283 K and 313 K
- Pressure (P): Between 80 kPa and 110 kPa

Determination of correction factor:
(The tests may be carried out in air -conditioned tests rooms where the atmospheric conditions may be controlled.)

The power correction factor αa for Positive Ignition Engines (Naturally aspirated or supercharged) obtained by applying the formula:

The correction Factor (αa) is obtained by applying the formula:

$$\alpha a = (99/Ps)^{1.2} (T/298)^{0.6}$$

Where,

Ps= the dry atmospheric pressure in kPa, that is the total barometric pressure minus water vapour pressure and

T= the absolute temperature in Kelvin (K) at the engine air inlet

The formula applies to carburetted engines and to other engines where the management system is designed to maintain relatively constant fuel / air ratio as ambient conditions change.

(The references for Power correction factor are taken from IS 14599:1999 clause 6.4.1)

6.0 The gross declared corrected power of the engine shall be measured on a test bench at rated speed of the engine. The measured power and speed may differ from the power and speed specified by the manufacturer as specified below**:**

Declared rated corrected gross Power & speed tolerance

- **For Constant speed engine**

(i) For Type Approval:

- For single cylinder engines, ± 5% at the rated power point
- For all other engines, ± 4 % at the rated power point

(ii) For Conformity of Production:

- For single cylinder engines, at rated power point, ± 6% of the type approved figure
- For all other engines, at rated power point, ± 5% of the type approved figure

Declared rated Speed at rated power point shall vary within ± 1%

7.0 For verifying the conformity of production, for the selected engine, if the gross power and rated speed does not meet the limits, two additional engines for >19kW or four in case <19kW power rating category shall be tested for the rated gross power and rated speed.

The additional selected all engines shall meet the limits for the rated gross power and speed, out of these engines, one engine shall be subjected to the emission test for the conformance of production as mentioned in this part.

8.0 Single & two-cylinder engines shall be tested with the engine intake system. All the other engine shall be tested with either air intake system of applying maximum declared air intake depression of clean air intake

9.0 The engine shall be tested with the maximum exhaust back pressure values declared by the manufacturer. In case of the engines fitted with exhaust after treatment device and external EGR system, the manufacturer shall declare exhaust back pressure values at all five test points. The engine will be tested with the declared exhaust back pressure values set at laboratory condition with a tolerance of ±10% at rated load. At part load points the tolerance shall be as low as possible in the test laboratory conditions.

10.0 The no load speed or high idle speed and overload speed (correspondence to % overload power declared by manufacturer) shall be verified and documented against value specified by the manufacturer.

Appendix – 5

Crankcase Ventilation Requirements

The Regulation does not enforce a specific crankcase ventilation system for Genset engines. With option of Open and Close crankcase ventilation systems, verifying emissions of crankcase gases is required for engines with gross power >56 kW.

Crank case ventilation requirement shall be as per the guideline given in the ISO-8178-4: 2017, 2020 Reciprocating internal combustion engines — Exhaust emission measurement — Part 4: Steady-state and transient test cycles for different engine applications

Appendix – 6

Emission Durability Period (EDP), Deterioration Factor and Methodology for Adapting Emission Lab Test Results

1. Definitions

For the purposes of this appendix, the following definitions apply. Refer clause 2.1 for extensive set of definitions:

1.1. "**Ageing cycle**" means the engine operation (speed, load, power) to be executed during the service accumulation period.

1.2. "**Critical emission-related components"** means the exhaust after- treatment system, the electronic engine control unit and its associated sensors and actuators, and the EGR system including all related filters, coolers, control valves and tubing.

1.3. "**Critical emission-related maintenance**" means the maintenance to be performed on critical emission-related components of the engine.

1.4. "**Emission-related maintenance"** means the maintenance which substantially affects emissions or which is likely to affect emissions performance of the engine during normal in-use operation.

1.5. "**Engine-after-treatment system family"** means a manufacturer's grouping of engines that comply with the definition of engine family, but which are further grouped into a family of engine families utilising a similar exhaust after-treatment system.

1.6. **"Non-emission-related maintenance**" means maintenance which does not substantially affect emissions and which does not have a lasting effect on the emissions performance deterioration of the engine during normal in-use operation once the maintenance is performed.

1.7. **"Service accumulation schedule**" means the ageing cycle and the service accumulation period for determining the deterioration factors for the engine-after-treatment system family.

2. General

2.1. This Appendix details the procedures for selecting engines to be tested over a service accumulation schedule for the purpose of determining deterioration factors for engine type approval and conformity of production assessments. The deterioration factors shall be applied to the emissions measured in accordance with Annex 4 and calculated in accordance with Annex 5 in accordance with the procedure set out in paragraph 3.2.7 of this appendix, respectively.

2.2. The service accumulation tests or the emissions tests performed to determine deterioration need not be witnessed by the Type Approval Authority.

2.3. This appendix also details the emission-related and non-emission-related maintenance that should be or may be carried out on engines undergoing a service accumulation schedule. Such maintenance shall conform to the maintenance performed on in-service engines and communicated to the end-users of new engines.

3. Engine categories Genset

3.1. Selection of engines for establishing emission durability period deterioration factors

3.1.1. Engines shall be selected from the engine family defined in Clause 4.1 to establish emission durability period deterioration factors.

3.1.2. Engines from different engine families may be further combined into families based on the type of exhaust after-treatment system utilised, or where no after-treatment is used, based upon the

similarity of the technical characteristics of the emission control system. Engines of different bore and stroke, different configuration, different air management systems, different fuel systems may be considered as equivalent in respect to emissions deterioration characteristics if the manufacturer provides data to the Type Approval Authority that there is a reasonable technical basis for such determination. In order to place engine families having similar technical specifications and installation for the exhaust after-treatment systems into the same engine after-treatment system family, the manufacturer shall provide data to the Type Approval Authority that demonstrates that the emissions reduction performance of such engines is similar.

3.1.3. The test engine shall represent the emission deterioration characteristics of the engine families that will apply the resulting deterioration factors for type approval. The engine manufacturer shall select one engine representing the engine family, group of engine families or engine-after-treatment system family, as determined in accordance with paragraph 3.1.2. of this Appendix, for testing over the service accumulation schedule referred to in paragraph 3.2.2. of this Appendix, which shall be reported to the Type Approval Authority before any testing commences.

3.1.4. If the Type Approval Authority decides that the worst-case emissions of the engine family, group of engine families or engine-after-treatment system family can be better characterised by another test engine, the test engine to be used shall be selected jointly by the Type Approval Authority and the engine manufacturer.

3.2. Determination of emission durability period deterioration factors

3.2.1. General

Deterioration factors applicable to an engine family, group of engine families or engine-after-treatment system family shall be developed from the selected engines based on a service accumulation schedule that includes periodic testing for gaseous emissions over each test cycle applicable to the engine category.

3.2.1.1. At the request of the manufacturer, the Type Approval Authority may allow the use of deterioration factors that have been established using alternative procedures to those specified in paragraphs 3.2.2. to 3.2.5. of this Appendix. In that case, the manufacturer shall demonstrate to the satisfaction of the Type Approval Authority that the alternative procedures used are not less rigorous than those set out in paragraphs 3.2.2. to 3.2.5. of this Annex.

3.2.2. Service accumulation schedule

Service accumulation schedules may be carried out at the choice of the manufacturer by running a Genset equipped with the selected engine over an "in-service" accumulation schedule or by running the selected engine over a "dynamometer service" accumulation schedule. The manufacturer shall not be required to use reference fuel for the service accumulation in-between emission measurement test points.

3.2.2.1. In-service and dynamometer service accumulation

3.2.2.1.1. The manufacturer shall determine the form and duration of the service accumulation and the ageing cycle for engines in a manner consistent with good engineering judgment.

3.2.2.1.2. The manufacturer shall determine the test points where gaseous emissions will be measured over the applicable cycles, as follows:

3.2.2.1.2.1. When running a service accumulation schedule shorter than the emission durability period in accordance with paragraph 3.2.2.1.7. of this Appendix, the minimum number of test points shall be three, one at the beginning, one approximately in the middle and one at the end of the service accumulation schedule.

3.2.2.1.2.2. When completing the service accumulation up to the end of the emission durability period, the minimum number of test points shall be two, one at the beginning and one at the end of the service accumulation.

3.2.2.1.2.3. The manufacturer may additionally test at evenly spaced intermediate points.

3.2.2.1.3. The emission values at the start point and at the emission durability period endpoint either calculated in accordance with paragraph 3.2.5.1. of this Appendix or measured directly in accordance with paragraph 3.2.2.1.2.2. of this Appendix, shall be within the limit values applicable to the engine family. However individual emission results from the intermediate test points may exceed those limit values.

3.2.2.1.4. Type Approval Authority to run only one test cycle) at each test point

3.2.2.1.5. Reserved

3.2.2.1.6. Service accumulation schedules may be different for different engine-after-treatment system families.

3.2.2.1.7. Service accumulation schedules may be shorter than the emission durability period, but shall not be shorter than the equivalent of at least one quarter of the relevant emission durability period as specified in below table

Emission durability period for Genset Engines > 19KW

Category (Power Band)	Emission durability period (hours)
>19 ≤ 56 kW (constant speed Engines)	3000
> 56 kW (All engines)	8000

3.2.2.1.8. Accelerated ageing by adjusting the service accumulation schedule on a fuel consumption basis is permitted. The adjustment shall be based on the ratio between the typical in-use fuel consumption and the fuel consumption on the ageing cycle, but fuel consumption on the ageing cycle shall not exceed typical in-use fuel consumption by more than 30 per cent.

3.2.2.1.9. At the request of the manufacturer and with the agreement of the Type Approval Authority, alternative methods of accelerated ageing may be permitted.

3.2.2.1.10. The service accumulation schedule shall be fully described in the application for type-approval and reported to the Type Approval Authority before the start of any testing.

3.2.2.2. If the Type Approval Authority decides that additional measurements need to be performed between the points selected by the manufacturer it shall notify the manufacturer. The revised service accumulation schedule shall be prepared by the manufacturer and agreed by the Type Approval Authority.

3.2.3. Engine testing

3.2.3.1. Engine stabilisation

3.2.3.1.1. For each engine-after-treatment system family, the manufacturer shall determine the number of hours of engine running (or a Genset application) after which the operation of the engine-after-treatment system has stabilised. If requested by the Type Approval Authority, the manufacturer shall make available the data and analysis used to make this determination. As an alternative, the manufacturer may run the engine or a Genset between 60 and 125 hours or the equivalent time on the ageing cycle to stabilise the engine-after-treatment system.

3.2.3.1.2. The end of the stabilisation period determined in paragraph 3.2.3.1.1. of this Appendix shall be deemed to be the start of the service accumulation schedule.

3.2.3.2. Service accumulation testing

3.2.3.2.1. After stabilisation, the engine shall be run over the service accumulation schedule selected by the manufacturer, as described in paragraph 3.2.2. of this Appendix. At the periodic intervals in the service accumulation schedule determined by the manufacturer, and, where applicable, decided by the Type Approval Authority in accordance with paragraph 3.2.2.2. of this Appendix, the engine shall be tested for gaseous and particulate emissions over steady state cycle as applicable to the engine category

The manufacturer may select to measure the pollutant emissions before any exhaust after-treatment system separately from the pollutant emissions after any exhaust after-treatment system.

In accordance with paragraph 3.2.2.1.4. of this Appendix, if it has been agreed that only one steady state test cycle (applicable 3-mode emission cycle) be run at each test point, at the beginning and at the end of the service accumulation schedule.

3.2.3.2.2. During the service accumulation schedule, maintenance shall be carried out on the engine in accordance with paragraph 3.4. of this Appendix.

3.2.3.2.3. During the service accumulation schedule, unscheduled maintenance on the engine may be performed, for example if the manufacturer's normal diagnostic system has detected a problem that would have indicated to genset operator that a fault had arisen.

3.2.4. Reporting

3.2.4.1. The results of all emission tests (applicable 3-mode emission cycle) conducted during the service accumulation schedule shall be made available to the Type Approval Authority. If an emission test is declared to be void, the manufacturer shall provide reasons why the test has been declared void. In such a case, another series of emission tests shall be carried out within the following 100 hours of service accumulation.

3.2.4.2. The manufacturer shall retain records of all information concerning all the emission tests and maintenance carried out on the engine during the service accumulation schedule. This information shall be submitted to the Type Approval Authority along with the results of the emission tests conducted over the service accumulation schedule.

3.2.5. Determination of deterioration factors

3.2.5.1 When running a service accumulation schedule in accordance with paragraph 3.2.2.1.2.1. or paragraph 3.2.2.1.2.3. of this Appendix, for each pollutant measured over the applicable D1 – 3 mode emission cycle at each test point during the service accumulation schedule, a "best fit" linear regression analysis shall be made on the basis of all test results. The results of each test for each pollutant shall be expressed to the same number of decimal places as the limit value for that pollutant, as applicable to the engine family, plus one additional decimal place.

Where in accordance with paragraph 3.2.2.1.4. or paragraph 3.2.2.1.5. of this Appendix, only one steady state test cycle (applicable 3-mode emission cycle) has been run at each test point, the regression analysis shall be made only on the basis of the test results from the test cycle run at each test point.

The manufacturer may request the prior approval of the Type Approval Authority for a non-linear regression.

3.2.5.2. The emission values for each pollutant at the start of the service accumulation schedule and at the emission durability period end point that is applicable for the engine under test shall be either:

(a) determined by extrapolation of the regression equation in paragraph 3.2.5.1. of this Appendix, when running a service accumulation schedule in accordance with paragraph 3.2.2.1.2.1. or paragraph 3.2.2.1.2.3. of this Appendix, or

(b) measured directly, when running a service accumulation schedule in accordance with paragraph 3.2.2.1.2.2. of this Appendix.

Where emission values are used for engine families in the same engine-after-treatment family or group of engine families but with different emission durability periods, then the emission values at the emission durability period end point shall be recalculated for each emission durability period by extrapolation or interpolation of the regression equation as determined in paragraph 3.2.5.1. of this Appendix.

3.2.5.3. The deterioration factor (DF) for each pollutant is defined as the ratio of the applied emission values at the emission durability period end point and at the start of the service accumulation schedule (multiplicative deterioration factor).

The manufacturer may request the prior approval of the Type Approval Authority for the application of an additive DF for each pollutant may be applied. The additive DF is defined as the difference between the calculated emission values at the emission durability period end point and at the start of the service accumulation schedule.

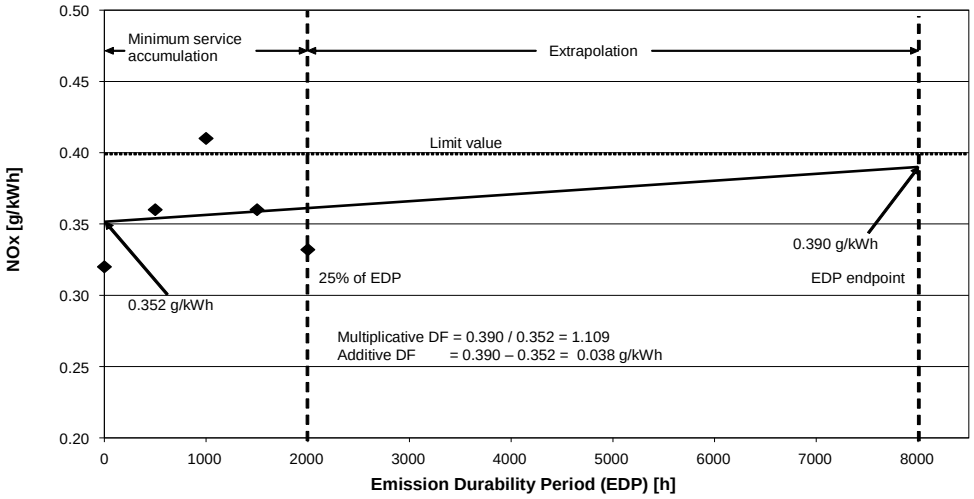
An example for determination of DFs by using linear regression is shown in Figure A.8-1. for NOX emission.

Mixing of multiplicative and additive DFs within one set of pollutants is not permitted.

If the calculation results in a value of less than 1.00 for a multiplicative DF, or less than 0.00 for an additive DF, then the deterioration factor shall be 1.0 or 0.00, respectively.

In accordance with paragraph 3.2.2.1.4. of this Appendix, if it has been agreed that only one steady state test cycle (D1 – 3 Mode) be run at each test point

Figure A.8-1.
Example of DF determination



3.2.6. Assigned deterioration factors

3.2.6.1. As an alternative to using a service accumulation schedule to determine DFs, engine manufacturers may select to use assigned multiplicative DFs, as given in Table A.6-1.

Table A.6-1.

Assigned deterioration factors

Category (Power Band)	Deterioration Factors (DF)		
	CO	HC	NOx
>19 kW (Constant Speed Engine)	1.3	1.3	1.15

Note: When opted for assigned multiplicative DF, no verification is required.

Assigned additive DFs shall not be given. The assigned multiplicative DFs shall not be transformed into additive DFs.

3.2.6.2. Where assigned DFs are used, the manufacturer shall present to the Type Approval Authority robust evidence that the emission control components can reasonably be expected to have the emission durability associated with those assigned factors. This evidence may be based upon design analysis, or tests, or a combination of both.

3.2.7. Application of deterioration factors

3.2.7.1. The engines shall meet the respective emission limits for each pollutant, as applicable to the engine family, after application of the deterioration factors to the test result as measured in

accordance with Annex 5 (cycle-weighted specific emission for each individual gas). Depending on the type of DF, the following provisions apply:

(a) Multiplicative: (cycle weighted specific emission) * DF ≤ emission limit

(b) Additive: (cycle weighted specific emission) + DF ≤ emission limit

Cycle weighted specific emission may include the adjustment for infrequent regeneration, where applicable.

3.2.7.2. For a multiplicative NO_X + HC DF, separate HC and NO_X DFs shall be determined and applied separately when calculating the deteriorated emission levels from an emissions test result before combining the resultant deteriorated NO_X and HC values to establish compliance with the emission limit.

3.2.7.3. The manufacturer may carry across the DFs determined for an engine-after-treatment system family to an engine that does not fall into the same engine-after-treatment system family. In such cases, the manufacturer shall demonstrate to the Type Approval Authority that the engine for which the engine-after-treatment system family was originally tested and the engine for which the DFs are being carried across have similar technical specifications and installation requirements on the Genset and that the emissions of such engine are similar.

Where DFs are carried across to an engine with a different emission durability period, the DFs shall be recalculated for the applicable emission durability period by extrapolation or interpolation of the regression equation as determined in paragraph 3.2.5.1. of this Appendix.

3.2.7.4. The DF for each pollutant for each applicable test cycle shall be recorded in the test report set out in Annexure 2.

3.3. **Checking of conformity of production**

3.3.1. Conformity of production for emissions compliance is checked on the basis clause 5.

3.3.2. The manufacturer may measure the pollutant emissions before any exhaust after-treatment system at the same time as the type-approval test is being performed. For that purpose, the manufacturer may develop informal DFs separately for the engine without after-treatment system and for the after-treatment system that may be used by the manufacturer as an aid to end of production line auditing.

3.3.3. For the purposes of approval, only the DFs determined in accordance with paragraph 3.2.5. or 3.2.6. of this Appendix shall be recorded in the test report set out in Annexure 2.

3.4. Maintenance

For the purpose of the service accumulation schedule, maintenance shall be performed in accordance with the manufacturer's manual for service and maintenance.

3.4.1. Scheduled emission-related maintenance

3.4.1.1. Scheduled emission-related maintenance during engine running, undertaken for the purpose of conducting a service accumulation schedule, shall occur at equivalent intervals to those that are specified in the manufacturer's maintenance instructions to the end-user of the engine. This schedule maintenance may be updated as necessary throughout the service accumulation schedule provided that no maintenance operation is deleted from the maintenance schedule after the operation has been performed on the test engine.

3.4.1.2. Any adjustment, disassembly, cleaning, or exchange of critical emission-related components which is performed on a periodic basis within the emission durability period to prevent malfunction of the engine, shall only be done to the extent that is technologically necessary to ensure proper functioning of the emission control system. The need for scheduled exchange, within the service accumulation schedule and after a certain running time of the engine, of critical emission-related components other than those qualifying as routine exchange items shall be avoided. In this context, consumable maintenance items for regular renewal or items that require cleaning after a certain running time of the engine, shall qualify as routine exchange items.

3.4.1.3. Any scheduled maintenance requirements shall be subject to approval by the Type Approval Authority before type-approval is granted and shall be included in the customer's manual. The

Type Approval Authority shall not refuse to approve maintenance requirements that are reasonable and technically necessary, including but not limited to those identified in paragraph 3.4.1.4. of this Appendix.

3.4.1.4. The engine manufacturer shall specify for the service accumulation schedules any adjustment, cleaning, maintenance (where necessary) and scheduled exchange of the following items:

- filters and coolers in the exhaust gas re-circulation system
- positive crankcase ventilation valve, if applicable
- fuel injector tips (only cleaning is permitted)
- fuel injectors
- turbocharger
- electronic engine control unit and its associated sensors and actuators
- NO_X after-treatment system (including related components)
- exhaust gas re-circulation system, including all related control valves and tubing
- any other exhaust after-treatment system.

3.4.1.5. Scheduled critical emission-related maintenance shall only be performed if it is required to be performed in-use and that requirement is communicated to the end-user of the engine.

3.4.2. Changes to scheduled maintenance

The manufacturer shall submit a request to the Type Approval Authority for approval of any new scheduled maintenance that it wishes to perform during the service accumulation schedule and subsequently to recommend to end-users of engines. The request shall be accompanied by data supporting the need for the new scheduled maintenance and the maintenance interval.

3.4.3. Non-emission-related scheduled maintenance

Non-emission-related scheduled maintenance which is reasonable and technically necessary (for example oil change, oil filter change, fuel filter change, air filter change, cooling system maintenance, idle speed adjustment, governor, engine bolt torque, valve lash, injector lash, adjustment of the tension of any drive-belt, etc.) may be performed on engines selected for the service accumulation schedule at the least frequent intervals recommended by the manufacturer to the end-user (for example not at the intervals recommended for severe service).

3.5. Repair

3.5.1. Repairs to the components of an engine selected for testing over a service accumulation schedule shall be performed only as a result of component failure or engine malfunction. Repair of the engine itself, the emission control system or the fuel system is not permitted except to the extent defined in paragraph 3.5.2. of this Appendix.

3.5.2. If the engine, its emission control system (includes Oxidation catalyst, De-NOx catalyst,) or its fuel system fails during the service accumulation schedule, the service accumulation shall be considered void, and a new service accumulation shall be started with a new engine.

The previous paragraph shall not apply when the failed components are replaced with equivalent components that have been subject to a similar number of hours of service accumulation.

Appendix – 7

Procedure for the Measurement & Calculation of Ammonia Emissions

3. **Ammonia measurement equipment** shall be as per the guideline given in the ISO-8178-1: 2017, 2020 Reciprocating internal combustion engines — Exhaust emission measurement — Part 1: Test-bed measurement systems of gaseous and particulate emission.

4. **Ammonia measurement procedure & calculation** shall be as per the guideline given in the ISO-8178-4: 2017, 2020 Reciprocating internal combustion engines — Exhaust emission measurement — Part 4: Steady-state and transient test cycles for different engine applications

Appendix – 8
Reserved

Appendix – 9
Reserved

ANNEXURE – 8

Requirements regarding emission control strategies, NOX control measures

1. Definitions abbreviations and general requirements

1.1. For the purposes of this Annex, the following definitions and abbreviations apply:

(a) "diagnostic trouble code ("DTC")" means a numeric or alphanumeric identifier which identifies or labels a NCM and/ PCM;

(b) "confirmed and active DTC" means a DTC that is stored during the time the NCD system concludes that a malfunction exists;

(c) "NCD engine family" “means a manufacturer's grouping of engines having common methods of monitoring/diagnosing NCMs

(d) "NO_X Control Diagnostic system (NCD)" means a system on-board the engine/control room which has the capability of

(i) detecting a NO_X Control Malfunction,

(ii) identifying the likely cause of NO_X control malfunctions by means of information stored in computer memory and/or communicating that information off-board;

(e) "NO_X Control Malfunction (NCM)" means an attempt to tamper with the NO_X control system of an engine or a malfunction affecting that system that might be due to tampering, that is considered by this Regulation as requiring the activation of a warning or an inducement system once detected;

(i) "Scan-tool" means an external test equipment used for off-board communication with the NCD system.

1.2. Ambient temperature

Where reference is made to ambient temperature in relation to environments other than a laboratory environment, the following provisions shall apply:

1.2.1 For an engine installed in a test-bed, ambient temperature shall be the temperature of the combustion air supplied to the engine, upstream of any part of the engine being tested.

1.2.2 For an engine installed in Genset, ambient temperature shall be the air temperature immediately outside the perimeter of the Genset. Manufacture may opt to consider temperature inside genset enclosure as an ambient temperature for certain diagnostics in consultation with type approval agency and using sound Engineering judgement.

2. Technical requirements relating to emission control strategies

2.1. This paragraph 2. shall apply for electronically controlled genset engines of all powered category complying with the emission limits set out in clause 3 of this Regulation and using electronic control to determine both the quantity and timing of injecting fuel or using electronic control to activate, de-activate or modulate the emission control system used to reduce NOx.

2.2. Requirements for base emission control strategy

2.2.1 The base emission control strategy shall be designed as to enable the engine, in normal use, to comply with the provisions of this Regulation. Normal use is not restricted to the control conditions as specified in paragraph 2.4.

2.2.2. Base emission control strategies are, but not limited to, maps or algorithms for controlling:

(a) timing of fuel injection or ignition (engine timing);

(b) exhaust gas recirculation (EGR);

(c) SCR catalyst reagent dosing.

2.2.3. Any base emission control strategy that can distinguish engine operation between a standardised approval test and other operating conditions and subsequently reduce the level of emission control when not operating under conditions substantially included in the approval procedure is prohibited.

2.2.3.1. Reserved

2.2.4 The manufacturer shall demonstrate to the technical agency at the time of the type-approval test that the operation of the base emission control strategy complies with the provisions of this section. The demonstration shall consist of an evaluation of the documentation referred to in paragraph 2.6. of this Annex. The manufacturer shall comply with the documentation requirements laid down in paragraph 1.4. of Annex 1 and Appendix A.2 to that Annex.

2.3. Requirements for auxiliary emission control strategy.

2.3.1 An auxiliary emission control strategy may be activated by an engine or Genset, provided that the auxiliary emission control strategy:

2.3.1.1. does not permanently reduce the effectiveness of the emission control system;

2.3.1.2. operates only outside the control conditions specified in paragraphs 2.4.3. of this Annex for the purposes defined in paragraph 2.3.5. of this Annex and only as long as is needed for those purposes, except as permitted by paragraphs 2.3.1.3., 2.3.2. and 2.3.4. of this Annex;

2.3.1.3. is activated only exceptionally within the control conditions in paragraphs 2.4.3. of this Annex, respectively, has been demonstrated to be necessary for the purposes identified in paragraph 2.3.5. of this Annex has been approved by the Type Approval Authority, and is not activated for longer than is needed for those purposes;

2.3.1.4. ensures a level of performance of the emission control system that is as close as possible to that provided by the base emission control strategy;

2.3.2. Where the auxiliary emission control strategy is activated during the type-approval test, activation shall not be limited to occur outside the control conditions set out in paragraph 2.4. of this Annex, and the purpose shall not be limited to the criteria set out in paragraph 2.3.5. of this Annex.

2.3.3. Where the auxiliary emission control strategy is not activated during the type-approval test, it must be demonstrated that the auxiliary emission control strategy is active only for as long as required for the purposes set out in paragraph 2.3.5. of this Annex.

2.3.4. Cold temperature operation.

An auxiliary emission control strategy may be activated on an engine equipped with exhaust gas recirculation (EGR) irrespective of the control conditions in paragraph 2.4. of this Annex if the ambient temperature is below 275 K (2 °C) and one of the two following criteria is met:

(a) intake manifold temperature is less than or equal to the temperature defined by the following equation: $IMT_c = P_{IM} / 15.75 + 304.4$, where: IMT_c is the calculated intake manifold temperature, K and P_{IM} is the absolute intake manifold pressure in kPa;

(b) engine coolant temperature is less than or equal to the temperature defined by the following equation: $ECT_c = P_{IM} / 14.004 + 325.8$, where: ECT_c is the calculated engine coolant temperature, K and P_{IM} is the absolute intake manifold pressure, kPa.

2.3.5. Except as permitted by paragraph 2.3.2. of this Annex, an auxiliary emission control strategy may solely be activated for the following purposes:

(a) by on-board signals, for protecting the engine (including air-handling device protection) and/or Genset into which the engine is installed from damage;

(b) for operational safety reasons;

(c) for prevention of excessive emissions, during cold start or warming-up, during shut-down;

(d) if used to trade-off the control of one regulated pollutant under specific ambient or operating conditions, for maintaining control of all other regulated pollutants, within the emission limit values that are appropriate for the engine concerned. The purpose is to compensate for naturally occurring phenomena in a manner that provides acceptable control of all emission constituents.

2.3.6. The manufacturer shall demonstrate to the technical agency at the time of the type-approval test that the operation of any auxiliary emission strategy complies with the provisions of this paragraph. The demonstration shall consist of an evaluation of the documentation referred to in paragraph 2.6. of this Annex.

2.3.7. Any operation of an auxiliary emission control strategy non-compliant with paragraphs 2.3.1. to 2.3.5. of this Annex is prohibited.

2.4. Control conditions

The control conditions specify an altitude, ambient temperature and engine coolant range that determines whether auxiliary emission control strategies may generally or only exceptionally be activated in accordance with paragraph 2.3. of this Annex.

The control conditions specify an atmospheric pressure which is measured as absolute atmospheric static pressure (wet or dry) ("Atmospheric pressure")

2.4.1. Reserved

2.4.2. Reserved

2.4.3. Control conditions for engines of power bands 56 ≤ P < 800 kW

(a) the atmospheric pressure greater than or equal to 82,5 kPa;

(b) the ambient temperature within the following range:

(i) equal to or above 273K (0 °C)

(ii) less than or equal to the temperature determined by the following equation at the specified atmospheric pressure: $T_c = -0.4514 \cdot (101.3 - P_b) + 311$, where: T_c is the calculated ambient air temperature, K and P_b is the atmospheric pressure, kPa; (With Ambient pressure Pb 100kPa, Tc=38.58 °C and Pb 82.5kPa, Altitude at 1700 meter /5500 feet, Tc=46.5 °C)

(c) the engine coolant temperature above 343 K (70 °C).

Where the auxiliary emission control strategy is activated when the engine is operating within the control conditions set out in points (i), (ii) and (c), the strategy shall only be activated when demonstrated to be necessary for the purposes identified in clause 5.3.2.2.3. below and approved by the Test Agency.

2.5. Where the engine inlet air temperature sensor is being used to estimate ambient air temperature the nominal offset between the two measurement points shall be evaluated for an engine type or engine family. Where used, the measured intake air temperature shall be adjusted by an amount equal to the nominal offset to estimate ambient temperature for an installation using the specified engine type or engine family.

The evaluation of the offset shall be made using good engineering judgement based on technical elements (calculations, simulations, experimental results, data etc.) including:

(a) the typical Genset enclosures into which the engine type or engine family will be installed; and,

(b) the installation instructions provided to the OEM by the manufacturer.

A copy of the evaluation shall be made available to the Type Approval Authority upon request.

2.6. Documentation requirements

2.6.1 The manufacturer shall comply with the documentation requirements laid down in Annexure 1

2.6.2 The manufacturer shall ensure all documents used for this purpose are marked with an identification number and date. Whenever particulars recorded are changed the relevant pages shall be marked to clearly show the date of revision and the nature of the amendment. A consolidated, updated version, accompanied by a detailed description of the amendments, shall be deemed to fulfil the requirement of this paragraph. /Clause

3. Technical requirements relating to NO_X control measures

3.1. Clause 3. of this Annex shall apply to electronically controlled engines complying with the emission limits set out in clause 3 of this Regulation and using electronic control to determine both the quantity and timing of injecting fuel or using electronic control to activate, de-activate or modulate the emission control system used to reduce NO_X.

3.2. The manufacturer shall provide complete information on the functional operational characteristics of the NO_X control measures using the documents set out in Annexure 1.

3.3. The NO_X control strategy shall be operational under all environmental conditions regularly occurring in India especially at low ambient temperatures.

3.4. The manufacturer shall demonstrate that the emission of ammonia during the applicable emission test cycle of the type approval procedure, when a reagent is used, does not exceed a mean value of 10 ppm for >56 kW & 25 ppm for <56 kW engine categories.

3.5. For reagent containers installed on a genset/engine, means for taking a sample of the reagent inside the containers must be included. The sampling point must be easily accessible without requiring the use of any specialised tool or device.

3.6 In addition to the requirements set out in clauses 3.2. to 3.5. of this Annex, the technical requirements set out in Appendix A.1 of this Annex shall apply for engines.

4.0 Reserved

Appendix – A.1

Additional technical requirements on NOx control measures for engines, including the method to demonstrate these strategies

A.1.1. Introduction

This Appendix sets out the additional requirements to ensure the correct operation of NO_X control measures. It includes requirements for engines that rely on the use of a reagent in order to reduce emissions. The type approval shall be made conditional upon the application of the relevant provisions on engine/genset operator or genset control room instruction, installation documents, operator warning system, inducement system and reagent freeze protection that are set out in this Appendix.

A.1.2. General requirements

The engine shall be equipped with a NO_X Control Diagnostic system (NCD) able to identify the NO_X control malfunctions (NCMs). Any engine covered by this paragraph shall be designed, constructed and installed so as to be capable of meeting these requirements throughout the normal life of the engine under normal conditions of use. In achieving this objective, it is acceptable that engines which have been used in excess of the emission durability period as specified in Appendix 6 to Annexure 7 of this Regulation show some deterioration in the performance and the sensitivity of the NO_X Control Diagnostic system (NCD), such that the thresholds specified in this Annexure may be exceeded before the warning and/or inducement systems are activated.

A.1.2.1. Required information

A.1.2.1.1. If the emission control system requires a reagent, the type of reagent, information on concentration when the reagent is in solution, its operational temperature conditions a reference to international standards for composition and quality and other characteristics of that reagent shall be specified by the manufacturer in accordance with Appendix A.3 of Annexure 1.

A.1.2.1.2. Detailed written information fully describing the functional operation characteristics of the engine or genset operator/genset control room warning system set out in paragraph A.1.4. of this Annexure and of the operator inducement system set out in paragraph A.1.5. of this Annexure shall be provided to the Type Approval Authority at the time of approval.

A.1.2.1.3. The manufacturer shall provide the OEM with documents with instructions on how to install the engine in the Genset in such manner that the engine, its emission control system and the Genset parts, operate in conformity with the requirements of this Appendix. This documentation shall include the detailed technical requirements of the engine (software, hardware, and communication) needed for the correct installation of the engine in the Genset application.

A.1.2.2. Operating conditions

A.1.2.2.1. Monitoring for reagent level in the storage tank shall be conducted under all conditions where measurement is technically feasible (for instance, under all conditions when a liquid reagent is not frozen).

A.1.2.2.2. Reagent freeze protection shall apply at ambient temperatures at or below 273K (0 °C).

A.1.2.2.3. All elements of the NO_X control diagnostic system other than those listed in paragraphs A.1.2.2.1. and A.1.2.2.2. of this Annexure shall, at a minimum be operational at the applicable control conditions set out in paragraph 2.4 of this Annexure for each engine category. The diagnostic system shall remain operational outside of this range where technically possible.

A.1.2.3. Reagent freeze protection

A.1.2.3.1. It is permitted to use a heated or a non-heated reagent tank and dosing system. A heated system shall meet the requirements of paragraph A.1.2.3.2.2. of this Annexure. A non-heated system shall meet the requirements of paragraph A.1.2.3.2.3. of this Annexure.

A.1.2.3.1.1. The use of a non-heated reagent tank and dosing system shall be indicated in the written instructions to the end-user of the Genset.

A.1.2.3.2. Reagent tank and dosing system

A.1.2.3.2.1. If the reagent has frozen, the reagent shall be available for use within a maximum of 70 minutes after the start of the engine at or above 273 K (0 °C) ambient temperature.

A.1.2.3.2.2. Design criteria for a heated system

A heated system shall be so designed that it meets the performance requirements set out in this paragraph A.1.2.3.2. when tested using the procedure defined.

Demonstration test at the time of type approval is not mandatory.

A.1.2.3.2.2.1. The reagent tank and dosing system shall be soaked at 255 K (- 18°C) for 72 hours or until the reagent becomes solid, whichever occurs first.

A.1.2.3.2.2.2. After the soak period set out in paragraph A.1.2.3.2.2.1. of this Annexure, the Genset engine shall be started and operated at 266 K (- 7 °C) ambient temperature or lower as follows:

(a) 10 to 20 minutes idling, followed by

(b) up to 50 minutes at no more than 40 per cent of rated torque.

A.1.2.3.2.2.3. At the conclusion of the test procedure set out in paragraph A.1.2.3.2.2.2. of this Annexure, the reagent dosing system shall be fully functional.

A.1.2.3.2.2.4. Evaluation of the design criteria may be performed in a cold chamber test cell using an entire Genset or parts representative of those to be installed on such machinery or based on field tests.

A.1.2.3.2.3. Activation of the operator warning and inducement system for a non-heated system

A.1.2.3.2.3.1. The operator warning system described in paragraph A1.4 of this Annexure shall be activated if no reagent dosing occurs at an ambient temperature >= 273 K (0°C).

A.1.2.3.2.3.2. The Operator inducement system described in paragraph A1.5. of this Annexure shall be activated if no reagent dosing occurs within a maximum of 70 minutes after engine start at an ambient temperature >= 273 K (0°C).

A.1.2.4. Diagnostic requirements

A.1.2.4.1. The NO_X Control Diagnostic system (NCD) shall be able to identify the NO_X control malfunctions (NCMs) by means of Diagnostic Trouble Codes (DTCs) stored in the computer memory and to communicate that information off-board upon request.

A.1.2.4.2. Requirements for recording Diagnostic Trouble Codes (DTCs)

A.1.2.4.2.1. The NCD system shall record a DTC for each distinct NO_X Control Malfunction (NCM).

A.1.2.4.2.2. The NCD system shall conclude within 60 minutes of engine operation whether a detectable malfunction is present. At this time, a "confirmed and active" DTC shall be stored and the warning system be activated according to paragraph A.1.4. of this Annex.

A.1.2.4.2.3. In cases where more than 60 minutes running time is required for the monitors to accurately detect and confirm a NCM (e.g. monitors using statistical models or with respect to fluid consumption on the Genset), the Type Approval Authority may permit a longer period for monitoring provided the manufacturer justifies the need for the longer period (for example by technical rationale, experimental results, in house experience, etc.).

A.1.2.4.3. Requirements for erasing Diagnostic trouble codes (DTCs)

(a) DTCs shall not be erased by the NCD system itself from the computer memory until the failure related to that DTC has been remedied.

(b) The NCD system may erase all the DTCs upon request of a proprietary scan or maintenance tool that is provided by the engine manufacturer upon request, or using a pass code provided by the engine manufacturer.

A.1.2.4.4. An NCD system shall not be programmed or otherwise designed to deactivate partially or totally based on age of Genset during the actual life of the engine, nor shall the system contain any algorithm or strategy designed to reduce the effectiveness of the NCD system over time.

A.1.2.4.5. Any reprogrammable computer codes or operating parameters of the NCD system shall be resistant to tampering.

A.1.2.4.6. NCD engine family

The manufacturer is responsible for determining the composition of an NCD engine family. Grouping engines within an NCD engine family shall be based on good engineering judgment and be subject to approval by the Type Approval Authority.

Engines that do not belong to the same engine family may still belong to the same NCD engine family.

A.1.2.4.6.1. Parameters defining an NCD engine family

An NCD engine family is characterized by basic design parameters that shall be common to engines within the family.

In order that engines are considered to belong to the same NCD engine family, the following list of basic parameters shall be similar:

(a) emission control systems;

(b) methods of NCD monitoring;

(c) criteria for NCD monitoring;

(d) monitoring parameters (e.g. frequency).

These similarities shall be demonstrated by the manufacturer by means of relevant engineering demonstration or other appropriate procedures and subject to the approval of the Type Approval Authority.

The manufacturer may request approval by the Type Approval Authority of minor differences in the methods of monitoring/diagnosing the NCD system due to engine configuration variation, when these methods are considered similar by the manufacturer and they differ only in order to match specific characteristics of the components under consideration (for example size, exhaust gas flow, etc.); or their similarities are based on good engineering judgment.

A.1.3. Maintenance requirements

A.1.3.1. The OEM shall provide to all end-users of genset written instructions about the emission control system and its correct operation in accordance with Appendix 2 of Annexure 9.

A.1.4. Operator or control room warning system

A.1.4.1. The genset shall include an operator or control room warning system using visual and audible component that informs the operator when a low reagent level, incorrect reagent quality, interruption of dosing or a malfunction specified in clause A.1.9. of this Annexure has been detected that will lead to activation of the operator inducement system if not rectified in a timely manner. The warning system shall remain active when the operator inducement system described in paragraph A.1.5. of this Annexure has been activated.

A.1.4.2. The warning shall not be the same as the warning used for the purposes of malfunction or other engine maintenance, though it may use the same warning system.

A.1.4.3. The operator warning system may consist of one or more lamps, or display short messages, which may include, for example, messages indicating clearly:

(a) the remaining time before activation of the low-level and / or severe inducements,

(b) the amount of low-level and / or severe inducement, for example the amount of torque reduction or effective disablement of operation.,

(c) the conditions under which Genset disablement can be cleared.

Where messages are displayed, the system used for displaying these messages may be the same as the one used for other maintenance purposes.

A.1.4.4. The warning system shall include an audible component to alert the operator in line with A.1.14 of this Annexure. The cancelling of audible warnings by the operator shall be permitted. An audible alarm system must be activated at minimum when the operator inducement system described in paragraph A.1.5. of this Annexure has been activated.

A.1.4.5. The operator warning system shall be activated as specified in paragraphs A.1.2.3.2.3.1., A.1.6.2., A.1.7.2., A.1.8.3., and A.1.9.3. of this Annexure respectively.

A.1.4.6. The operator warning system shall be deactivated when the conditions for its activation have ceased to exist. The operator warning system shall not be automatically deactivated without the reason for its activation having been remedied.

A.1.4.7. The warning system may be temporarily interrupted by other warning signals providing important safety related messages.

A.1.4.8. Details of the operator warning system activation and deactivation procedures are described in paragraph A.1.11. of this Annexure.

A.1.4.9. As part of the application for approval under this Regulation, the manufacturer shall demonstrate the operation of the operator warning system, as specified in paragraph A.1.10. of this Annexure.

A.1.5. Operator inducement system

A.1.5.1. The engine shall incorporate an operator inducement system based on one of the following principles:

A.1.5.1.1. a two-stage inducement system starting with a low-level inducement Audio-Visual Alarm followed by a severe inducement (effective disablement of Genset operation);

A.1.5.1.2. a one-stage severe inducement system (effective disablement of Genset operation) activated under the conditions of a low-level inducement system as specified in paragraphs A.1.6.3.1., A.1.7.3.1., A.1.8.4.1., and A.1.9.4.1. of this Annexure.

Where the manufacturer elects to shut down the engine to fulfil the requirement for one-stage severe inducement then the inducement for reagent level may, at the choice of the manufacturer, be activated under the conditions of paragraph A.1.6.3.2. of this Annexure instead of the conditions of paragraph A.1.6.3.1. of this Annexure.

A.1.5.2. Reserved

A.1.5.3. Low-level inducement system

A.1.5.3.1. The low-level inducement system shall be activated after any of the conditions specified in paragraphs A.1.6.3.1., A.1.7.3.1., A.1.8.4.1., and A.1.9.4.1. of this Annexure has occurred.

A.1.5.3.2. Reserved

A.1.5.3.3. For constant speed engines, low level inducement shall the Audio-Visual Alarm

A.1.5.3.4. Other inducement measures that are demonstrated to the Type Approval Authority as having the same or greater level of severity may be used.

A.1.5.4. Severe inducement system

A.1.5.4.1. The severe inducement system shall be activated after any of the conditions specified in paragraphs A.1.2.3.2.3.2., A.1.6.3.2., A.1.7.3.2., A.1.8.4.2., and A.1.9.4.2. of this Annexure has occurred.

A.1.5.4.2. The severe inducement system shall effectively disable Genset operation to cause the operator to remedy any problems related to paragraphs A.1.6. to A.1.9. of this Annexure. The following strategy shall be applied:

(a) Strategy A:

An audio/visual warning and a grace period of 72 hours after which the severe inducement will effectively disable Genset operation to cause the operator to remedy any problems related to paragraphs A.1.6. to A.1.9. of this Annexure. (To mention no torque de-rate with this severe inducement strategy)

(b) Strategy B:

An audio/visual warning with a torque de-rate of minimum 50% and a grace period of 120 hours after which the severe inducement will effectively disable Genset operation to cause the operator to remedy any problems related to paragraphs A.1.6. to A.1.9. of this Annexure.

A.1.5.4.2.1. Genset engines, torque between the peak torque speed and the governor breakpoint shall be gradually reduce to zero torque and Minimum speed of 1 per cent per minute to zero percent of maximum torque within same time to speed reduction to Minimum speed within the same time as the torque reduction.

Genset with severe-inducement condition activated when shut down; shall not start in next attempt barring activation of operator overrides described in paragraph A.1.5.5. of this annexure.

A.1.5.4.2.2. Manufacturer may have option for direct disablement of engine after key off without going to torque / torque and speed de-rate schedule for severe level inducement as described in paragraph A.1.5.4.2.1 of this Annexure.

A.1.5.4.2.3. Other inducement measures that are demonstrated to the Type Approval Authority as having the same or greater level of severity may be used.

A.1.5.5. In order to account for safety concerns and to allow for self-healing diagnostics, use of an inducement override function for releasing full engine power is permitted provided it

(a) is limited to 3 activations during each activation period that the operator inducement system is active and

(b) each activation period is limited to 30 minutes

A.1.5.6. The operator inducement system shall be deactivated when the conditions for its activation have ceased to exist. The operator inducement system shall not be automatically deactivated without the reason for its activation having been remedied.

A.1.5.7. Details of the operator inducement system activation and deactivation procedures are described in paragraph A.1.11. of this Annexure.

A.1.5.8. As part of the application for approval under this Regulation, the manufacturer shall demonstrate the operation of the operator inducement system, as specified in clause A.1.10. of this Annexure.

A.1.6. Reagent Availability

A.1.6.1. Reagent level indicator

The genset/engine shall include an indicator that clearly informs the operator /control room of the level of reagent in the reagent storage tank. The minimum acceptable performance level for the reagent indicator is that it shall continuously indicate the reagent level whilst the operator warning system referred to in paragraph A.1.4. of this Annexure is activated. The reagent indicator may be in the form of an analogue or

digital display, and may show the level as a proportion of the full tank capacity, the amount of remaining reagent, or the estimated operating hours remaining

Additionally, remote information and monitoring system described in Appendix 4 of this Annexure where applicable shall provide with reagent level information at least when operator warning system referred to in paragraph A.1.4. of this Annexure is activated.

A.1.6.2. Activation of the operator warning system

A.1.6.2.1. The operator warning system specified in paragraph A.1.4. of this Annexure shall be activated when the level of reagent goes below 10 per cent of the capacity of the reagent tank or a higher percentage at the choice of the manufacturer.

A.1.6.2.2. The warning provided shall be sufficiently clear, in conjunction with the reagent indicator, for the operator to understand that the reagent level is low. When the warning system includes a message display system, the visual warning shall display a message indicating a low level of reagent. (for example, “urea level low”, “AdBlue level low”, or “reagent low”).

A.1.6.2.3. The operator warning system does not initially need to be continuously activated (for example a message does not need to be continuously displayed), however activation shall escalate in intensity so that it becomes continuous as the level of the reagent approaches empty and the point where the operator inducement system will come into effect is approached (for example frequency at which a lamp flashes). It shall culminate in an operator notification at a level that is at the choice of the manufacturer, but sufficiently more noticeable at the point where the operator inducement system in paragraph A.1.6.3. of this Annexure comes into effect than when it was first activated.

A.1.6.2.4. The continuous warning shall not be easily disabled or ignored. When the warning system includes a message display system, an explicit message shall be displayed (for example “fill up urea”, “fill up AdBlue”, or “fill up reagent”). The continuous warning may be temporarily interrupted by other warning signals providing important safety related messages.

A.1.6.2.5. It shall not be possible to turn off the operating warning system until the reagent has been replenished to a level not requiring its activation.

A.1.6.2.6. Additionally, audio warning system described in paragraph A.1.14 of this Annexure shall become active when the level of reagent goes below 10 per cent of the capacity of the reagent tank or at a percentage operator warning system is activated at the choice of the manufacturer.

A.1.6.3 Activation of the operator inducement system

A.1.6.3.1 The low-level inducement system described in paragraph A.1.5.3. of this Annexure shall be activated if the reagent tank level goes below 2.5 per cent of its nominally full capacity or a higher percentage at the choice of the manufacturer.

A.1.6.3.2. The severe inducement system described in paragraph A.1.5.4. of this Annexure shall be activated if the reagent tank is empty, that is, when the dosing system is unable to draw further reagent from the tank, or at any level below 2.5 per cent of its nominally full capacity at the discretion of the manufacturer.

A.1.6.3.3. Except to the extent permitted by paragraph A.1.5.5. of this Annexure, it shall not be possible to turn off the low-level or severe inducement system until the reagent has been replenished to a level not requiring their respective activation.

A.1.7. Reagent Quality Monitoring

A.1.7.1. The engine, or Genset shall include a means of determining the presence of an incorrect reagent on board.

A.1.7.1.1. The manufacturer shall specify a minimum acceptable reagent concentration CD_{min}, which results in tailpipe NO_X emissions not exceeding the lower of either the applicable NO_X limit multiplied by 2.25 or the applicable NO_X limit plus 1.5 g/kWh. For engine sub-categories with a combined HC and NO_X limit, the applicable NO_X limit value for the

purpose of this paragraph shall be the combined limit value for HC and NO_X reduced by 0.19 g/kWh.

A.1.7.1.1.1. The value of CD_{min} specified by the manufacturer shall be used during the demonstration set out in paragraph A.1.13. of this Annexure and recorded in the extended documentation package as specified in Appendix A.3 to Annex 1.

A.1.7.1.2. Any reagent concentration lower than CD_{min} shall be detected and be regarded, for the purpose of paragraph A.1.7.1. of this Annexure, as being incorrect reagent.

A.1.7.1.3. A specific counter ("the reagent quality counter") shall be attributed to the reagent quality. The reagent quality counter shall count the number of engine operating hours with an incorrect reagent.

A.1.7.1.3.1. Optionally, the manufacturer may group the reagent quality failure together with one or more of the failures listed in paragraphs A.1.8. of this Annexure and A.1.9. of this Annexure into a single counter.

A.1.7.1.4. Details of the reagent quality counter activation and deactivation criteria and mechanisms are described in paragraph A.1.11. of this Annexure.

A.1.7.2. Activation of the operator warning system

When the monitoring system confirms that the reagent quality is incorrect, the operator warning system described in paragraph1.4 shall be activated. When the warning system includes a message display system, it shall display a message indicating the reason of the warning (for example “incorrect urea detected”, “incorrect AdBlue detected”, or “incorrect reagent detected”).

A.1.7.3 Activation of the operator inducement system

A.1.7.3.1. The low-level inducement system described in paragraph A.1.5.3. of this Annexure shall be activated if the reagent quality is not rectified within a maximum of 36 engine operating hours after the activation of the operator warning system as described in paragraph A.1.7.2. of this Annexure.

A.1.7.3.2. The severe inducement system described in paragraph A.1.5.4. of this Annexure shall be activated if their emission performance does not require reagent dosing. The severe inducement system described in clause A.1.5.4. of this Appendix shall be activated if the reagent quality is not rectified within a maximum of 72 engine operating hours after the activation of the operator warning system as described in clause A.1.7.2. of this Appendix.

A.1.7.3.3. The number of hours prior to activation of the inducement systems shall be reduced in case of a repetitive occurrence of the malfunction according to the mechanism described in clause A.1.11. of this Appendix.

A.1.8. Reagent Dosing Activity

A.1.8.1 The engine shall include a means of determining interruption of dosing.

A.1.8.2. Reagent dosing activity counter

A.1.8.2.1. A specific counter shall be attributed to the dosing activity (the "dosing activity counter"). The counter shall count the number of engine operating hours which occur with an interruption of the reagent dosing activity. This is not required where such interruption is demanded by the engine ECU because the Genset operating conditions are such that their emission performance does not required reagent dosing.

A.1.8.2.1.1. Optionally, the manufacturer may group the reagent dosing failure together with one or more of the failures listed in paragraphs A.1.7. and A.1.9. of this Annexure into a single counter.

A.1.8.2.2. Details of the reagent dosing activity counter activation and deactivation criteria and mechanisms are described in paragraph A.1.11. of this Annexure.

A.1.8.3. Activation of the operator warning system

The operator warning system described in paragraph A.1.4. of this Annexure shall be activated in the case of interruption of dosing which sets the dosing activity counter in accordance with paragraph A.1.8.2.1. of this Annexure. When the warning system includes a message display system, it shall display a message indicating the reason of the warning (e.g. “urea dosing malfunction”, “AdBlue dosing malfunction”, or “reagent dosing malfunction”).

A.1.8.4. Activation of the operator inducement system

A.1.8.4.1. The low-level inducement system described in paragraph A.1.5.3. of this Annexure shall be activated if an interruption in reagent dosing is not rectified within a maximum of 36 engine operating hours after the activation of the operator warning system in accordance with paragraph A.1.8.3. of this Annexure.

A.1.8.4.2. The severe inducement system described in paragraph A.1.5.4. of this Annexure shall be activated if an interruption in reagent dosing is not rectified within a maximum of **72** engine operating hours after the activation of the operator warning system in accordance with paragraph A.1.8.3. of this Annexure.

A.1.8.4.3. The number of hours prior to activation of the inducement systems shall be reduced in case of a repetitive occurrence of the malfunction according to the mechanism described in paragraph A.1.11. of this Annexure.

A.1.9. Other failures that may be attributed to tampering

A.1.9.1. In addition to the level of reagent in the reagent tank, the reagent quality, and the interruption of dosing, the following failures shall be monitored because they may be attributed to tampering:

(a) failures of the NO_X Control Diagnostic (NCD) system as described in paragraph A.1.9.2.1. of this Annexure;

(b) failures of the exhaust gas recirculation (EGR) valve as described in paragraph A.1.9.2.2. of this Annexure;

A.1.9.2. Monitoring requirements and counters

A.1.9.2.1. NCD system

A.1.9.2.1.1. The NO_X Control Diagnostic (NCD) system shall be monitored for electrical failures and for removal or deactivation of any sensor that prevents it from diagnosing any other failures set out in paragraphs A.1.6. to A.1.8. (component monitoring) of this Annexure.

A non-exhaustive list of sensors that affect the diagnostic capability are those directly measuring NO_X concentration, urea quality sensors, ambient sensors and sensors used for monitoring reagent dosing activity, reagent level or reagent consumption.

A.1.9.2.1.2. A counter shall be attributed to each of the monitoring failures. The NCD system counters shall count the number of engine operating hours when the DTC associated to a malfunction of the NCD system is confirmed to be active. Different NCD system failures may be grouped into a single counter.

A.1.9.2.1.2.1. The manufacturer may group the NCD system failure together with one or more of the failures listed in paragraphs A.1.7., A.1.8. and paragraph A.1.9.2.2 of this Annexure into a single counter.

A.1.9.2.1.3. Details of the NCD system counter(s) activation and deactivation criteria and mechanisms are described in paragraph A.1.11. of this Annexure.

A.1.9.2.2. EGR valve

A.1.9.2.2.1. The EGR system shall be monitored for an impeded EGR valve.

A.1.9.2.2.2. A counter shall be attributed to an impeded EGR valve. The EGR valve counter shall count the number of engine operating hours when the DTC associated to an impeded EGR valve is confirmed to be active.

A.1.9.2.2.2.1. The manufacturer may group the impeded EGR valve failure together with one or more of the failures listed in paragraphs A.1.7., A.1.8. and A.1.9.2.1 of this Annexure. into a single counter.

A.1.9.2.2.3. Details of the EGR valve counter activation and deactivation criteria and mechanisms are described in paragraph A.1.11. of this Annexure.

A.1.9.3. Activation of the operator warning system

The operator warning system set out in paragraph1.4. shall be activated in case any of the failures specified in paragraph A.1.9.1. of this Annexure occur, and shall indicate that an urgent repair is required. When the warning system includes a message display system, it shall display a message indicating either the reason of the warning (for example “reagent dosing valve disconnected”, or "critical emission failure").

A.1.9.4. Activation of the operator inducement system

A.1.9.4.1. The low-level inducement system described in paragraph A.1.5.3. of this Annexure shall be activated if a failure specified in paragraph A.1.9.1. of this Annexure is not rectified within a maximum of **36** engine operating hours after the activation of the operator warning system set out in paragraph A.1.9.3. of this Annexure.

A.1.9.4.2. The severe inducement system described in paragraph A.1.5.4. of this Annexure shall be activated if a failure specified in paragraph A.1.9.1. of this Annexure is not rectified within a maximum of **72** engine operating hours after the activation of the operator warning system set out in paragraph A.1.9.3. of this Annexure.

A.1.9.4.3. The number of hours prior to activation of the inducement systems shall be reduced in case of a repetitive occurrence of the malfunction according to the mechanism described in paragraph A.1.11. of this Annexure.

A.1.9.5. As an alternative to the monitoring requirements set out in paragraph A.1.9.2. of this Annexure, the manufacturer may monitor for failures using a NO_X sensor located in the exhaust system. In this case,

(a) the NO_X value at which the NCM shall be detected shall not exceed the lower of either the applicable NO_X limit multiplied by 2.25 or the applicable NO_X limit plus 1.5 g/kWh. For engine sub-categories with a combined HC and NO_X limit, the applicable NO_X limit value for the purpose of this paragraph shall be the combined limit value for HC and NO_X reduced by 0.19 g/kWh.

(b) a single warning may be used, including, where messages are used, the statement ‘high NO_X – root cause unknown’,

(c) in paragraph A.1.9.4.1. of this Annexure the maximum number of engine operating hours between the activation of the operator warning system and the activation of the low-level inducement system shall be 36 hours

(d) in paragraph A.1.9.4.2. of this Annexure the maximum number of engine operating hours between the activation of the operator warning system and the activation of the severe inducement system shall be 72 hours

A.1.10. Demonstration requirements

A.1.10.1. General

The compliance to the requirements of this Appendix shall be demonstrated during type-approval by performing, as illustrated in Table A.8-1. and specified in paragraph A.1.10. of this Annexure:

(a) a demonstration of the warning system activation

(b) a demonstration of the low-level inducement system activation, if applicable

(c) a demonstration of the severe inducement system activation

A.1.10.2. Engine families and NCD engine families

The compliance of an engine family or an NCD engine family with the requirements of paragraph A.1.10. of this Annexure may be demonstrated by testing one of the

members of the considered family, provided the manufacturer demonstrates to the Type Approval Authority that the monitoring systems necessary for complying with the requirements of this Appendix are similar within the family.

A.1.10.2.1. The demonstration that the monitoring systems for other members of the NCD engine family are similar may be performed by presenting to the approval authorities such elements as algorithms, functional analyses, etc.

A.1.10.2.2. The test engine is selected by the manufacturer in agreement with the Type Approval Authority. It may or may not be the parent engine of the considered family.

A.1.10.2.3. In the case where engines of an engine family belong to an NCD engine family that has already been type-approved, according to paragraph A.1.10.2.1. (Figure A.8-3.) of this Annexure the compliance of that engine family is deemed to be demonstrated without further testing, provided the manufacturer demonstrates to the authority that the monitoring systems necessary for complying with the requirements of this Appendix are similar within the considered engine and NCD engine families.

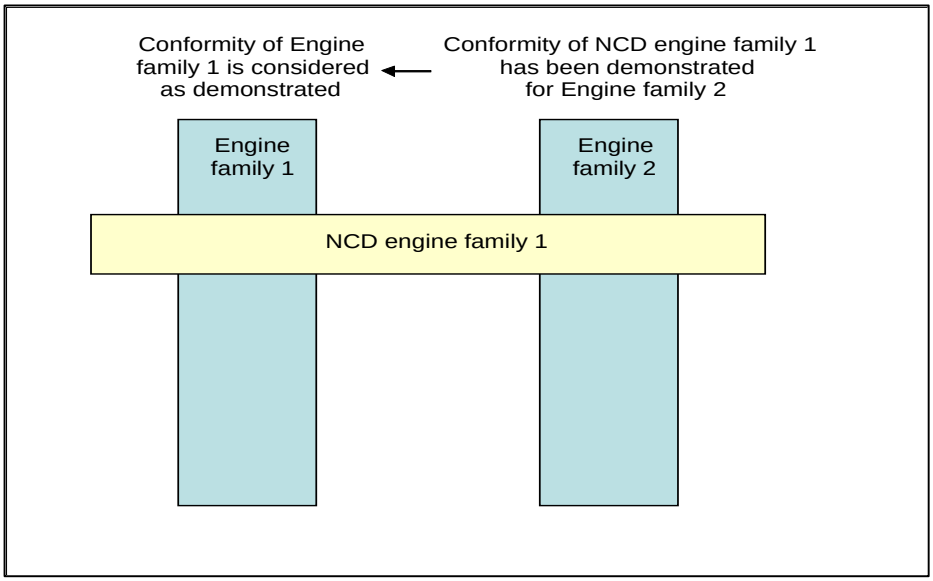
Table A.8-1.

Illustration of the content of the demonstration process in accordance with the provisions in paragraphs A.1.10.3. and A.1.10.4.

Mechanism	Demonstration elements
Warning system activation specified in paragraph A.1.10.3. of this Annexure	2 activation tests (incl. lack of reagent) Supplementary demonstration elements, as appropriate
Low-level inducement activation specified in paragraph A.1.10.4. of this Annexure	2 activation tests (incl. lack of reagent) - Supplementary demonstration elements, as appropriate 1 The Audio-Visual Alarm
Severe inducement activation specified in paragraph A.1.10.4. of this Annexure	2 activation tests (incl. lack of reagent) - Supplementary demonstration elements, as appropriate

Figure A.8-3.

Previously demonstrated conformity of an NCD engine family



A.1.10.3. Demonstration of the warning system activation

A.1.10.3.1. The compliance of the warning system activation shall be demonstrated by performing two tests: lack of reagent, and one failure category identified in paragraphs A.1.7, A.1.8. or A.1.9. of this Annexure.

A.1.10.3.2. Selection of the failure to be tested from paragraphs A.1.7., A.1.8., or A.1.9. of this Annexure.

A.1.10.3.2.1. The Type Approval Authority shall select one failure category. In the case that a failure is selected from points A.1.7. or A.1.9. of this Annexure the additional requirements set out in points A.1.10.3.2.2. or A.1.10.3.2.3. of this Annexure respectively shall apply.

A.1.10.3.2.2 For the purpose of demonstrating the activation of the warning system in case of a wrong reagent quality, a reagent shall be selected with a dilution of the active ingredient at least as dilute as that communicated by the manufacturer according to the requirements set out in paragraph A.1.7. of this Annexure.

A.1.10.3.2.3. For the purpose of demonstrating the activation of the warning system in case of failures that may be attributed to tampering, and are defined in paragraph A.1.9. of this Annexure the selection shall be performed according to the following requirements:

A.1.10.3.2.3.1. The manufacturer shall provide the Type Approval Authority with a list of such potential failures.

A.1.10.3.2.3.2. The failure to be considered in the test shall be selected by the Type Approval Authority from this list referred to in paragraph A.1.10.3.2.3.1. of this Annexure.

A.1.10.3.3. Demonstration

A.1.10.3.3.1. For the purpose of this demonstration, a separate test shall be performed for the lack of reagent and the failure selected in paragraph A.1.10.3.2. of this Annexure.

A.1.10.3.3.2. During a test, no failure shall be present other than the one addressed by the test.

A.1.10.3.3.3. Prior to starting a test, all DTC shall have been erased.

A.1.10.3.3.4. At the request of the manufacturer, and with the agreement of the Type Approval Authority, the failures subject to testing may be simulated.

A.1.10.3.3.5. Detection of failures other than lack of reagent.

For failures other than lack of reagent, once the failure installed or simulated, the detection of that failure shall be performed as follows:

A.1.10.3.3.5.1. The NCD system shall respond to the introduction of a failure selected as appropriate by the Type Approval Authority in accordance to the provisions of this Appendix. This is demonstrated if activation occurs within two consecutive NCD test-cycles according to paragraph A.1.10.3.3.7. of this Annexure.

When it has been specified in the monitoring description and agreed by the Type Approval Authority that a specific monitor needs more than two NCD test-cycles to complete its monitoring, the number of NCD test-cycles may be increased to 3 NCD test-cycles.

Each individual NCD test-cycle in the demonstration test may be separated by an engine shut-off. The time until the next start-up shall take into consideration any monitoring that may occur after engine shut-off and any necessary condition that must exist for monitoring to occur at the next start up.

A.1.10.3.3.5.2 The demonstration of the warning system activation is deemed to be accomplished if, at the end of each demonstration test performed according to paragraph A.1.10.3.3. of this Annexure, the warning system has been properly activated and the DTC for the selected failure has got the “confirmed and active” status.

A.1.10.3.3.6. Detection in case of lack of reagent availability

For the purpose of demonstrating the activation of the warning system in case of lack of reagent availability, the engine shall be operated over one or more NCD test cycles at the discretion of the manufacturer.

A.1.10.3.3.6.1. The demonstration shall start with a level of reagent in the tank to be agreed between the manufacturer and the Type Approval Authority but representing not less than 10 per cent of the nominal capacity of the tank.

A.1.10.3.3.6.2. The warning system is deemed to have performed in the correct manner if the following conditions are met simultaneously:

(a) the warning system has been activated with a reagent availability greater or equal to 10 per cent of the capacity of the reagent tank

(b) the "continuous" warning system has been activated with a reagent availability greater or equal to the value declared by the manufacturer in accordance with the provisions of paragraph A.1.6. of this Annexure.

A.1.10.3.3.7. NCD test cycle

A.1.10.3.3.7.1 The NCD test cycle considered in clause A.1.10. of this Annexure for demonstrating the correct performance of the NCD system is the D1- 3 Mode cycle for constant speed engine

A.1.10.3.3.7.2 On request of the manufacturer with approval of the test agency, an alternative NCD Cycle (e.g. other than D1-3 Mode cycle) can be used for specific monitoring

A.1.10.3.4. The demonstration of the warning system activation is deemed to be accomplished if, at the end of each demonstration test performed according to paragraph A.1.10.3.3. of this Annexure, the warning system has been properly activated.

A.1.10.4. Demonstration of the inducement system

A.1.10.4.1. The demonstration of the inducement system shall be done by tests performed on an engine test bench.

A.1.10.4.1.1. Any components or sub-systems not physically mounted on the engine, such as, but not limited to, ambient temperature sensors, level sensors, and operator warning and information systems, that are required in order to perform the demonstrations shall be connected to the engine for that purpose, or shall be simulated, to the satisfaction of the Type Approval Authority.

A.1.10.4.1.2. If the manufacturer chooses, and subject to the agreement of the Type Approval Authority, the demonstration tests may be performed on a complete Genset either by mounting the same on a suitable test bed or, notwithstanding paragraph A.1.10.4.1. of this Annexure, by running it outside test laboratory under controlled conditions.

A.1.10.4.2. The test sequence shall demonstrate the activation of the inducement system in case of lack of reagent and in case of the failure selected by the Approval Authority according to paragraph A.1.10.3.2.1. of this Annexure for the test of the warning system.

A.1.10.4.3. For the purpose of this demonstration,

(a) the manufacturer shall, in agreement with the Type Approval Authority, be permitted to accelerate the test by simulating the achievement of a certain number of operating hours,

(b) Reserved

(c) the low-level inducement, if applicable, shall be demonstrated according to the requirements of paragraph A.1.10.4.5. of this Annexure.

(d) the severe inducement shall be demonstrated according to the requirements of paragraph A.1.10.4.6. of this Annexure.

A.1.10.4.4. The manufacturer shall, in addition, demonstrate the operation of the inducement system under those failure conditions defined in paragraphs A.1.7., A.1.8. or A.1.9. of this Annexure which have not been chosen for use in demonstration tests described in paragraphs A.1.10.4.1. to A.1.10.4.3. of this Annexure.

These additional demonstrations may be performed by presentation to the Type Approval Authority of a technical case using evidence such as algorithms, functional analyses, and the result of previous tests.

A.1.10.4.4.1. These additional demonstrations shall demonstrate to the satisfaction of the Type Approval Authority the inclusion of the correct torque reduction mechanism in the engine ECU.

A.1.10.4.5. Demonstration test of the low-level inducement system

A.1.10.4.5.1. This demonstration starts when the warning system or when appropriate "continuous" warning system has been activated as a result of the detection of a failure selected by the Type Approval Authority.

A.1.10.4.5.2. When the system is being checked for its reaction to the case of lack of reagent in the tank, the engine shall be run until the reagent availability has reached a value of 2.5 per cent of the tank nominal full capacity of the tank or the value declared by the manufacturer in accordance with paragraph A.1.6.3.1. of this Annexure at which the low-level inducement system is intended to operate.

A.1.10.4.5.2.1. The manufacturer may, with the agreement of the Type Approval Authority, simulate continuous running by extracting reagent from the tank, either whilst the engine is running or is stopped.

A.1.10.4.5.3. When the system is checked for its reaction in the case of a failure other than a lack of reagent in the tank, the engine shall be run for the relevant number of operating hours indicated in Table A.8-4. or, at the choice of the manufacturer, until the relevant counter has reached the value at which the low-level inducement system is activated.

A.1.10.4.5.4. The demonstration of the low-level inducement system shall be deemed to be accomplished if, at the end of each demonstration test performed according to paragraphs A.1.10.4.5.2. and A.1.10.4.5.3. of this Annexure, the manufacturer has demonstrated to the Type Approval Authority that the engine ECU has activated the Audio-Visual Alarm or torque reduction mechanism.

A.1.10.4.6. Demonstration test of the severe inducement system

A.1.10.4.6.1. This demonstration shall start from a condition where the low-level inducement system, where applicable, has been previously activated and may be performed as a continuation of the tests undertaken to demonstrate the low-level inducement system.

A.1.10.4.6.2. When the system is checked for its reaction in the case of lack of reagent in the tank, the engine shall be run until the reagent tank is empty, or has reached the level below 2.5 per cent of nominal full capacity of the tank at which the manufacturer has declared to activate the severe inducement system.

A.1.10.4.6.2.1. The manufacturer may, with the agreement of the Type Approval Authority, simulate continuous running by extracting reagent from the tank, either whilst the engine is running or is stopped.

A.1.10.4.6.3. When the system is checked for its reaction in the case of a failure that is not a lack of reagent in the tank, the engine shall then be run for the relevant number of operating hours indicated in Table A.8-4. or, at the choice of the manufacturer, until the relevant counter has reached the value at which the severe inducement system is activated.

A.1.10.4.6.4. The demonstration of the severe inducement system shall be deemed to be accomplished if, at the end of each demonstration test performed according to paragraphs A.1.10.4.6.2. and A.1.10.4.6.3. of this Annexure, the manufacturer has demonstrated to the Type Approval Authority that the severe inducement mechanism considered in this Appendix has been activated.

A.1.10.4.7. Alternatively, if the manufacturer chooses, and subject to the agreement of the Type Approval Authority, the demonstration of the inducement mechanisms may be performed on a complete Genset in accordance with the requirements of paragraphs A.1.5.4. and A.1.10.4.1.2. of this Annexure, either by mounting the Genset on a suitable test bed or by running it outside test laboratory under controlled conditions.

A.1.10.4.7.1. The Genset shall be operated until the counter associated with the selected failure has reached the relevant number of operating hours indicated in Table A.8-4. or, as appropriate, until either the reagent tank is empty or, has reached the level below 2.5

per cent of nominal full capacity of the tank at which the manufacturer has chosen to activate the severe inducement system.

A.1.10.5. Documentation of the demonstration

A.1.10.5.1 A demonstration report shall be created that documents the demonstration of the NCD system.

The report shall:

(a) identify the failures examined;

(b) describe the demonstration performed including the applicable test cycle;

(c) confirm that the applicable warnings and inducements were activated as required by this regulation;

(d) be included in the information folder as set out in Annexure 1.

A.1.11. Description of the operator warning and inducement activation and deactivation mechanisms

A.1.11.1 To complement the requirements specified in this Appendix concerning the warning and inducement activation and deactivation mechanisms, paragraph A.1.11. of this Annexure specifies the technical requirements for an implementation of those activation and deactivation mechanisms.

A.1.11.2. Activation and deactivation mechanisms of the warning system

A.1.11.2.1. The operator warning system shall be activated when the diagnostic trouble code (DTC) associated with a NCM justifying its activation has the status defined in Table A.8-2.

Table A.8-2

Activation of the operator warning system

Failure type	**DTC status for activation of the warning system**
Poor reagent quality	confirmed and active
Interruption of dosing	confirmed and active
Impeded EGR valve	confirmed and active
Malfunction of the monitoring system	confirmed and active
NO_X threshold, if applicable	confirmed and active

A.1.11.2.2. The operator warning system shall be deactivated when the diagnosis system concludes that the malfunction relevant to that warning is no longer present or when the information including DTCs relative to the failures justifying its activation is erased by a scan tool.

A.1.11.2.2.1. Requirements for erasing " NO_X control information"

A.1.11.2.2.1.1. Erasing / resetting " NO_X control information" by a scan-tool

On request of the scan tool, the following data shall be erased or reset to the value specified in this Appendix from the computer memory (see Table A.8-3.).

Table A.8-3.

Erasing / resetting "NO_X control information" by a scan-tool

NO_X control information	**Erasable**	**Resettable**

All DTCs	X	
The value of the counter with the highest number of engine operating hours		X
The number of engine operating hours from the NCD counter(s)		X

A.1.11.2.2.1.2. NO_X control information shall not be erased by disconnection of the battery(ies) of the Genset.

A.1.11.2.2.1.3. The erasing of " NO_X control information" shall only be possible under “engine-off” conditions.

A.1.11.2.2.1.4. When "NO_X control information" including DTCs are erased, any counter associated with these failures and which is specified in this Appendix shall not be erased, but reset to the value specified in the appropriate paragraph of this Appendix.

A.1.11.3. Activation and deactivation mechanism of the operator inducement system

A.1.11.3.1. The operator inducement system shall be activated when the warning system is active and the counter relevant to the type of NCM justifying their activation have reached the value specified in Table A.8-4

A.1.11.3.2. The operator inducement system shall be deactivated when the system no longer detects a malfunction justifying its activation, or if the information including the DTCs relative to the NCMs justifying its activation has been erased by a scan tool or maintenance tool.

A.1.11.3.3. The operator warning and inducement systems shall be immediately activated or deactivated as appropriate according to the provisions of paragraph A.1.6. of this Annexure after assessment of the reagent quantity in the reagent tank. In that case, the activation or deactivation mechanisms shall not depend upon the status of any associated DTC.

A.1.11.4. Counter mechanism

A.1.11.4.1. General

A.1.11.4.1.1. To comply with the requirements of this Appendix, the system shall contain counters to record the number of hours during which the engine has been operated while the system has detected any of the following NCM:

(a) an incorrect reagent quality;

(b) an interruption of reagent dosing activity;

(c) an impeded EGR valve;

(d) a failure of the NCD system.

A.1.11.4.1.1.1. The manufacturer may use one or more counters for grouping the NCMs indicated in paragraph A.1.11.4.1.1. of this Annexure.

A.1.11.4.1.2. Each of the counters shall count up to the maximum value provided in a 2 byte counter with 1 hour resolution and hold that value unless the conditions allowing the counter to be reset to zero are met.

A.1.11.4.1.3. A manufacturer may use a single or multiple NCD system counters. A single counter may accumulate the number of hours of 2 or more different malfunctions relevant to that type of counter, none of them having reached the time the single counter indicates.

A.1.11.4.1.3.1. When the manufacturer decides to use multiple NCD system counters, the system shall be capable of assigning a specific monitoring system counter to each malfunction relevant according to this Appendix to that type of counters.

A.1.11.4.2. Principle of counters mechanism

A.1.11.4.2.1. Each of the counters shall operate as follows:

A.1.11.4.2.1.1. If starting from zero, the counter shall begin counting as soon as a malfunction relevant to that counter is detected and the corresponding diagnostic trouble code (DTC) has the status defined in Table A.8-2.

A.1.11.4.2.1.2. In case of repeated failures, one of the following provisions shall apply at the choice of the manufacturer.

(a) If a single monitoring event occurs and the malfunction that originally activated the counter is no longer detected or if the failure has been erased by a scan tool or a maintenance tool, the counter shall halt and hold its current value. If the counter stops counting when the severe inducement system is active, the counter shall be kept frozen at the value defined in Table A.8-4. or a value of greater than or equal to the counter value for severe inducement minus 30 minutes.

(b) The counter shall be kept frozen at the value defined in Table A.8-4. or a value of greater than or equal to the counter value for severe inducement minus 30 minutes.

A.1.11.4.2.1.3. In the case of a single monitoring system counter, that counter shall continue counting if a NCM relevant to that counter has been detected and its corresponding Diagnostic trouble code (DTC) has the status "confirmed and active". It shall halt and hold one of the values specified in paragraph A.1.11.4.2.1.2. of this Annexure, if no NCM that would justify the counter activation is detected or if all the failures relevant to that counter have been erased by a scan tool or a maintenance tool.

Table A.8-4.

Counters and inducement

#	**DTC status for first activation of the counter**	**Counter value for low-level inducement**	**Counter value for severe inducement**	**Frozen value held by the counter**
Reagent quality counter	confirmed and active	≤ 10 hours	≤ 72 hours	≥ 90 per cent of counter value for severe inducement
Dosing counter	confirmed and active	≤ 10hours	≤ 72hours	≥ 90 per cent of counter value for severe inducement
EGR valve counter	confirmed and active	≤ 36 hours	≤ 72hours	≥ 95 per cent of counter value for severe inducement
Monitoring system counter	confirmed and active	≤ 36 hours	≤ 72hours	≥ 95 per cent of counter value for severe inducement
NO_X threshold, if applicable	confirmed and active	≤ 10 hours	≤ 72hours	≥ 90 per cent of counter value for severe inducement

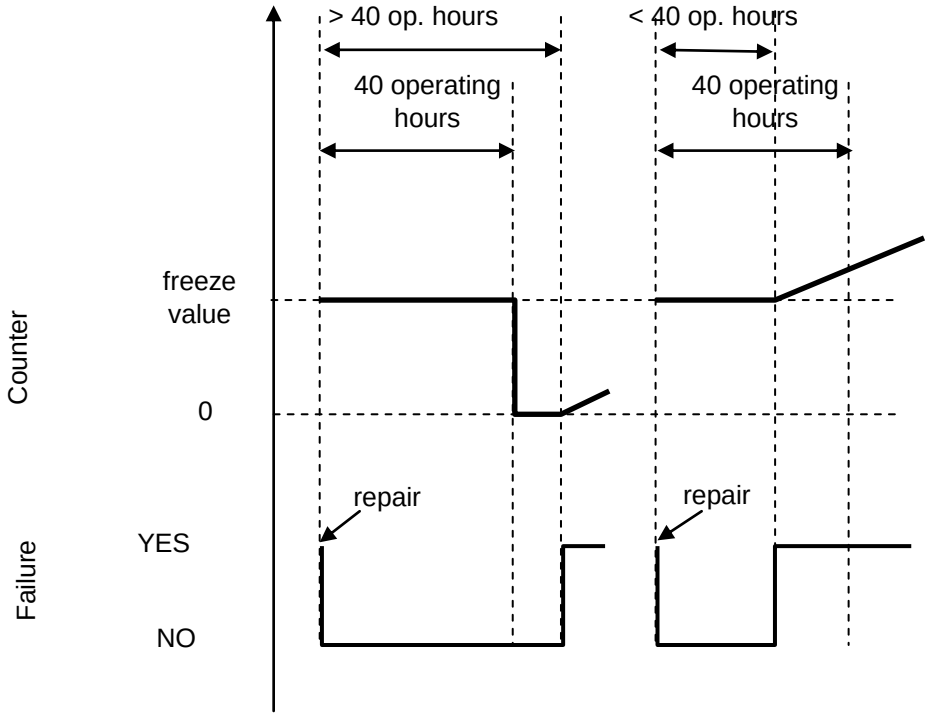
A.1.11.4.2.1.4. Once frozen, the counter shall be reset to zero when the monitors relevant to that counter have run at least once to completion of their monitoring cycle without having detected a malfunction and no malfunction relevant to that counter has been detected during 40 engine operating hours since the counter was last held (see Figure A.8-4.).

A.1.11.4.2.1.5. The counter shall continue counting from the point at which it had been held if a malfunction relevant to that counter is detected during a period when the counter is frozen (see Figure A.8-4.).

A.1.12. Illustration of the activation and deactivation and counter mechanisms

A.1.12.1. This paragraph A.1.12. of this Annexure illustrates the activation and deactivation and counter mechanisms for some typical cases. The Figures and descriptions given in paragraphs A.1.12.2., A.1.12.3. and A.1.12.4. of this Annexure are provided solely for the purposes of illustration in this Appendix and should not be referenced as examples of either the requirements of this Regulation or as definitive statements of the processes involved. The counter hours in Figures A.8-6. and A.8-7. refer to the maximum severe inducement values in Table A.8-4.. For simplification purposes, for example, the fact that the warning system will also be active when the inducement system is active has not been mentioned in the illustrations given.

Figure A.8-4.
Reactivation and resetting to zero of a counter after a period when its value has been frozen



A.1.12.2. Figure A.8-5. illustrates the operation of the activation and deactivation mechanisms when monitoring the reagent availability for four cases:

(a) use case 1: the operator continues operating the Genset in spite of the warning until Genset operation is disabled;

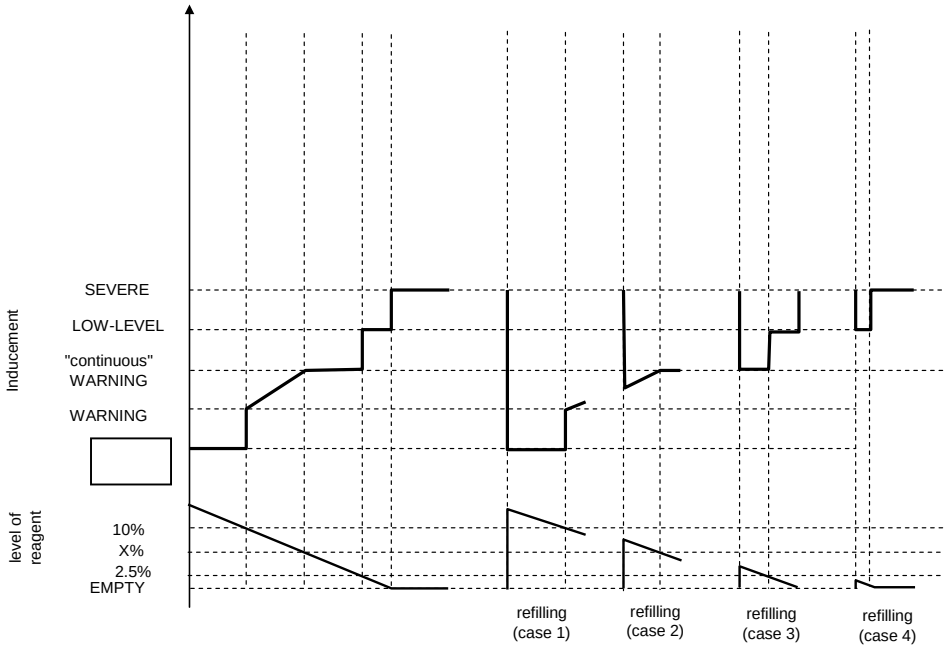
(b) Adequate refilling case 1: the operator refills the reagent tank so that a level above the 10 per cent threshold is reached. Warning and inducement are de-activated;

(c) Inadequate refilling cases 2 and 3: The warning system is activated. The level of warning depends on the amount of available reagent;

(d) Very Inadequate refilling case 4: The low-level inducement is activated immediately.

Figure A.8-5.

Reagent availability



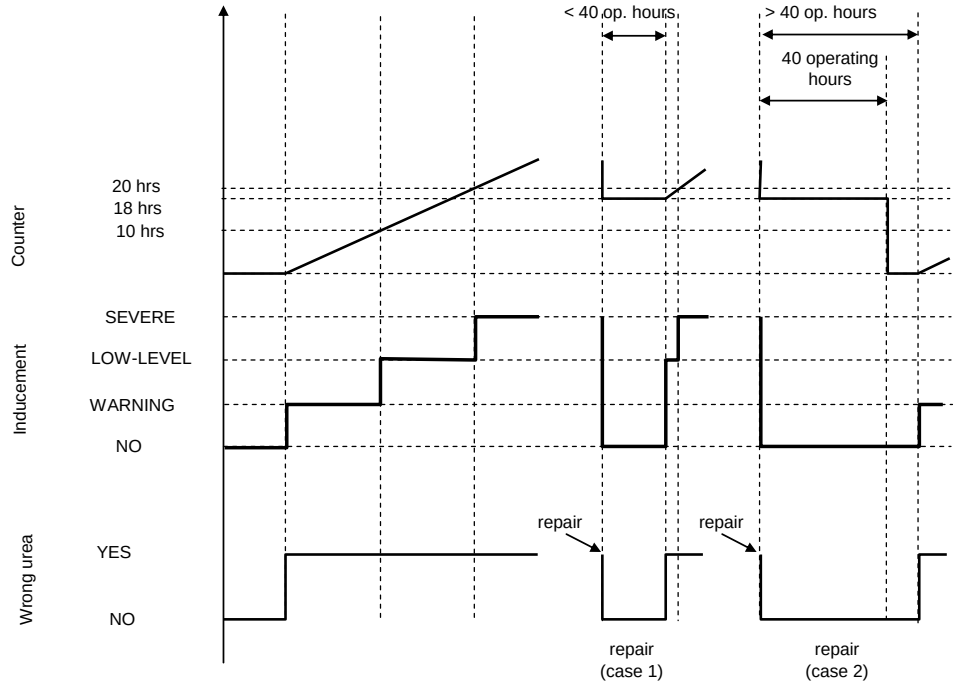
A.1.12.3. Figure A.8-6. illustrates three cases of wrong reagent quality:

(a) use case 1: the operator continues operating the Genset in spite of the warning until Genset operation is disabled.

(b) repair case 1 ("bad" or "dishonest" repair): after disablement of the Genset, the operator changes the quality of the reagent, but soon after, changes it again for a poor quality one. The warning, inducement and counting processes start from zero. The inducement system is immediately reactivated and Genset operation is disabled after 2 engine operating hours.

(c) repair case 2 ("good" repair): after disablement of the Genset, the operator rectifies the quality of the reagent. However, sometime afterwards, he refills again with a poor-quality reagent. The low-level inducement system is immediately reactivated and the counter restarts from the value it had at the time of repair. The warning, inducement and counting processes restart from zero.

Figure A.8-6.
Filling with poor reagent quality



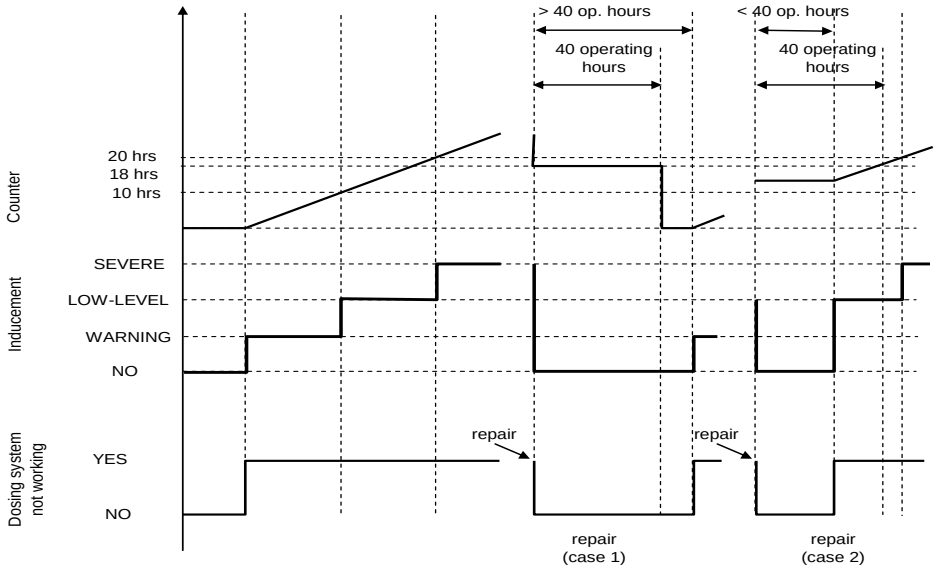
A.1.12.4. Figure A.8-7. illustrates three cases of failure of the urea dosing system. This figure also illustrates the process that applies in the case of the monitoring failures described in paragraph A.1.9. of this Annexure.

(a) use case 1: the operator continues operating the Genset in spite of the warning until Genset operation is disabled.

(b) repair case 1 ("good" repair): after disablement of the Genset engine, the operator repairs the dosing system. However, some time afterwards, the dosing system fails again. The warning, inducement and counting processes restart from zero.

(c) repair case 2 ("bad" repair): during the low-level inducement time (torque reduction), the operator repairs the dosing system. Soon after, however, the dosing system fails again. The low-level inducement system is immediately reactivated and the counter restarts from the value it had at the time of repair.

Figure A.8-7.
Failure of the reagent dosing system



A.1.13. Demonstration of the minimum acceptable reagent concentration CD_{min}

A.1.13.1. The manufacturer shall demonstrate the correct value of CD_{min} during type approval by performing the applicable D1-3 mode constant speed cycle for constant speed engine using a reagent with the concentration CD_{min}.

A.1.13.2. The test shall follow the appropriate NCD cycle(s), permitting a closed loop NO_X control system to perform adaptation to the quality of the reagent with the concentration CD_{min}.

A.1.13.3. The pollutant emissions resulting from this test shall not exceed the NO_X threshold specified in paragraph A.1.7.1.1. of this Annexure.

A.1.13.4. **Documentation of the demonstration**

A.1.13.4.1. A demonstration report shall be created that documents the demonstration of the minimum acceptable reagent concentration.

The report shall:

(a) identify the failures examined;

(b) describe the demonstration performed including the applicable test cycle;

(c) confirm that the pollutant emissions arising from this demonstration did not exceed the NO_X threshold specified in point A.1.7.1.1 of this Annexure;

(d) be included in the information folder as set out in Annexure 1

A.1.14. **Technical requirements for Audible Operator warning system.**

This section describes technical requirements for audible operator warning system associated with NOx Control Diagnostics. Same could be leveraged by manufacturer for warning of other faults such as engine de-rates due to safety reason however with different pattern.

A.1.14.1. Specification requirements for audible warning system

Audible operator warning system as installed on Genset application shall satisfy following requirements:

(a) Sound intensity of the system as measured at distance of 1 m facing Genset panel with controls and displays those accompany operator warning lights shall be 80-85 decibels;

(b) Such warning system (sound source) shall be all weather compatible and durable for life of Genset;

(c) Shall have diagnostics associated with it including DTC inline with paragraph A.1.10 of this Annexure if tampered with;

(d) Manufacturer may opt to integrate such warning system within Genset enclosure or may provide an external hooter compliant with above requirements;

A.1.14.2. Audio warning system pattern requirements

A.1.14.2.1. Audio warning system for operator induments shall be enabled per any of the options below:

Option: A

A.1.14.2.1.1 When operator warning has become active for low-level inducement, activate visual warning with no alarms.

A.1.14.2.1.2. At commencement of low-level inducement, the audio warning system shall beep once in 5 seconds with 2-second-long beep. Such audio warning shall remain active as long as low-level inducement state is active.

A.1.14.2.1.3. At commencement of severe-level inducement, the audio warning system shall beep once in 2 seconds with 2-second-long beep. Such audio warning shall remain active when Engine Ignition is ON or Engine shutdown but ignition is ON.

Option: B

A.1.14.2.1.4 Any specific pattern of alarm with specific duration and frequency of beeps that will alert the operator on Inducements as defined by the manufacturer which needs to be demonstrated at the time of testing as part of Inducement strategy

A.1.14.2.4. Manufacture may opt to use same audio warning pattern as paragraph A.1.14.2.1.3 of this Annexure for non-inducement fault codes those are engine and equipment safety related and will cause immediate derate or shutdown of an engine;

A.1.14.3. Audio warning system extent requirements

A.1.14.3.1. Audio warning associated with low-level inducement commencement shall remain active as long as low-level inducement state is active;

A.1.14.3.2. Audio warning associated with severe-level inducement commencement shall remain active when Engine Ignition is ON or Engine shutdown but ignition is ON.

A.1.14.4. Details of the operator warning system activation and deactivation procedures are described in paragraph A.1.11. of this Annexure those shall apply to audio warning system;

A.1.14.5. As part of the application for approval under this Regulation, the manufacturer shall demonstrate the operation of the audio operator warning system, as specified in paragraph A.1.10. of this Annexure;

Appendix – A.2

Reserved

Appendix – A.3

Technical details for prevention of tampering

A.3.1. For engine types and engine families that use an ECU as part of the emission control system the manufacturer shall provide to the approval authority a description of the provisions taken to prevent tampering with and modification of the ECU including the facility for updating using a manufacturer-approved program or calibration;

A.3.2. For engine types and engine families that use mechanical devices as part of the emission control system the manufacturer shall provide to the approval authority a description of the provisions taken to prevent tampering with and modification of the adjustable parameters of the emission control system. This shall include the tamper resistant components. Such as Fuel injection pump limiter caps or sealing of Fuel injection pump screws or special screws not adjustable by user.

A.3.2.1. The manufacturer shall demonstrate to the Test Agency that the adjustable parameters of the emission control system cannot be easily tampered by applying reasonable forces, either:

(a) using the tools supplied together with the engine; or,

(b) using ordinary tools such as screwdriver, pliers (including cutting pliers) or wrenches.

Ordinary tools do not include: most cutting or grinding tools, drills and rotary cutters, or tools that generate excessive heat or flame.

A.3.3. For the purpose of this Appendix, engines from different engine families may be further combined into families based upon the type and design of tamper prevention measures utilized. In order to place engines from different engine families into the same tamper prevention engine family the manufacturer shall provide confirmation to the approval authority that the measures used to prevent tampering are similar. In this case the requirements of paragraphs A.3.1. and A.3.2. of this Annexure may be performed for one representative engine and the corresponding documentation used during the type approval of all engines in the same tamper prevention engine family.

A.3.4. Manufacturers shall provide a warning in the operator's manual stating that tampering with the engine voids the type-approval of that particular engine.

Appendix – A.4

Technical details for Remote Information and Monitoring Requirements

A.4.1. General Requirements

A.4.1.1. Gensets powered by electronically controlled engines with >56 kW power shall be equipped with remote information and monitoring system utilizing telematics.

Gensets unlike non-road machines and On road vehicles are operated typically without human intervention and does not have an 'Operator' required to be present during its operation. This makes 'Operator Warning System' in line with non-road machines difficult to follow through as well as getting timely inputs to the operator of a Genset.

A.4.1.2. Manufacturer in conjunction with GOEM shall provide a cellular data based remote information monitoring system that is capable of working with Application (App) able to work with desktop computers and cellular hand-held devices such cellphone. Such app once installed shall be able to provide essential information and warnings to Genset owner/s, operator/s highlighting any mal-function related to emission control by a suitable "Engine Malfunction" icon indicated prominently on the user interface.

A.4.1.3. Manufacturer/GOEM may choose to demonstrate the remote monitoring function as mentioned in A.4.1.2 on engine test bench during engine type approval and COP or on the Genset during Genset type approval & COP.

ANNEXURE – 9

Installation in the Genset Application

9.1. Information and instructions intended for OEMs and end-users.

9.1.1. A manufacturer shall not supply to GOEMs or end-users any technical information related to the particulars provided for in this Regulation which diverges from the particulars approved by the Type Approval Authority;

9.1.2. The manufacturer shall make available to GOEMs all relevant information and instructions that are necessary for the correct installation of an engine in Genset, including a description of any special conditions or restrictions linked to the installation or use of the engine;

9.1.3. The manufacturer shall make available to GOEMs all relevant information and necessary instructions intended for the end-user, including a description of any special conditions or restrictions linked to the use of an engine.

9.1.4. Details of the relevant information and instructions for the GOEMs are set out in Appendix 1 to Annexure 9.

9.2. Obligations of GOEMs concerning the installation of engines

9.2.1. GOEMs shall install approved engines in Genset in accordance with the instructions provided by the manufacturer pursuant to paragraph 9.1.2, and in a manner that does not adversely affect the engine's performance with regard to its gaseous pollutant emissions;

9.2.2. Where an GOEM does not follow the instructions referred to in paragraph 9.2.1., or modifies an engine in the course of its installation of genset, in a manner that adversely affects the engine's performance with regard to its gaseous pollutant emissions, that GOEM shall be considered to be a manufacturer for the purposes of this Regulation and shall, in particular, be subject to the obligations laid down in clauses 3, 4 and 5 of this Regulation;

9.2.3. OEMs shall install type-approved engine(s) in genset(s) only in accordance with the kinds of exclusive use provided for the engine categories set out in clause 1.

9.2.5. Details of the relevant information and instructions for the end-users are set out in Appendix 2 to Annexure 9;

9.2.6 The safety code of practice to be followed along with the instructions provided by the manufacturer pursuant to paragraph 9.1.2, and in a manner that does not adversely affect the engine's performance with regard to its gaseous pollutant emissions; The test agency will certify the installation of the engine in GENSET canopy as per safety code of practice. The separate document ‘A4’ named “SAFETY CODE OF PRACTICE” shall be referred for more clarification. The noise level certificate shall be issued only after compliance of GENSET to safety code of practice.

Appendix – 1

Details of the relevant information and instructions for the GOEMs

A.1.1. As required by paragraph 9.1., the manufacturer shall provide to the GOEM all relevant information and instructions to ensure that the engine conforms to the approved engine type when installed in Gensets. Instructions for this purpose shall be clearly identified to the GOEM;

A.1.2. The instructions may be provided on paper or a commonly used electronic format;

A.1.3. Where a number of engines requiring the same instructions are supplied to the same GOEM it shall be necessary to provide one set of instructions at minimum;

A.1.4. The information and instructions to the OEM shall include at minimum:

A.1.4.1. installation requirements to achieve the emissions performance of the engine type, including the emission control system, that shall be taken into account to ensure the correct operation of the emissions control system;

A.1.4.2. a description of any special conditions or restrictions linked to the installation or use of the engine, as noted on the communication set out in Annexure 1

A.1.4.3. a statement indicating that the installation of the engine shall not permanently constrain the engine to exclusively operate within a power range corresponding to a (sub-)category with gaseous pollutant emission limits more stringent than the (sub-) category the engine belongs to;

For e.g.:

c) Engine certified for > 56 KW power can be permanently constrained to power less than 56 KW
d) However, engine certified for > 560 KW power cannot be permanently constrained to power less than 560 KW

A.1.4.4. for engine families to which appendix 2 of annexure7 of this Regulation applies, the upper and lower boundaries of the applicable control area and a statement indicating that the installation of the engine shall not constrain the engine to exclusively operate at speed and load points outside of the control area for the torque curve of the engine;

A.1.4.5. where applicable, design requirements for the components supplied by the OEM that are not part of the engine and are necessary to ensure that, when installed, the engine conforms to the approved engine type;

A.1.4.6. where applicable, design requirements for the reagent tank, including freeze protection, monitoring of reagent level and means to take samples of reagent;

A.1.4.7. where applicable, information on installation of a non-heated reagent system;

A.1.4.9. where applicable, a statement indicating that the OEM shall provide a warning system as set out in Appendices 1, 2 and 3 of Annexure 8;

A.1.4.10. where applicable, information on the interface between the engine and genset for the operator warning system, referred to in paragraph A.1.4.9.;

A.1.4.11. where applicable, information on the interface between the engine and Genset for the operator inducement system, as set out in Annexure 8;

A.1.4.12. where applicable, information on a means to temporarily disable the operator inducement as defined in Annexure 8;

A.1.4.13. where applicable, information on the inducement override function as defined in Annexure 8;

A.1.4.14. Reserved

(a) Reserved

(b) Reserved

(c) Reserved

A.1.4.15. In the case of a constant-speed engine equipped with alternative speeds as set out in paragraph 4.1.2.3. of Clause 4:

(a) a statement indicating that the installation of the engine shall ensure that:

i. the engine is stopped prior to resetting the constant-speed governor to an alternative speed; and,

ii. the constant-speed governor is only set to the alternative speeds permitted by the engine manufacturer;

(b) details of each (sub-)category and operating mode (speed operation) for which the engine is type-approved and may be set when installed;

A.1.4.16. In the case that the engine is equipped with an idle speed for start-up and shut-down, a statement indicating that the installation of the engine shall ensure that the constant-speed governor function is engaged prior to increasing the load-demand to the engine from the no-load setting.

A.1.5. The manufacturer shall provide to the GOEM all information and necessary instructions that the GOEM shall provide to the end-users in accordance with Appendix 2 to Annexure 9.

Appendix – 2

Details of the relevant information and instructions for the end-users

A.2.1. The GOEM shall provide to the end-users all information and necessary instructions for the correct operation of the engine in order to maintain the gaseous pollutant emissions of the engine within the limits of the approved engine type or engine family. Instructions for this purpose shall be clearly identified to the end-users.

A.2.2. The instructions to the end-users shall be:

A.2.2.1. written in a clear and non-technical manner using the same language that is used in the instructions to end-users for gensets;

A.2.2.2. be provided on paper or, alternatively, a commonly used electronic format;

A.2.2.3. be part of the instructions to end-users for the Genset,

A.2.3. The information and instructions to the end-users shall include at least:

A.2.3.1. a description of any special conditions or restrictions linked to the use of the engine, as noted on the type-approval communication;

A.2.3.2. a statement indicating that the engine, including the emissions control system, shall be operated, used and maintained in accordance with the instructions provided to the end-users in order to maintain the emissions performance of the engine within the requirements applicable to the engine's category;

A.2.3.3. a statement indicating that no deliberate tampering with or misuse of the engine emissions control system should take place; in particular, with regard to deactivating or not maintaining an exhaust gas recirculation (EGR) or a reagent dosing system;

A.2.3.4. a statement indicating that it is essential to take prompt action to rectify any incorrect operation, use or maintenance of the emissions control system in accordance with the rectification measures indicated by the warnings referred to in paragraph A.2.3.5. and A.2.3.6.;

A.2.3.5. detailed explanations of the possible malfunctions of the emissions control system generated by incorrect operation, use or maintenance of the installed engine and due to failure to emission control system components, accompanied by the associated warning signals and the corresponding rectification measures;

A.2.3.6. detailed explanations of the possible incorrect use of the Genset that would result in malfunctions of the engine emissions control system, accompanied by the associated warning signals and the corresponding rectification measures;

A.2.3.7. for gensets with an operator warning system, a statement indicating that the operator will be informed by the operator warning system when the emission control system does not function correctly;

A.2.3.8. for Genset with an operator inducement system a statement indicating that ignoring the operator warning signals will lead to the activation of the operator inducement system, resulting in an effective disablement of genset operation;

A.2.3.9. for Genset with an inducement override function for releasing full engine power justified by safety concerns or to allow for self-healing diagnostics, information about the operation of this function;

A.2.3.10. where applicable, explanations of how the operator warning and inducement systems referred to in paragraphs A.2.3.7., A.2.3.8. and A.2.3.9. operate, including the consequences, in terms of performance and fault logging, of ignoring the warning system signals and of not replenishing, where used, the reagent or rectifying the problem identified;

A.2.3.11. for genset with a means to disable the operator inducement, information about the operation of this function, and a statement indicating that this function shall be only activated in case of emergencies, that any activation will be recorded in the on-board computer log and that Central

or State pollution Control Board inspection authorities will be able to read these records with a scan tool;

A.2.3.12. information on the fuel(s) necessary to maintain the performance of the emissions control system in particular:

(a) reserved

(b) where additional fuels, fuel mixtures or fuel emulsions are compatible with use by the engine, as declared by the manufacturer and stated in the type-approval communication, these shall be indicated. Such fuel type shall be constrained by alternate fuel definitions of this Regulation;

A.2.3.13. information on the lubrication oil specifications necessary to maintain the performance of the emissions control system;

A.2.3.14. where the emission control system requires a reagent, the generic name, characteristics of that reagent, including the type of reagent, information on concentration when the reagent is in solution, operational temperature conditions and reference to Indian and international standards for composition and quality, consistent with the specification set-out in the engine type-approval;

A.2.3.15. where applicable, instructions specifying how consumable reagents have to be refilled by the operator between normal maintenance intervals. They shall indicate how the operator should refill the reagent tank and the anticipated frequency of refill, depending upon of the genset based on average per day operation;

A.2.3.16.a statement indicating that in order to maintain the emissions performance of the engine, it is essential to use and refill reagent in accordance with the specifications set out in clause A.2.3.14. and A.2.3.15.;

A.2.3.17. scheduled emission-related maintenance requirements including any scheduled exchange of critical emission-related components;

ANNEXURE – 10

ALTERNATE FUEL SYSTEM SAFETY STANDARDS

Sr. No.	Component	Certifying / Verifying Authority	Applicable Standards
1	Regulator (For CNG & BIO-CNG) / Regulator and Vaporizer(LNG)*	Testing of the component as per IS: 15713 or ISO-15500 by test agency. Alternatively, test agency to verify the test certificate or report conforming to the above standard issued by accredited testing laboratory.	IS: 15713 or ISO -15500
		LNG regulator and Vaporizer / heat exchanger shall meet requirements of UN R110 or equivalent standard	UN R 110 or equivalent standard
2	Gas-Air Mixer*	Testing of the component as per IS: 15714 or ISO-15500 by test agency. Alternatively, test agency to verify the test certificate or report conforming to the above standard issued by accredited testing laboratory.	IS: 15714 or ISO-15500
3	Gas Injector*	Testing of the component as per ISO-15500-7 by test agency. Alternatively, test agency to verify the test certificate or report conforming to the above standard issued by accredited testing laboratory.	ISO-15500-7
4	Gas Solenoid Valve / Automatic Shutoff valve*	Testing of the component as per IS: 15712 or ISO-15500 by test agency. Alternatively, test agency to verify the test certificate or report conforming to the above standard issued by accredited testing laboratory.	IS: 15712 or ISO-15500
		Automatic shutoff valve of LNG System (which comes in contact with LNG shall meet requirements of UN R110 or equivalent standards)	Automatic shutoff Valve for LNG System : UN R110 or equivalent standards
5	Testing of Conduit*	Testing of the component or verification of certificate or test report as per IS: 15715 by test agency.	IS: 15715
6	CNG / BIO-CNG / LNG fuel line		
6.1	High pressure exceeding 100 kPa*		
6.1.1	Exceeding 2.15 MPa		

	Rigid pipe	Testing of the component or verification of certificate or test report as per IS : 15716 by test agency.	IS: 15716
		LNG rigid pipeline shall meet requirements of UN R 110 or IS : 15716 or equivalent standard.	UN R 110 or IS: 15716 or equivalent standard.
	Flexible hose	Testing of the component or verification of certificate or test report as per IS 15718 by test agency.	IS 15718 or AIS-028 (Rev. 1) (Part A)
		LNG flexible pipeline shall meet requirements of UN R110 or IS: 15718 or equivalent standard.	UN R 110 or IS: 15718 or equivalent standard
6.1.2	Pressure upto 2.15 Bar	Testing of the component or verification of certificate or test report as per IS : 15722 by test agency.	IS : 15722 with amendments
		LNG pipeline shall meet requirements of UN R110 or IS : 15722 or equivalent standard.	UN R 110 or IS: 15722 or equivalent standard
6.2	Joints and connections*	Testing by test agency.	Clause 3.1.4.1, 3.2.1 (b) of AIS-028 (Rev. 1) (Part A)
		LNG joints & connection shall meet requirements of UN R 110 or equivalent standard	UN R 110 or equivalent standard
7	Compartment or Sub-compartment*	Testing of the component or verification of certificate or test report as per IS: 15720 by test agency.	IS: 15720
8	Specific LNG components	Following specific components, as applicable shall meet requirements of UN R 110 or equivalent standard LNG Fuel pump, Pressure Relief valves, Pressure sensor, check valve, excess flow valve, manual valve and non-return valve.	UN R 110 or equivalent standard
9	Safety check for installation of Gaseous fuel system	Safety checks to be carried out by test agency as per AIS-028 (Rev.1) (Part A)	Relevant clauses of AIS-028 (Rev. 1) (Part A)
10	Electrical wiring and harness		AIS 028
11	Non-moisture retaining hard rubber/equivalent material		AIS 028
12	Electrical fuses		AIS 028

* Certificate issued by accredited testing agency of the country of origin or a report issued by internationally accredited test laboratory may also be accepted.

Note 1 - Only the standards, as amended from time to time, as mentioned above, shall be referred for compliance.

Note 2 - Components downstream the Heat exchanger / vaporizer shall be considered as CNG components and prevailing Indian standard / ISO standard or equivalent standards shall be acceptable

****END****